

**CATSKILL WATERSHED CORPORATION**  
**BOARD MEETING**  
**August 4, 2020**  
**AGENDA**

**I. Call to Order**

**II. Pledge of Allegiance**

**III. Roll Call of Directors**

**IV. Review and Approval of July 7, 2020 Board Minutes – Tab 1**

**V. Presentation of Communications**

Finance Report - Tab 2

Executive Director's Report - Tab 3

Committee Meeting Schedule - Tab 4

Committee Meeting Minutes - Tab 5

**VI. Public Discussion**

**VII. Presentation of Resolutions**

Septic Over \$25,000 – Laraine Bosch – Tab 6

Septic Over \$25,000 – Sandra Breitenberger – Tab 7

Septic Over \$25,000 – Joseph Ferrendino – Tab 8

Septic Over \$25,000 – Elliot Hurwitz – Tab 9

Septic Over \$25,000 – Richard McDonough – Tab 10

Septic Over \$25,000 – Nancy Schwarz – Tab 11

Septic Over \$25,000 – Luca Valentino – Tab 12

Expanded Septic Over \$25,000 – Henry Stout – Full Moon – Tab 13

Expanded Septic Over \$25,000 – Additional Cost – Phoenicia Diner – Tab 14

Septic Over \$25,000 – Additional Cost – Robert Wade – Tab 15

Second Time Repair – Juanita Cole – Tab 16

Second Time Repair – John Poulin – Tab 17

Second Time Repair – Kevin Wortman – Tab 18

Septic Maintenance Program Rules Amendment – Tab 19

Future Stormwater – Village of Tannersville – 20

MOA - Richard Brueckner– Tab 21

MOA – The Mews at Prattsville, LP – Tab 22

President's Compensation – Tab 23

**Executive Session**

CFF – WB Delhi LLC – Tab 24

**VIII. Announcements from the Chair**

**IX. Board Member Discussion**

**X. Adjournment**

**TAB 1**

**CATSKILL WATERSHED CORPORATION**

**Board of Directors Meeting**

**MINUTES**

**July 7, 2020 @ 11:30 a.m.**

**I. Call to Order at 11:45 am at the Catskill Watershed Corporation**

**II. Pledge of Allegiance:** Led by Wayne Marshfield

**III. Roll Call of Directors**

**Members Present:** James Eisel, Wayne Marshfield, Arthur Merrill, Tina Molé, Anthony VanGlad, Michael Triolo, Christopher Mathews, Innes Kasanof, Robert Pelham, Jeff Senterman, Jim Sofranko, Dave Warne (New York City DEP), Richard Parete (By Phone).

**Members Excused:** Thomas Snow

**Staff Members Present:** Alan Rosa, Tim Cox,, Jason Merwin, Samantha Costa (By Zoom), Lynn Kavanagh, (By Zoom), Barbara Puglisi (By Zoom)

**Others Present By Zoom:** Thomas Stalter (New York City DEP), Mike Maloney (NYSDOH.), and Pauline Wanjugi (NYSDOH).

**IV. Review and Approval of June 2, 2020 Board Meeting Minutes.**

A motion to approve the minutes from June 2, 2020 Board Meeting was made by Michael Triolo.

**Voice Vote, *carried unanimously***

**V. Presentation of Communication**

**Finance Report**

A motion to approve the financial reports as of April 31, 2020 was made by James Sofranko and seconded by Wayne Marshfield.

**Voice Vote, *carried unanimously***

**Executive Director's Report**

**Committee Schedule**

**Committee Meeting Minutes**

A motion to approve the committee minutes was approved by all.

**VI. Public Discussion**

Michael Triolo mentioned that only the American Flag stands for Government, all other flags are for esthetics.

**VII. Presentation of Resolutions**

Motion to waive Rule 14 was made by Dave Warne and seconded by Robert Pelham.

**Septic Over \$25,000 – Vincent Accardi – Tab 6**

A motion to approve Resolution No. 3808 was made by Wayne Marshfield was seconded by David Warne.

**RESOLUTION NO. 3808**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
VINCENT ACCARDI**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Two Thousand One Hundred Forty-Four Dollars and Twenty-Seven Cents (\$32,144.27); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Two Thousand One Hundred Forty-Four Dollars Twenty-Seven Cents (\$32,144.27) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Thirty-Two Thousand One Hundred Forty-Four Dollars and Twenty-Seven Cents (\$32,144.27) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approve the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Two Thousand One Hundred Forty-Four Dollars and Twenty-Seven Cents (\$32,144.27).

**Vincent Accardi Backup**

Address: 6841 County Highway 36, Denver, NY 12421

Town: Roxbury

Bedrooms: 3

Engineer: Rex Sanford

Contractor: Maduri Excavating

Homeowner and CWC staff received three quotes from unrelated contractors for \$32,144.27, \$33,019.58 and \$33,682.66. Major components of this system include a 1,000 gallon septic tank, 60 linear feet of gravity piping, 325 cubic yards of absorption fill material, 550 square feet of absorption bed, one distribution box, an effluent filter, excavate a cut and fill area, remove five to six large trees, a temporary access road and site restoration. The lowest quote is within 10% of the estimated cost for construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to \$32,144.27.

**Voice Vote, carried unanimously**

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July 7, 2020

**RESOLUTION NO. 3809**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
RENARD BARTO**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Seven Thousand Ninety-One Dollars and Four Cents (\$27,091.04); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Seven Thousand Ninety-One Dollars and Four Cents (\$27,091.04) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Twenty-Seven Thousand Ninety-One Dollars and Four Cents (\$27,091.04) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Seven Thousand Ninety-One Dollars and Four Cents (\$27,091.04).

**Renard Barto Backup**

Address: 592 Brush Ridge Rd., Fleischmanns, NY 12430

Town: Middletown

Bedrooms: 4

Engineer: Rex Sanford

Contractor: Four Seasons Landscaping

Homeowner and CWC staff received a quote from a contractor in the amount of \$27,091.04. The major components for this system include 1250 gallon septic tank, one pump chamber, 13 linear feet of gravity piping, 326 linear feet of force main, 675 square feet of conventional absorption bed, one distribution box, one effluent filter, insulate the pipe, 230 linear feet of access road for setting tanks, and site restoration. The quote is within 10% of our estimated cost of construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$27,091.04.

**Voice Vote, carried unanimously**



**RESOLUTION NO. 3810**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**KORIE ENYARD**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Forty-Four Thousand One Hundred Seventy-Six Dollars and Forty-Eight Cents (\$44,176.48); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Forty-Four Thousand One Hundred Seventy-Six Dollars and Forty-Eight Cents (\$44,176.48) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Forty-Four Thousand One Hundred Seventy-Six Dollars and Forty-Eight Cents (\$44,176.48) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Forty-Four Thousand One Hundred Seventy-Six Dollars and Forty-Eight Cents (\$44,176.48).

**Korie Enyard Backup**

Address: 5065 Route 28A, Boiceville, NY 12412

Town: Olive

Bedrooms: 4

Engineer: Rex Sanford

Contractor: Frank Kortright Excavating

Homeowner and CWC staff received three quotes from unrelated contractors for \$44,176.48, \$44,932.00 and \$45,183.00. Major components of this system will include a 1,250 gallon septic tank, 100 linear feet of gravity piping, 480 cubic yards of absorption fill material, 330 linear feet of absorption trench, one distribution box, an effluent filter, 160 linear feet of curtain drain, 57 linear feet of curtain drain outlet pipe, 20-25 trees to be removed, a temporary access road and extensive site restoration. The lowest quote is within 10% of the estimated cost for construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to \$44,176.48.

**Voice Vote, carried unanimously**



**Septic Over \$25,000 – James Franz – Tab 9**

A motion to approve Resolution No. 3811 was made by Wayne Marshfield and seconded by Robert Pelham.

July 7, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
JAMES FRANZ**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Nine Thousand Nine Hundred Eighty Dollars (\$29,980.00); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Nine Thousand Nine Hundred Eighty Dollars (\$29,980.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Twenty-Nine Thousand Nine Hundred Eighty Dollars (\$29,980.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Nine Thousand Nine Hundred Eighty Dollars (\$29,980.00).

**James Franz Backup**

Address: 5593 Route 23C, Prattsville, NY 12468

Town: Jewett

Bedrooms: 5

Engineer: Steve Schilhorn

Contractor: James Rion Construction

Homeowner and CWC staff received a quote from a contractor in the amount of \$29,980.00. The major components for this system include one 1500 gallon septic tank, one siphon chamber, 53 linear feet of gravity piping, 444 cubic yards of absorption fill material, 1240 square feet of absorption bed, three distribution boxes, one effluent filter, 100 linear feet of curtain drain, tree removal, and extensive site restoration. The quote is below the estimated cost of construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$29,980.00.

**Voice Vote, carried unanimously**



**Septic Over \$25,000 – Erick Hinkley – Tab 10**

A motion to approve Resolution No. 3812 was made by Robert Pelham and seconded by Arthur Merrill.

July 7, 2020

**RESOLUTION NO. 3812**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
ERICK HINKLEY**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Nine Thousand Nine Hundred Ninety-Nine Dollars (\$29,999.00); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Nine Thousand Nine Hundred Ninety-Nine Dollars (\$29,999.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommends authorizing reimbursement of Twenty-Nine Thousand Nine Hundred Ninety-Nine Dollars (\$29,999.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Nine Thousand Nine Hundred Ninety-Nine Dollars (\$29,999.00).

**Erick Hinkley Backup**

Address: 26 Turkey Ridge Road, Halcott Center, NY 12430

Town: Halcott

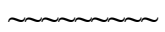
Bedrooms: 3

Engineer: John Bolger

Contractor: Jim Peters Excavating

Homeowner and CWC staff received a quote from a contractor in the amount of \$29,999.00. The major components for this system include one 1000 gallon septic tank, one plastic pump chamber, 40 linear feet of gravity piping, 235 linear feet of force main, 236 cubic yards of absorption fill material, 250 linear feet of absorption trench, one distribution box, one effluent filter, 85 linear feet of curtain drain, 25 linear feet of curtain drain outlet pipe, 2-5 trees removed, a temporary access road and extensive site restoration. The quote is well below the estimated cost of construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$29,999.00.

**Voice Vote, carried unanimously**



**Septic Over \$25,000 – Thomas Jakubowski – Tab 11**

A motion to approve Resolution No. 3813 was made by Innes Kasanof and was seconded by James Eisel.

July 7, 2020

**RESOLUTION NO.3813**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
THOMAS JAKUBOWSKI**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Four Thousand Nine Hundred Fifty Dollars (\$34,950.00); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Four Thousand Nine Hundred Fifty Dollars (\$34,950.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Thirty-Four Thousand Nine Hundred Fifty Dollars (\$34,950.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Four Thousand Nine Hundred Fifty Dollars (\$34,950.00).

**Thomas Jakubowski Backup**

Address: 230 County Route 56, Maplecrest, NY 12454

Town: Windham

Bedrooms: 3

Engineer: Steve Schildhorn

Contractor: James Rion Construction

Homeowner and CWC staff received three quotes from unrelated contractors for \$34,950.00, \$39,000.00 and \$41,065.00. Major components of this system will include a 1,000 gallon septic tank, one siphon chamber, 75 linear feet of gravity piping, 679 cubic yards of absorption fill material, 330 linear feet of absorption trench, one distribution box, one effluent filter, 70 linear feet of curtain drain, remove multiple trees, and extensive site restoration. The lowest quote is below the estimated cost for construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to \$34,950.00.

Voice Vote, carried unanimously



**Septic Over \$25,000 – Carla Scheele – Tab 12**

A motion to approve Resolution No. 3814 was made by James Sofranko and was seconded by Wayne Marshfield.

July 7, 2020

**RESOLUTION NO. 3814**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
CARLA SCHEELE**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Forty-Five Thousand Eight Hundred Fifty-Nine Dollars and Eighty-Seven Cents (\$45,859.87); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Forty-Five Thousand Eight Hundred Fifty-Nine Dollars and Eighty-Seven Cents (\$45,859.87) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement approving the contractor’s quote of Forty-Five Thousand Eight Hundred Fifty-Nine Dollars and Eighty-Seven Cents (\$45,859.87) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Forty-Five Thousand Eight Hundred Fifty-Nine Dollars and Eighty-Seven Cents (\$45,859.87).

**Carla Scheele Backup**

Address: 42 Lasher Rd., Big Indian, NY 12410  
Town: Shandaken  
Bedrooms: 4  
Engineer: Rex Sanford  
Contractor: Josh Construction

Homeowner and CWC staff received three quotes from unrelated contractors for \$45,859.87, \$46,423.53 and \$47,016.71. The major components include one 1000 gallon septic tank, one pump chamber, 138 linear feet of gravity piping, 77 linear feet of force main, 415 cubic yards of absorption fill material, 1000 square feet of absorption bed, 70 linear feet of curtain drain, one distribution box, 2 effluent filters, trees to be removed, and extensive site restoration. The lowest quote is within 10% of the estimated cost for

construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$45,859.87.

**Voice Vote, carried unanimously**



**Septic Over \$25,000 – Rand Silver– Tab 13**

A motion to approve Resolution No. 3815 was made by Wayne Marshfield and was seconded by Arthur Merrill.

July 7, 2020

**RESOLUTION NO. 3815**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
RAND SILVER:**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Nine Thousand Nine Hundred Ninety-Five Dollars (\$29,995.00); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Nine Thousand Nine Hundred Ninety-Five Dollars (\$29,995.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Twenty-Nine Thousand Nine Hundred Ninety-Five Dollars (\$29,995.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Nine Thousand Nine Hundred Ninety-Five Dollars (\$29,995.00).

**Rand Silver Backup**

Address: 197 Van Keuren Rd., New Kingston, NY 12459

Town: Middletown

Bedrooms: 3

Engineer: Steele Brook Engineering

Contractor: LaFever Excavating

Homeowner and CWC staff received a quote from a contractor in the amount of \$29,995.00. The major components for this system include one 1000 gallon septic tank, one siphon chamber, 328 linear feet of gravity piping, 313 cubic yards of absorption fill material, 225 linear feet of absorption trench, one distribution box, one effluent filter, 110 linear feet of curtain drain, 80 linear feet of curtain drain outlet

pipe, 305 linear feet of improved swale, 3 clean outs, and extensive site restoration. The quote is well below the estimated cost of construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$29,995.00.

**Voice Vote, carried unanimously**



**Septic – Over \$25,000 – Ryan Telian – Tab 14**

A motion to approve Resolution No. 3816 was made by Tina Molé and was seconded by Wayne Marshfield.

July 7, 2020

**RESOLUTION NO.3816**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**RYAN TELIAN**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Three Thousand Nine Hundred Twenty-Nine Dollars (\$33,929.00); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Three Thousand Nine Hundred Twenty-Nine Dollars (\$33,929.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Thirty-Three Thousand Nine Hundred Twenty-Nine Dollars (\$33,929.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Three Thousand Nine Hundred Twenty-Nine Dollars (\$33,929.00)

**Ryan Telian Backup**

Address: 4117 County Highway 6, Margaretville NY 12455

Town: Middletown

Bedrooms: 4

Engineer: Steele Brook Engineering

Contractor: Delaware Bulldozing Corp.

Homeowner and CWC staff received three quotes from unrelated contractors for \$33,929.00, \$34,600.00 and \$35,275.00. Major components of this system will include a 1,250 gallon septic tank, 22 linear feet of gravity piping, 61 linear feet of force main, 175 cubic yards of absorption fill material, 92 cubic yards of

random fill, one effluent filter, four peat modules, 48 linear feet of curtain drain, 20 linear feet of curtain drain outlet pipe and site restoration. The quote is within 10% of the estimated cost of construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to \$33,929.00.

**Voice Vote, carried unanimously**



**Septic Over \$25,000 - Mercedes Wakin – Tab 15**

A motion to approve Resolution No. 3817 was made by Wayne Marshfield and was seconded by Wayne Marshfield.

July 7, 2020

**RESOLUTION NO. 3817**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
MERCEDES WAKIN**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Eight Thousand Nine Hundred Fifty-Seven Dollars (\$28,957.00); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Eight Thousand Nine Hundred Fifty-Seven Dollars (\$28,957.00); to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Twenty-Eight Thousand Nine Hundred Fifty-Seven Dollars (\$28,957.00); as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Eight Thousand Nine Hundred Fifty-Seven Dollars (\$28,957.00).

**Mercedes Wakin Backup**

Address: 15451 State Highway 28, Delhi, NY 13753

Town: Delhi

Bedrooms: 3

Engineer: Sander Engineering

Contractor: Delaware Bulldozing Corp.

Homeowner and CWC staff received a quote from a contractor in the amount of \$28,957.00. The major components for this system include one 1000 gallon septic tank, one pump chamber, 25 linear feet of gravity

pipng, 150 linear feet of force main, 286 cubic yards of absorption fill material, 288 square feet of Eljen Units, one distribution box, one effluent filter, 10 cubic yards of C-33 sand, 123 linear feet of curtain drain, 20 linear feet of 6" steel sleeve, and site restoration. The quote is well below the estimated cost of construction. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$28,957.00.

**Voice Vote, carried unanimously**



**Septic over \$25,000 – John Way – Tab 16**

A motion to approve Resolution No. 3818 was made by Arthur Merrill and was seconded by Tina Molé.

July 7, 2020

**RESOLUTION NO. 3818**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
JOHN WAY**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Four Thousand Twenty-One Dollars (\$34,021.00); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Four Thousand Twenty-One Dollars (\$34,021.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend authorizing reimbursement of Thirty-Four Thousand Twenty-One Dollars (\$34,021.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, the Septic Committee recommends that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Four Thousand Twenty-One Dollars (\$34,021.00).

**John Way Backup**

Address: 1660 Lee Hollow Rd, Delhi NY 13753

Town: Bovina

Bedrooms: 4

Engineer: Steel Brook Engineering

Contractor: Delaware Bulldozing Corp.

Homeowner and CWC staff received three quotes from unrelated contractors for \$34,021.00, \$36,500.00 and \$37,810.00 Major components of this system include a 1,250 gallon septic tank, one siphon chamber, 181 linear feet of gravity piping, 351 cubic yards of absorption fill material, 275 linear feet of absorption

trench, one distribution box, one effluent filter, 120 linear feet of curtain drain, 88 linear feet of curtain drain outlet pipe, 10 linear feet of steel sleeve, temporary access road and extensive site restoration. The lowest quote is within 10% of the estimated cost for construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to \$34,021.00.

**Voice Vote, carried unanimously**



**Second Time Repair – Gary Aikens – Tab 17**

A motion to approve Resolution No. 3819 was made by Wayne Marshfield and was seconded by Tina Molé.

July 7, 2020

**RESOLUTION NO.3819**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY**  
**GARY AIKENS**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, Gary Aikens, requested eligibility under the MOA Septic Program for a second time repair funding for his septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**Gary Aikens Backup**

Mr. Aikens’s property is located on 1424 Roberts Rd., in the Town of Kortright, Delaware County. The MOA Septic Program previously reimbursed the property owner for replacement of his septic system in 2000. Since 2000, the property owner has done routine septic pump-outs of his septic system in 2004, 2008 and again in 2016. CWC staff noted that the leach field appears to be in failure, sewage is surfacing on the ground. CWC Septic Committee recommend the CWC Board of Director’s approve Mr. Aikens’s eligibility for a second time repair funded by the MOA Septic Program.

**Voice Vote, carried unanimously**



**Second time Repair – Sal Casamassima– Tab 18**

A motion to approve Resolution No. 3820 was made by Innes Kasanof and was seconded by Wayne Marshfield.

July 7, 2020

**RESOLUTION NO.3820**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY  
SAL CASAMASSIMA**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, Sal Casamassima , requested eligibility under the MOA Septic Program for a second time repair funding for his septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**Sal Casamassima Backup**

Mr. Casamassima’s project is located at 25 Carmer Rd. in the Town of Jewett, Greene County. It was paid for in 2009 under the Priority 5 Program. The absorption field has two laterals that have failed. Solids are migrating from the septic tank to the pump chamber causing the pump to burn out. There appears to be a leak between the septic tank and pump chamber as well. The homeowner had maintenance pump outs done in 2017 and 2020. The Committee recommended Board of Directors approve Mr. Casamassima eligibility for a second time repair funded by the CWC MOA Program.

**Voice Vote, carried unanimously**



**Second Time Repair – Cellie Davis– Tab 19**

A motion to approve Resolution No. 3821 was made by James Eisel and was seconded by Arthur Merrill.

July 7, 2020

**RESOLUTION NO.3821**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY**  
**CELLIE DAVIS**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, Cellie Davis, requested eligibility under the MOA Septic Program for a second time repair funding for her septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**Cellie Davis Backup**

Mrs. Davis’s property is located in the Town of Middletown, Delaware County. MOA Septic Program previously reimbursed the property owner for replacement of her septic system in 2009. Since 2009, the property owner has done routine septic pump-outs of her septic system in 2014, 2017 and again in 2020. CWC staff noted that the absorption field seems to be in failure. When the septic tank was pumped out the liquid level was above the outlet pipe and liquid ran back into the tank for several minutes after being pumped. CWC Septic Committee recommend the CWC Board of Director’s approve Mrs. Davis’s eligibility for a second time repair funded by the MOA Septic Program.

***Voice Vote, carried unanimously***



**Future Stormwater – Four Goats LLC – Tab 20**

A motion to approve Resolution No. 3822 was made by Christopher Mathews and was seconded by Innes Kasanof.

July 7, 2020

**RESOLUTION NO. 3822**

**BOARD REVIEW OF FUTURE STORMWATER MAINTENANCE**

**AGREEMENT TO FOUR GOATS, LLC**

**WHEREAS**, pursuant to Paragraph 128 of the 1997 New York City Watershed (“Watershed MOA”), the Catskill Watershed Corporation (“CWC”) is the program manager for the Future Stormwater Program; and

**WHEREAS**, the Future Stormwater Program is intended to reimburse applicants for costs associated solely from requirements of New York City Department of Environmental Protection Watershed Regulations that are not also State or Federal requirements; and

**WHEREAS**, interest from earnings on Future Stormwater Funds can be used to reimburse applicants for maintenance costs associated with previously approved applications; and

**WHEREAS**, on January 25, 2005, by Resolution Number 799, the CWC Board of Directors approved the Future Stormwater Application of Cannie D’s Corner Corporation for 50% reimbursement of eligible costs in an amount not to exceed Twenty-Nine Thousand Seven Hundred Seventy-Two Dollars and Twenty-Nine Cents (\$29,772.29) (the “Cannie D’s Future Stormwater Project”); and

**WHEREAS**, by Resolution Numbers 1052, 1485, and 1900, the CWC Board of Directors approved operation and maintenance costs for Cannie D’s Corner Corporation for 50% reimbursement of eligible costs with total contract reimbursement not to exceed a total of Sixty Thousand Dollars (\$60,000); and

**WHEREAS**, Sullivan County Industrial Development Agency has title to the property but all other ownership rights and obligations remained with Cannie D’s Corner Corporation; and

**WHEREAS**, Cannie D’s Corner Corporation has sold the business to Four Goats, LLC, who entered into a new leaseback agreement with Sullivan County Industrial Development Agency for the property where the Future Stormwater project is located; and

**WHEREAS**, the sum of Fourteen Thousand Nine Hundred Forty-Eight Dollars (\$14,948.00) remains available in the Cannie D’s Corner Corporation agreement with CWC; and

**WHEREAS**, Four Goats, LLC has requested the contract with Cannie D’s Corner Corporation be transferred to them; and

**WHEREAS**, September 6, 2016, by Resolution Number 2935, the CWC Board of Directors approved a contract with Four Goats, LLC for reimbursement of stormwater maintenance for a total not-to-exceed amount of Fourteen Thousand Nine Hundred Forty-Eight Dollars (\$14,948.00); and

**WHEREAS**, Four Goats, LLC has completed routine maintenance of the stormwater project but never applied for approved funding; and

**WHEREAS**, Four Goats, LLC has now applied for one half of funds from CWC Future Stormwater Program of eligible costs in the amount of Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

**WHEREAS**, Four Goats, LLC has now applied for the remaining one half of funds from CWC MOA 145 Program of eligible costs in the amount of Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

**WHEREAS**, the Future Stormwater/MOA 145 Program Rules require that the CWC Board of Directors shall review and approve each application, with attached documentation and staff recommendations; and

**WHEREAS**, CWC staff recommended reimbursement from CWC Future Stormwater Programs of eligible stormwater costs for an amount not-to-exceed of Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

**WHEREAS**, CWC staff recommended reimbursement from the MOA 145 Program of eligible stormwater costs for an amount not-to-exceed Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

**WHEREAS**, the CWC Wastewater/Stormwater Committee has reviewed the CWC Staff recommendation and recommends that the CWC Board of Directors authorize the President and/or Executive Director to enter a contract with Four Goats, LLC for request for funding of stormwater maintenance for a total not-to-exceed amount of Fifty Nine Thousand Three Hundred Ninety-Seven Dollars and Forty-Four Cents (\$59,397.44), 50% of funds coming from the CWC Future Stormwater Program and the remaining 50% of funds coming from CWC MOA 145 Program; and

**NOW, THEREFORE, LET IT BE RESOLVED**, that the CWC Board of Directors has reviewed the staff recommendation and supporting documentation and approves reimbursement from the CWC Future Stormwater Program in the not-to-exceed amount of Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

**NOW, THEREFORE, LET IT BE FURTHER RESOLVED**, that the CWC Board of Directors has reviewed the staff recommendation and supporting documentation and approves reimbursement from the MOA 145 Program in the not-to-exceed amount of Twenty-Nine Thousand Six Hundred and Ninety-Eight Dollars and Seventy-Two Cents (\$29,698.72) for the funding of stormwater maintenance for this project; and

#### **Future Stormwater/MOA 145 O&M – Four Goats, LLC**

Four Goats LLC own and operate a convenience store in the Town of Neversink Sullivan County. On January 25, 2005 by resolution 799, the CWC Board of Directors approved \$29,772.29 for design and construction costs associated with compliance of New York City Watershed Rules and Regulation for Cannie D's Corner Corporation in Neversink, Sullivan County. These funds represented half the total project with NYCDEP reimbursing the other half through the MOA 145 program. The gas station and convenience store implemented BMP's including an oil/water separator, gutters and catch basins. From 2006 through 2015, by resolutions 1052, 1485, 1900, and 2450 Cannie D's applied and was approved for numerous maintenance reimbursements. In December, 2015 Cannie D's sold the business to Four Goats, LLC. On September 6, 2016 by resolution 2935, the CWC Board of Directors approved the transfer of remaining approved O&M funds from Cannie D's to Four Goats, LLC. Despite this transfer of funds, the new owners never applied for reimbursement.

Staff received a call from an owner of Four Goats, LLC in May, inquiring if they were still eligible for reimbursement. The owner stated that they had kept all records of maintenance since they took over the business but never sent it in for reimbursement. Due to the time since the 2016 approval and contract, staff decided it would be more appropriate to handle the request as a new application. The request is for reimbursement of previous maintenance payments and for an additional two years' worth of funding to ensure continued maintenance.

The owners have consistently had the stormwater catch basins cleaned four times per year and had their oil/water separator pumped once. They claim the catch basins fill quickly and require regular upkeep. They would also like to have the oil/water separator pumped again this year. The total funding request is, \$59,397.44. As a small business the Future Stormwater program can provide for 50% of these costs, with the remaining 50% coming from the MOA 145 Program.

**Recommended FSW O&M Funding: \$29,698.72**

**Recommended MOA 145 O&M Funding: \$29,698.72**

**Voice Vote, carried unanimously**

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**Stormwater Retrofit – Village of Fleischmanns – Lake Street – Tab 21**

A motion to approve Resolution No. 3823 was made by James Eisel and was seconded by Innes Kasanof.

July 7, 2020

**RESOLUTION NO. 3823**

**BOARD REVIEW OF STORMWATER RETROFIT PROGRAM APPLICATION  
FOR VILLAGE OF FLEISCHMANN'S LAKE STREET PROJECT**

**WHEREAS**, Paragraph 125 of the 1997 New York City Watershed Memorandum of Agreement (“MOA”) directs the Catskill Watershed Corporation (“CWC”) to establish a program to pay the costs to design, permit, maintain, implement and construct Stormwater Best Management Practices (BMP’s) to address existing stormwater runoff in concentrated areas of impervious surface in West of Hudson Watershed to the extent such BMP’s are necessary to correct or reduce existing erosion and/or pollutant loading; and

**WHEREAS**, by Resolution Number 265, the CWC Board of Directors established the Stormwater Retrofit Program “Program” Rules that provides for payment of eligible project costs; and

**WHEREAS**, pursuant to the CWC Program Rules as adopted by the CWC Board of Directors, the CWC staff and NYCDEP staff must evaluate grant requests and issue funding recommendations to the CWC Wastewater Committee, which shall issue recommendations to the CWC Board of Directors; and

**WHEREAS**, on January 5, 2016 by Resolution 2799, the CWC Board of Directors approved funding in the amount of Forty-Six Thousand Eight Hundred and Seventy-Five Dollars (\$46,875.00) to identify potential stormwater retrofit projects through a planning and assessment report for the Village of Fleischmanns; and

**WHEREAS**, the Village of Fleischmanns completed their planning and assessment report; and

**WHEREAS**, the Village of Fleischmanns planning and assessment report identified a favorable project located on Lake Street; and

**WHEREAS**, the Village of Fleischmanns applied for funding in the amount of Three Hundred Ninety-Eight Thousand One Hundred Eighty-One Dollars and Seventy-Five Cents (\$398,181.75) for the design, construction and implementation of the project; and

**WHEREAS**, CWC and NYCDEP staff have jointly reviewed and recommend the Village of Fleischmanns’ Lake Street Stormwater Improvements Retrofit application for design, construction and implementation funding as detailed in the application dated April 17, 2020; and

**WHEREAS**, the CWC Wastewater Committee has reviewed and recommended the proposal as detailed in the application; and

**WHEREAS**, pursuant to the CWC Program Rules, the CWC Board of Directors has reviewed the attached recommendation and supporting documentation.

**NOW, THEREFORE, LET IT BE RESOLVED**, the CWC Board of Directors approves funding in the not to exceed amount of Three Hundred Ninety-Eight Thousand One Hundred Eighty-One Dollars and Seventy-Five Cents (\$398,181.75) for the design, construction and implementation of the project.

**NOW, THEREFORE BE IT FURTHER RESOLVED**, approval of grants by the CWC Board of Directors constitutes a preliminary decision under the 1997 Watershed Memorandum of Agreement and the decision will become final without any further action by the CWC Board of Directors unless an objection is timely filed with the Watershed Protection and Partnership Council.

**CWC Stormwater Retrofit – Village of Fleischmanns Lake Street**

The Village of Fleischmanns was awarded \$46,875 for a Stormwater Retrofit Planning and Assessment project in 2016. The P&A report identified three focus areas for the Village to address for potential Stormwater Retrofit projects. In January, 2020 CWC received an application for a project on Lake Street. The application identified potential stormwater retrofit options but was deemed to not have enough of an emphasis on the water quality benefit upon review by CWC and DEP. After further discussions, consultations and site visits, the Village through their engineer resubmitted an application on April 17th. The initial application amount was \$125,575. The incorporation of additional features and treatment options increased the new application request to \$398,181.75.

The scope of work for the project includes addressing runoff that flows down Lake Street towards Main Street and the gas station that sits on the corner of the two streets. This solution includes installing new catch basins and pipe on Lake Street to increase conveyance capacity and allow the flow to more efficiently enter the drainage system as opposed to the gas station parking lot. Additionally, untreated runoff from the Firehouse, Village DPW building and adjacent paved areas will also be addressed in order to remove pollutants and improve the quality of the stormwater entering Emory Brook. Stormwater treatment addressed in the application included; rain gardens, bioretention areas and a hydrodynamic separator treatment unit. Follow up conversations and discussion of the project with the Village’s engineer, CWC and DEP have resulted in agreement to remain flexible in the specific treatment options included in the application and address them more specifically during actual design. There will be a coordinated effort to ensure ample water quality improvement as part of the project.

The project is anticipated to start as soon as a funding agreement is executed. Final design is estimated to be completed this summer. Bidding and awarding the project to a contractor was scheduled for July - August 2020 but will depend on design completion. Once a contractor is hired the work will proceed with the anticipation the project will be complete in the summer of 2021.

**Recommended SWR Funding: \$398,181.75**

*Voice Vote, carried unanimously*



**Stormwater Retrofit – O&M Catskill Mountain Foundation, Inc. - Tab 22**

A motion to approve Resolution No. 3824 was made by Robert Pelham and was seconded by James Eisel.

July 7, 2020

**RESOLUTION NO. 3824**

**BOARD REVIEW OF STORWATER RETROFIT APPLICATION OPERATION & MAINTENANCE FUNDS  
FOR CATSKILL MOUNTAIN FOUNDATION, INC  
SUGAR MAPLES PROJECT**

**WHEREAS**, Paragraph 125 of the 1997 New York City Watershed Memorandum of Agreement (“MOA”) directs the Catskill Watershed Corporation (“CWC”) to establish a program to pay the costs to design, permit, maintain, implement and construct Stormwater Best Management Practices (BMP’s) to address

existing stormwater runoff in concentrated areas of impervious surface in West of Hudson Watershed to the extent such BMP's are necessary to correct or reduce existing erosion and/or pollutant loading; and

**WHEREAS**, by Resolution Number 265, the CWC Board of Directors established the Stormwater Retrofit Program "Program" Rules that provides for payment of eligible project costs; and

**WHEREAS**, pursuant to the CWC Program Rules as adopted by the CWC Board of Directors, the CWC staff and NYCDEP staff must evaluate grant requests and issue funding recommendations to the CWC Wastewater Committee, which shall issue recommendations to the CWC Board of Directors; and

**WHEREAS**, pursuant to the CWC Program Rules as adopted by the CWC Board of Directors, Stormwater Retrofit projects are eligible for maintenance funds totaling 17.6% of the CWC Stormwater Retrofit grant funds actually utilized, for regular periodic inspections, additional inspections before and after heavy storm events, periodic removal of debris and excavation of sediment from BMPs, and necessary repairs as needed to preserve the function of the BWIPs; and

**WHEREAS**, pursuant to Resolution Number 1405, the CWC Board of Directors approved funding to the Greene County Soil & Water Conservation District in an amount not to exceed One Hundred Fifty-Three Thousand Five Hundred Three Dollars (\$153,503.00) for a Stormwater Retrofit Project; and

**WHEREAS**, pursuant to Resolution Number 1875, the CWC Board of Directors approved entering into contract with the Greene County Soil & Water Conservation District to provide maintenance funds in the amount of Twenty Thousand Five Hundred Fifty-Four Dollars and Fifty Cents (\$20,554.50), representing 17.6% of the total CWC Stormwater Retrofit Funds expended on this project; and

**WHEREAS**, none of the required operation and maintenance was completed by Greene County Soil & Water Conservation District, resulting in CWC requesting the funds in the amount of Twenty Thousand Five Hundred Fifty-Four Dollars and Fifty Cents (\$20,554.50) be returned to CWC for redistribution to Catskill Mountain Foundation, Inc.: Sugar Maples for their use to maintain the installed stormwater retrofit systems; and

**WHEREAS**, the Catskill Mountain Foundation, Inc: Sugar Maples has applied for funding from the Stormwater Retrofit II Program in the not-to-exceed amount of Twenty Thousand Five Hundred Fifty-Four Dollars and Fifty Cents (\$20,554.50) to repair and maintain the stormwater management systems installed at Sugar Maples; and

**WHEREAS**, any required operation and maintenance costs not covered by CWC funding shall be the responsibility of the project owner and/or project sponsor; and

**WHEREAS**, CWC and NYCDEP staff have jointly reviewed and recommend the Catskill Mountain Foundation, Inc: Sugar Maples application for funding as detailed in the application dated May 7, 2020 requesting a not-to-exceed amount of Twenty Thousand Five Hundred Fifty-Four Dollars and Fifty Cents (\$20,554.50) and have presented their recommendations to the CWC Wastewater Committee; and

**WHEREAS**, pursuant to the CWC Program Rules, the CWC Board of Directors has reviewed the attached recommendation and supporting documentation.

**NOW, THEREFORE, LET IT BE FURTHER RESOLVED**, the CWC Board of Directors authorizes the President and/or Executive Director to execute an Operation and Maintenance Contract with the Catskill Mountain Foundation, Inc: Sugar Maples for Twenty Thousand Five Hundred Fifty-Four Dollars and Fifty Cents (\$20,554.50).

**NOW, THEREFORE BE IT FURTHER RESOLVED**, approval of an application by the CWC Board of Directors constitutes a preliminary decision under the Watershed MOA and the decision will become

final without any further action by the Board unless an objection is timely filed with the Watershed Protection and Partnership Council.

**CWC Stormwater Retrofit O&M – Catskill Mountain Foundation, Inc.: Sugar Maples**

On September 23, 2008, by Resolution 1405 the CWC Board of Directors approved \$153,503 for the Sugar Maples Stormwater Retrofit project at 35 Big Hollow Road, Maplecrest, NY 12454. In November 2008, CWC executed a contract with GCSWCD to improve stormwater runoff from drainage and increase runoff quality at the Sugar Maples property. The stormwater funding was used to construct a bioswale and rain gardens along with new stormwater conveyance components such as catch basins, storm drains and culverts.

On March 1, 2011, by Resolution 1875, GCSWCD was approved for funding in the amount of 17.6% of construction funds, \$20,554.50 for operation and maintenance for these new stormwater treatments. GCSWCD never applied for reimbursement of any of these funds, nor did they provide any maintenance for this system. Funding was returned in full to CWC.

CWC reached out to Sugar Maples directly in April, 2019 discussing the maintenance funds that were available, and that Sugar Maples as an independent entity would have to reapply for these funds to maintain these stormwater treatments. Sugar Maples informed CWC at this time that there had been significant damage done to the treatment system during Hurricane Irene and that before they could proceed with maintenance on this project the system would require repairs. CWC reached out to DEP and GCSWCD regarding this damage. The original designer of the stormwater system, and the Executive Director of GCSWCD conducted a site visit resulting in a list of necessary repairs and estimated cost to complete this work. The estimated repair costs totaled \$12,560. DEP and CWC agreed that these repairs would be best funded by the O&M funds available from the original project, saving the remaining funds for future maintenance, once the repairs are completed.

Sugar Maples has applied for the total O&M funds of \$20,554.50 to complete the repair and continued maintenance of the stormwater management systems installed at their property going forward. CWC will retain these funds, which will only be disbursed upon eligible invoices received and reviewed from Sugar Maples showing what repair and maintenance was completed.

**Recommended SWR O&M Funding: \$20,554.50**

***Voice Vote, carried unanimously***



**Stormwater Retrofit – O&M Windham Mountain – Tab 23**

A motion to approve Resolution No. 3825 was made by Robert Pelham and was seconded by James Sofranko.

July 7, 2020

**RESOLUTION NO. 3825**

**BOARD REVIEW OF STORMWATER RETROFIT OPERATION & MAINTENANCE FUNDS FOR WINDHAM MOUNTAIN**

**WHEREAS**, Paragraph 125 of the 1997 New York City Watershed Memorandum of Agreement (“MOA”) directs the Catskill Watershed Corporation (“CWC”) to establish a program to pay the costs to design, permit, maintain, implement and construct Stormwater Best Management Practices (BMP’s) to address existing stormwater runoff in concentrated areas of impervious surface in West of Hudson Watershed to the extent such BMP’s are necessary to correct or reduce existing erosion and/or pollutant loading; and

**WHEREAS**, by Resolution Number 265, the CWC Board of Directors established the Stormwater Retrofit Program “Program” Rules that provides for payment of eligible project costs; and

**WHEREAS**, pursuant to the CWC Program Rules as adopted by the CWC Board of Directors, the CWC staff and NYCDEP staff must evaluate grant requests and issue funding recommendations to the CWC Wastewater Committee, which shall issue recommendations to the CWC Board of Directors; and

**WHEREAS**, pursuant to the CWC Program Rules as adopted by the CWC Board of Directors, Stormwater Retrofit projects are eligible for maintenance funds totaling 17.6% of the CWC Stormwater Retrofit grant funds actually utilized, for regular periodic inspections, additional inspections before and after heavy storm events, periodic removal of debris and excavation of sediment from BMPs, and necessary repairs as needed to preserve the function of the BWIPs; and

**WHEREAS**, pursuant to Resolution Number 655, the CWC Board of Directors approved funding to the Windham Mountain Partners, LLC in an amount not to exceed Two Hundred Seventy-Nine Thousand Six Hundred Thirty Dollars (\$279,630.00) for a Stormwater Retrofit Project; and

**WHEREAS**, pursuant to Resolution Number 2279, the CWC Board of Directors approved entering into contract with the Greene County Soil & Water Conservation District to provide maintenance funds in the amount of Forty-Nine Thousand One Hundred Ninety-One Dollars and Thirteen Cents (\$49,191.13), representing 17.6% of Two Hundred Seventy-Nine Thousand Four Hundred Ninety Five Dollars and Eight Cents (\$279,495.08), the actual amount of funds expended on the project; and

**WHEREAS**, none of the required operation and maintenance was completed by Greene County Soil & Water Conservation District, resulting in CWC requesting the funds in the amount of Forty-Nine Thousand One Hundred Ninety-One Dollars and Thirteen Cents (\$49,191.13), be returned to CWC for redistribution to Windham Mountain for their use to maintain installed stormwater retrofit systems; and

**WHEREAS**, Windham Mountain has applied for funding from the Stormwater Retrofit II Program in the not-to-exceed amount of Forty-Nine Thousand One Hundred Ninety-One Dollars and Thirteen Cents (\$49,191.13) to repair and maintain the stormwater management systems installed at Windham Mountain; and

**WHEREAS**, any required operation and maintenance costs not covered by CWC funding shall be the responsibility of the project owner and/or project sponsor; and

**WHEREAS**, CWC and NYCDEP staff have jointly reviewed and recommend the Windham Mountain application for funding as detailed in the application dated April 30, 2020 requesting a not-to-exceed amount of Forty-Nine Thousand One Hundred Ninety-One Dollars and Thirteen Cents (\$49,191.13) and have presented their recommendations to the CWC Wastewater Committee; and

**WHEREAS**, pursuant to the CWC Program Rules, the CWC Board of Directors has reviewed the attached recommendation and supporting documentation.

**NOW, THEREFORE, LET IT BE FURTHER RESOLVED**, the CWC Board of Directors authorizes the President and/or Executive Director to execute an Operation and Maintenance Contract with the Windham Mountain for Forty-Nine Thousand One Hundred Ninety-One Dollars and Thirteen Cents (\$49,191.13); and

**NOW, THEREFORE BE IT FURTHER RESOLVED**, approval of an application by the CWC Board of Directors constitutes a preliminary decision under the Watershed MOA and the decision will become final without any further action by the Board unless an objection is timely filed with the Watershed Protection and Partnership Council.

On March 14, 2005 by resolution 655 the CWC Board of Directors approved \$279,630.00 for a stormwater retrofit project at Windham Mountain. The project, in collaboration with Greene County Soil and Water Conservation District was designed and implemented to treat stormwater runoff from concentrated impervious areas, stabilize the Batavia Kill stream, and create both water quality wetlands and riparian buffers along the stream for Windham Mountain at the property located at 19 Resort Dr, Windham, NY 12496. The construction consisted of stormwater treatment that includes three levels of treatment, primary, secondary and tertiary. Primary treatment consisted of a combination of hydrodynamic devices, constructed pocket wetlands, infiltration trenches, bioretention areas, vegetated swales, and outfall protection as close to pollution sources as possible. Secondary treatment included enhancing an existing wet depression located on the north side of South Street in the existing drainage way. Tertiary treatment was accomplished by constructing a stormwater wetland to serve as a polishing impoundment before stormwater enters the Batavia Kill just downstream of the South Street bridge. As a condition of that funding, GCSWCD also agreed to maintain these new stormwater treatments. O&M funds, totaling \$49,191.13, representing 17.6% of construction costs were awarded to GCSWCD for maintenance in 2013. GCSWCD was required to provide CWC an Annual O&M Report with information including work done to maintain these systems, funds used for maintenance, and remaining balance on the account containing the funds. CWC never received these reports despite numerous requests. CWC recently demanded the funding be returned by GCSWCD, which they did on February 19, 2020.

CWC now has available maintenance funding of \$49,191.13 for operation and maintenance of these stormwater treatments at Windham Mountain. Windham Mountain applied for these funds to continue maintenance and repair of these stormwater management systems installed at their property going forward. CWC will retain these funds, which will only be disbursed upon invoices received, and reviewed, from Windham Mountain showing what maintenance was completed, and the costs to do so.

**Recommended SWR O&M Funding: \$49,191.13**



**FHMIP – Joseph and Kerri Murnan – Design Cost- Tab 24**

A motion to approve Resolution No. 3826 was made by Innes Kasanof and was seconded by Christopher Mathews.

**RESOLUTION NO. 3826**

**FLOOD HAZARD MITIGATION IMPLEMENTATION PROGRAM**  
**PROPERTY PROTECTION MEASURES – DESIGN COSTS**  
**JOSEPH AND KERRI MURNAN**

**WHEREAS**, the Catskill Watershed Corporation (CWC) is a not-for-profit corporation established to administer Watershed Protection and Partnership Programs as more fully described herein; and

**WHEREAS**, ninety percent of New York City’s water supply originates in the Catskill Mountain region from an area commonly referred to as the West of Hudson portion of the watershed of the New York City water supply (the “West of Hudson Watershed” or “Watershed”), which spans over 1,600 square miles and portions of five counties, forty-one towns, and eight villages; and

**WHEREAS**, the CWC, City of New York (City), all municipalities in the West of Hudson Watershed, New York State, the federal Environmental Protection Agency, and several environmental organizations recognized that the goals of drinking water protection and economic vitality within the West of Hudson Watershed communities are not inconsistent and under the 1997 New York City Watershed Memorandum of Agreement (“Watershed MOA”) agreed to cooperate in the development and implementation of

watershed protection programs that maintain and enhance the quality of the City's drinking water supply system and the economic and social character of the West of Hudson Watershed communities; and

**WHEREAS**, extensive flooding resulting from tropical storms Irene and Lee in, August and September 2011, respectively, caused catastrophic losses in certain towns and villages within the West of Hudson Watershed which affected the economic and social character of certain West of Hudson watershed communities and adversely impacted water quality in the West of Hudson Watershed; and

**WHEREAS**, as a condition of the 2014 Mid-Term Filtration Avoidance Determination Review, New York City Department of Environmental Protection (NYCDEP) has agreed to fund a Flood Hazard Mitigation Implementation Program to reduce repetitive flood losses that also pose a threat to water quality during storm events; and

**WHEREAS**, on March 4, 2014, by Resolution Number 2439, the CWC Board of Directors agreed to serve as program manager of such a program to be referred to as the CWC Flood Hazard Mitigation Implementation Program (the "Program") and approved a Program Agreement with the City; and

**WHEREAS**, on May 6, 2014, by Resolution Number 2460, the CWC Board of Directors approved program rules for the CWC Flood Hazard Mitigation Program (the "Program Rules"); and

**WHEREAS**, on April 2, 2019, by Resolution Number 3492, The CWC Board of Directors approved the application of Joseph and Kerri Murnan for a feasibility study to floodproof a structure in the hamlet of Prattsville in an amount not to exceed Five Thousand Dollars (\$5,000.00).

**WHEREAS**, Joseph and Kerri Murnan requested funding of Eighteen Thousand Two Hundred Dollars (\$18,200.00) for the design of elevating their home based on the information provided to them in the Feasibility Study completed by Chazen Companies; and

**WHEREAS**, CWC Wastewater Committee reviewed the application and recommends the CWC Board of Directors approves additional funding for Joseph and Kerri Murnan for design of elevating their home based on the Feasibility Study in an amount not to exceed Eighteen Thousand Two Hundred Dollars (\$18,200.00).

**NOW, THEREFORE BE IT RESOLVED**, that the CWC Board of Directors approve the application of Joseph and Kerri Murnan for design of floodproofing measures recommended in the feasibility study of 14706 Main Street in Prattsville in an amount not to exceed Eighteen Thousand Two Hundred Dollars (\$18,200.00).

**NOW, THEREFORE BE IT FURTHER RESOLVED**, approval of FHMIP funding by the CWC Board of Directors constitutes a preliminary decision under the 1997 Watershed Memorandum of Agreement and the decision will become final without any further action by the CWC Board of Directors unless an objection is timely filed with the Watershed Protection and Partnership Council.

### **Joseph and Kerri Murnan - 14706 Main Street Prattsville**

CWC Board previously approved funding to the Murnan's for a feasibility study by CWC's consultant, Chazen Companies, to mitigate flood damage to their property on Main Street in the Town of Prattsville, Greene County.

The completed feasibility study recommended elevating the structure. The Murnan's have now applied to CWC for to costs of design of elevating their home in Prarrsville. The amount of funding being requested is \$18,200.00 which equals out to be 10% of the estimated amount of construction. In Chazen's Feasibility

Study Report they had calculated the estimated construction cost to elevate this structure and do all flood mitigation would cost \$182,000.00. The elevation of the structure would be raising the structure approximately 7.2 feet.

Total Construction Estimate: \$182,000.00

Total Design Estimate (10% of construction): \$18,200.00

**Voice Vote, carried unanimously**



**FHMIP – Town of Windham – Construction Cost– Tab 25**

A motion to approve Resolution No. 3827 was made by Robert Pelham and was seconded by James Eisel.

July 7, 2020

**RESOLUTION NO. 3827**

**CWC REVIEW OF FLOOD HAZARD MITIGATION PROGRAM APPLICATION  
FOR THE TOWN OF WINDHAM – STREAM BANK STABILIZATION CONSTRUCTION**

**COSTS**

**WHEREAS**, the Catskill Watershed Corporation (CWC) is a not-for-profit corporation established to administer Watershed Protection and Partnership Programs in the West of Hudson Watershed; and

**WHEREAS**, the CWC, City of New York (City), all municipalities in the West of Hudson Watershed, New York State, the federal Environmental Protection Agency, and several environmental organizations recognized that the goals of drinking water protection and economic vitality within the West of Hudson Watershed communities are not inconsistent and under the 1997 New York City Watershed Memorandum of Agreement (“Watershed MOA”) agreed to cooperate in the development and implementation of watershed protection programs that maintain and enhance the quality of the City’s drinking water supply system and the economic and social character of the West of Hudson Watershed communities; and

**WHEREAS**, extensive flooding resulting from Tropical Storms Irene and Lee, in August and September 2011, respectively, caused catastrophic losses in certain towns and villages within the West of Hudson Watershed which affected the economic and social character of certain West of Hudson watershed communities and adversely impacted water quality in the West of Hudson Watershed; and

**WHEREAS**, as a condition of the 2014 Mid-Term Filtration Avoidance Determination Review, New York City Department of Environmental Protection (NYCDEP) has agreed to fund a Flood Hazard Mitigation Implementation Program to reduce repetitive flood losses that also pose a threat to water quality during storm events through funding certain recommendations of local flood analyses under the NYCDEP Stream Management Program; and

**WHEREAS**, on March 4, 2014, by Resolution Number 2439, the CWC Board of Directors agreed to serve as program manager of such a program to be referred to as the CWC Flood Hazard Mitigation Implementation Program (the “Program”) and approved a Program Agreement with the City; and

**WHEREAS**, as provided in the CWC Flood Hazard Mitigation Implementation Program Rules (Program Rules), as adopted by the CWC Board of Directors, CWC staff must evaluate grant applications and issue funding recommendations to the CWC Wastewater Stormwater Committee, which shall issue recommendations to the CWC Board of Directors; and

**WHEREAS**, by Resolution 3145, the CWC Board of Directors approved an application by the Town of Windham for up to Twenty-Three Thousand Dollars (\$23,000.00) for design costs for streambank stabilization for a portion of Mitchell Hollow Creek; and

**WHEREAS**, by Resolution 3731, the CWC Board of Directors approved a request by the Town of Windham for additional design funding for a total not to exceed amount of in an amount not to exceed Forty-Five Thousand Five Hundred Seventy-Two Dollars (\$45,572.00); and

**WHEREAS**, the Town of Windham completed a design that has been reviewed and approved by CWC and NYCDEP; and

**WHEREAS**, the Town of Windham requested public bids to complete construction of the streambank stabilization and bids were due on July 2, 2020; and

**WHEREAS**, CWC staff, NYCDEP, and the Town of Windham have reviewed the bids received, and CWC staff recommend construction funding to the Town of Windham based upon lowest apparent bid in an amount not to exceed Eighty-Three Thousand Dollars Three Hundred Ninety-Nine Dollars and Thirty Cents (\$ 83,399.30); and

**WHEREAS**, to provide for orderly construction, the Town of Windham also requested Four Thousand Nine Hundred Dollars (\$4,900.00) for construction management and Five Thousand Eight Hundred Dollars (\$5,800.00) for construction inspections, for total construction costs of Ninety-Four Thousand Ninety-Nine Dollars and Thirty Cents (\$94,099.30) not including a requested twenty percent contingency; and

**WHEREAS**, CWC Wastewater Committee recommends the CWC Board of Directors approve funding to the Town of Windham in an amount not to exceed One Hundred Twelve Thousand Nine Hundred Nineteen Dollars and Sixteen Cents (\$112,919.16) for construction and related professional services, inclusive of contingency, for the Mitchell Hollow Streambank Stabilization Project.

**NOW, THEREFORE, LET IT BE RESOLVED**, that the CWC Board of Directors has reviewed the application, staff recommendation and supporting documentation and approves funding for the construction and related professional services costs for Mitchell Hollow Creek Streambank Stabilization in an amount not to exceed One Hundred Twelve Thousand Nine Hundred Nineteen Dollars and Sixteen Cents (\$112,919.16).

**Streambank Stabilization – Mitchell Hollow Creek.**

The Town of Windham was previously approved for design funding for a streambank stabilization project for a portion of Mitchell Hollow Creek in the hamlet of Windham. This project is recommended by the Greene County All Hazards Mitigation Plan and recommended by the Windham Local Flood Analysis, which referenced and generally recommended that projects in the County All Hazards Mitigation Plan continue to be pursued and implemented.

The project is located directly south of the bridge on State Route 23 over Mitchell Hollow Creek. The bank is unstable and the project will protect nearby structures in the hamlet of Windham, including a private residence.

Bids were opened on July 2, 2020. The lowest apparent bid was \$83,399.30 submitted by Delaware Bulldozing. In addition, the Town requested \$4,900 for construction management, \$5,800.00 for construction inspections and a 20% contingency. Total of the request is \$112,919.16

**Voice Vote, carried unanimously**



**Approval of Form 990 & Char500 2019 – Tab 26**

A motion to approve Resolution No. 3828 was made by James Eisel and was seconded by Arthur Merrill.

July 7, 2020

**RESOLUTION NO. 3828**  
**CWC BOARD REVIEW AND APPROVAL OF IRS FORM 990 and FORM CHAR500**  
**FOR CALENDAR YEAR 2019**

**WHEREAS**, the Catskill Watershed Corporation (CWC) is a not-for-profit corporation under Section 501(c)(3) of the Internal Revenue Code; and

**WHEREAS**, all not-for-profits must file an expanded IRS Form 990, Return of Organization Exempt From Income Tax and IRS Form CHAR500, Annual Filing for Charitable Organizations; and

**WHEREAS**, CWC is required to state on IRS Form 990, Return of Organization Exempt From Income Tax and IRS Form CHAR500, Annual Filing for Charitable Organizations if the CWC Board of Directors has reviewed the form; and

**WHEREAS**, the CWC Finance Committee has reviewed the final draft of Form 990, Return of Organization Exempt From Income Tax and IRS Form CHAR500, Annual Filing for Charitable Organizations, attached hereto as Attachment A, and recommends that the CWC Board of Directors review the final draft and approve of its filing.

**NOW THEREFORE**, the CWC Board of Directors has reviewed the final draft of IRS Form 990 Return of Organization Exempt From Income Tax and IRS Form CHAR500, Annual Filing for Charitable Organizations for Calendar Year 2019, attached hereto as Attachment A, and approve of its filing.

**Voice Vote, carried unanimously**

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**Motion to Enter Executive Session to discuss credit and financials of loan applicant and potential litigation regarding assessment of real property owned by CWC.**

**CFF Loan Subordination – Leap Inc. - Tab 27**

A motion to approve Resolution No. 3829 was made by Wayne Marshfield and was seconded by Tina Molé

July 7, 2020

**RESOLUTION NO. 3829**  
**APPROVAL OF LEAP INN, INC.**  
**LOAN SUBORDINATION**

**WHEREAS**, the Catskill Watershed Corporation (CWC) established the REDI Fund Loan Program for the purpose of providing low interest loans to qualified economic development projects in the West of Hudson Watershed to be administered by the CWC in accordance with the Catskill Fund for the Future (CFF) Program Rules; and

**WHEREAS**, by Resolution Number 864 on June 28, 2005, the CWC Board of Directors approved a CFF REDI-Loan to Leap Inn, Inc. in the amount of in the amount of Five Hundred Thousand Dollars and No Cents (\$500,000.00) for a term of twenty-five (25) years, at a rate of four percent (4.0%) adjustable every five years to the then current CWC rate with a shared first position with loan by Key Bank in the amount of One Million Two Hundred Thousand Dollars (1,200,000.00); and

**WHEREAS**, by Resolution Number 882 on July 26, 2005, the CWC Board of Directors approved a Loan Subordination to Key Bank in the amount of One Million Two Hundred Thousand Dollars (\$1,200,000.00) on Leap Inn, Inc. in lieu of a shared first position and prior to closing, the term of the CWC loan was shortened to fifteen (15) years; and

**WHEREAS**, by Resolution Number 1285 on February 28, 2008, the CWC Board of Directors approved a Loan Subordination and Modification of Leap Inn, for an additional Seventy-Five Thousand Dollars (\$75,000.00); and

**WHEREAS**, by Resolution Number 3410 on October 4, 2018, the CWC Board of Directors approved a Loan Subordination and Modification on Leap Inn, for an additional One Hundred Thousand Dollars (\$100,000.00) for a total loan of Nine Hundred Fifty-Four Thousand Dollars (\$954,000.00) in priority to the CWC loan ; and

**WHEREAS**, Leap Inn Inc. is current in their CFF REDI-Loan obligation; and

**WHEREAS**, Leap Inn, Inc. has requested Two Hundred Thousand Dollars (\$200,000.00) additional be added to their original One Million Two Hundred Seventy-Five Thousand Dollars (\$1,275,000.00) subordination to obtain financing for improvements financed by priority lien to CWC: and

**WHEREAS**, the CWC Staff has reviewed a completed subordination request from Leap Inn, Inc. and

**WHEREAS**, the CWC staff has reviewed and processed this request, and submitted a report to the Board of Directors, attached hereto; and

**WHEREAS**, the Executive Director, pursuant to the CFF Program rules, has evaluated and determined that this subordination request meets the criteria for a qualified economic development project, said recommendation is attached hereto and made a part hereof; and

**WHEREAS**, pursuant to the CFF Program Contract a condition of CFF loans (and grants) is that the recipient shall comply with all applicable provisions of the New York City Watershed regulations, as well as other applicable laws, rules, and regulations; and

**WHEREAS**, prior to granting this subordination, all applicable approvals will have been received by the applicant; and

**WHEREAS**, pursuant to the CFF Program rules only the CWC Board of Directors may approve a subordination request

**NOW, THEREFORE BE IT RESOLVED**, the CWC Board determines that Leap Inn, Inc. subordination request meets the CWC CFF qualified economic development criteria and approves increase in the lien superior to CWC One Million One Hundred Thirty-Five Thousand Dollars (\$1,135,000.00) to be used for improvements.

**NOW, THEREFORE BE IT FURTHER RESOLVED**, the CWC staff is authorized and directed to inform the borrower, in writing, of the Board's intention to grant the subordination subject to compliance with all applicable provisions of the New York City Watershed regulations as well as other applicable laws, rules and regulations, completion of the necessary documents, the right of objection and staff is directed to develop the loan agreement and other documentation necessary to effectuate this subordination in a form agreed upon by CWC.

**NOW, THEREFORE BE IT FURTHER RESOLVED**, that upon said approval of subordination documentation CWC, is authorized to issue said subordination.

## **QEDP Evaluation and CWC Staff Recommendation**

**Purpose: To review the Subordination request by Leap Inn, Inc.**

The Executive Director of the CWC makes the following evaluation concerning a REDI Fund Loan to Minnewaska Realty, Inc located in the Town of Windham, NY. Evaluation is based on the Evaluation Criteria established in the Catskill Fund for the Future Program Rules, approved on August 22, 2001 by the CWC Board of Directors.

1. Based on due inquiry to the NYSDEC, the NYCDEP and to the best of CWC's knowledge, the property at Route 296, Windham, NY owned by Leap Inn, Inc., is presently in compliance with all applicable environmental statutes and regulations.
2. Based on due inquiry to the NYSDEC, the NYCDEP and to the best of CWC's knowledge, the property at Route 296, Windham, NY owned by Leap Inn, Inc. not subject to any enforcement actions by any regulatory agency.
3. (a) To the best of CWC's knowledge, the project is consistent with historic land use patterns, available infrastructure and sensitivity to the need for water quality protection.
  - (i) Leap Inn, Inc. is seeking financing for improvements.
  - (ii) The project is located in the Town of Windham.
  - (iii) The project will utilize the municipal sewage system.
  - (iv) The project appears to present no threat to water quality.
  - (v) The project appears to generate no materials with the potential to degrade water quality that are not pretreated prior to release.
  - (vi) Based on NYCDEP the project is not in the 60 day travel time.
  - (vii) There is no impact on Federal or State wetlands.
4. (b) The project maintains the character of the Town of Windham
  - (i) The project is consistent with current zoning.
  - (ii) The project is compatible with surrounding land uses.
  - (iii) The project will not generate excessive traffic.
  - (iv) To the best of CWC's knowledge, the project will not promote secondary growth.
5. The project is consistent with the West of Hudson Economic Development Study, which has been completed.
6. Not applicable.

**Voice Vote, *carried unanimously***

**Motion to resume regular session**

**VIII. Announcements from the Chair:** Next Board Meeting and Annual Meeting will be held on Tuesday, August 4<sup>th</sup> at 9 am.

**Voice Vote, *carried unanimously***

**IX. Board Member Discussion:**

**X. Adjourned 12:09pm**

**TAB 2**

**Catskill Watershed Corporation**  
**Finance Director Report**  
**July 7, 2020**

**Financial Statements**

On July 7, 2020, the May Financial Statements were presented to the Finance Committee. The June Financial Statements and the supplemental schedules will be presented at the next Finance Committee Meeting [August 4, 2020].

**Investments**

Enclosed you will find a summary of the CWC investments. As of May 31, 2020, the total [municipal/agency bonds] carrying value is \$26,496,203. The interest yields range from .90% to 5.00% and the maturity dates vary up to 4 +/- years. For the real short term investments, CWC has purchased Money Markets and/or Certificates of Deposits at authorized banks totaling \$6,849,167 with interest rates ranging up to .75%.

**Catskill Watershed Corporation**  
Statement of Financial Position - \* CM BS By Fund  
As of 5/31/2020

|                                     | Current Year   |
|-------------------------------------|----------------|
| <b>Assets</b>                       |                |
| Current Assets:                     |                |
| Cash - Checking                     | 68,629,410.62  |
| Cash - Certificates of Deposit      | 0.00           |
| Restricted Cash & Investments       | 2,597,697.56   |
| Short-Term Investments              | 19,775,868.18  |
| Grants Receivable                   | 0.00           |
| Accounts Receivable                 | 58,411.76      |
| Accrued Interest Receivable         | 347,001.37     |
| Loans Receivable - net              | 4,830,213.00   |
| Due From Other Funds                | 0.00           |
| Prepaid Expenses & Other Assets     | 66,104.32      |
| Total Current Assets:               | 96,304,706.81  |
| Property, Plant & Equipment:        |                |
| Property, Plant & Equip. - Cost     | 19,588,793.15  |
| Accum Depreciation/Amortization     | (902,912.96)   |
| Total Property, Plant & Equipment:  | 18,685,880.19  |
| Long Term Assets:                   |                |
| Investments                         | 13,665,669.65  |
| Lease Recievble - net               | 107,238.53     |
| Loans Receivable - net              | 20,641,844.63  |
| Real Estate Investments - net       | 1,199,080.58   |
| Total Long Term Assets:             | 35,613,833.39  |
| Total Assets                        | 150,604,420.39 |
| <b>Liabilities &amp; Net Assets</b> |                |
| Current Liabilities:                |                |
| Accounts Payable                    | 862,543.54     |
| Accrued Expenses                    | 154,217.70     |
| Deferred Grant Revenue              | 139,649,717.07 |
| Deferred Interest Income            | 53,987.06      |
| Total Current Liabilities:          | 140,720,465.37 |
| Net Assets:                         |                |
| Unrestricted                        | 9,883,955.02   |
| Total Net Assets:                   | 9,883,955.02   |
| Total Liabilities & Net Assets      | 150,604,420.39 |



Catskill Watershed Corporation  
Statement of Financial Position  
As of 5/31/2020

|                                               | Operating            | Septic III          | Septic IV           | Septic V             | Septic Maintenance  | Stream Corridor Program | Flood Hazard        | CWMP III             | Total                |
|-----------------------------------------------|----------------------|---------------------|---------------------|----------------------|---------------------|-------------------------|---------------------|----------------------|----------------------|
| <b>Assets</b>                                 |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| <b>Current Assets:</b>                        |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| Cash - Checking                               | 3,037,840.17         | 5,327,815.34        | 1,477,378.20        | 12,098,573.58        | 452,173.22          | 148,901.94              | 2,644,113.50        | 31,190,970.57        | 56,377,766.52        |
| Cash - Certificates of Deposit                | 0.00                 | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 0.00                 |
| Restricted Cash & Investments                 | 0.00                 | 0.00                | 0.00                | 0.00                 | 0.00                | 1,366,854.60            | 0.00                | 1,120,842.96         | 2,487,697.56         |
| Short-Term Investments                        | 505.18               | 0.00                | 4,277,404.11        | 0.00                 | 644,719.50          | 0.00                    | 0.00                | 0.00                 | 4,922,628.79         |
| Grants Receivable                             | 0.00                 | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 0.00                 |
| Accounts Receivable                           | 0.00                 | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 446.72               |
| Accrued Interest Receivable                   | 446.72               | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 43,104.02            |
| Due From Other Funds                          | (2,522,872.95)       | 0.00                | 1,725,508.48        | 0.00                 | (540.95)            | 0.00                    | 863,760.64          | (22,751.20)          | 61,327.59            |
| Prepaid Expenses & Other Assets               | 61,327.59            | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 63,892,971.20        |
| <b>Total Current Assets:</b>                  | <b>577,246.71</b>    | <b>5,327,815.34</b> | <b>7,480,290.79</b> | <b>12,098,573.58</b> | <b>1,096,351.77</b> | <b>1,515,756.54</b>     | <b>3,507,874.14</b> | <b>32,289,062.33</b> | <b>63,892,971.20</b> |
| <b>Property, Plant &amp; Equipment:</b>       |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| Property, Plant & Equip. - Cost               | 18,678,155.11        | 5,697.16            | 27,758.25           | 0.00                 | 0.00                | 0.00                    | 758,246.40          | 0.00                 | 19,469,856.92        |
| Accum Depreciation/Amortization               | (769,620.36)         | (5,697.16)          | (27,006.71)         | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | (802,324.23)         |
| <b>Total Property, Plant &amp; Equipment:</b> | <b>17,908,534.75</b> | <b>0.00</b>         | <b>751.54</b>       | <b>0.00</b>          | <b>0.00</b>         | <b>0.00</b>             | <b>758,246.40</b>   | <b>0.00</b>          | <b>18,667,532.69</b> |
| <b>Long Term Assets:</b>                      |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| Investments                                   | 41,638.80            | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 41,638.80            |
| <b>Total Long Term Assets:</b>                | <b>41,638.80</b>     | <b>0.00</b>         | <b>0.00</b>         | <b>0.00</b>          | <b>0.00</b>         | <b>0.00</b>             | <b>0.00</b>         | <b>0.00</b>          | <b>41,638.80</b>     |
| <b>Total Assets</b>                           | <b>18,527,420.26</b> | <b>5,327,815.34</b> | <b>7,481,042.33</b> | <b>12,098,573.58</b> | <b>1,096,351.77</b> | <b>1,515,756.54</b>     | <b>4,266,120.54</b> | <b>32,289,062.33</b> | <b>82,602,142.69</b> |
| <b>Liabilities &amp; Net Assets</b>           |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| <b>Current Liabilities:</b>                   |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| Accounts Payable                              | 270,936.65           | 4,878.87            | 358,571.33          | 1,999.24             | 5,487.50            | 0.00                    | 12,699.47           | 37,959.09            | 692,532.15           |
| Accrued Expenses                              | 142,217.70           | 0.00                | 0.00                | 0.00                 | 0.00                | 0.00                    | 0.00                | 0.00                 | 142,217.70           |
| Deferred Grant Revenue                        | 18,114,265.91        | 5,321,870.20        | 7,122,471.00        | 12,073,763.70        | 1,090,864.27        | 1,502,227.42            | 4,253,421.07        | 32,251,103.24        | 81,729,986.81        |
| <b>Total Current Liabilities:</b>             | <b>18,527,420.26</b> | <b>5,326,749.07</b> | <b>7,481,042.33</b> | <b>12,075,762.94</b> | <b>1,096,351.77</b> | <b>1,502,227.42</b>     | <b>4,266,120.54</b> | <b>32,289,062.33</b> | <b>82,564,736.66</b> |
| <b>Net Assets:</b>                            |                      |                     |                     |                      |                     |                         |                     |                      |                      |
| Unrestricted                                  | 0.00                 | 1,066.27            | 0.00                | 22,810.64            | 0.00                | 13,529.12               | 0.00                | 0.00                 | 37,406.03            |
| <b>Total Net Assets:</b>                      | <b>0.00</b>          | <b>1,066.27</b>     | <b>0.00</b>         | <b>22,810.64</b>     | <b>0.00</b>         | <b>13,529.12</b>        | <b>0.00</b>         | <b>0.00</b>          | <b>37,406.03</b>     |
| <b>Total Liabilities &amp; Net Assets</b>     | <b>18,527,420.26</b> | <b>5,327,815.34</b> | <b>7,481,042.33</b> | <b>12,098,573.58</b> | <b>1,096,351.77</b> | <b>1,515,756.54</b>     | <b>4,266,120.54</b> | <b>32,289,062.33</b> | <b>82,602,142.69</b> |

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Catskill Watershed Corporation  
Statement of Financial Position  
As of 5/31/2020

|                                               | CWMP - Shokan   | Public Education II | Stormwater Retrofits | Stormwater<br>Technical Assist Pr | Stormwater<br>Retrofits II | Tax Consulting Fund | Tax Litigation<br>Avoidance Prgm | WOH Future<br>Stormwater Controls | Future<br>SW-MOA145 | Total                |
|-----------------------------------------------|-----------------|---------------------|----------------------|-----------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|---------------------|----------------------|
| <b>Assets</b>                                 |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| <b>Current Assets:</b>                        |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| Cash - Checking                               | 6,397.46        | 25,408.13           | 577,641.81           | 38,925.69                         | 643,607.29                 | 367,134.09          | 378,649.44                       | 6,553,451.98                      | 906,196.53          | 9,497,412.42         |
| Cash - Certificates of Deposit                | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 0.00                              | 0.00                | 0.00                 |
| Restricted Cash & Investments                 | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 0.00                              | 0.00                | 0.00                 |
| Short-Term Investments                        | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 399.39              | 0.00                             | 4,359,670.85                      | 0.00                | 4,360,070.24         |
| Grants Receivable                             | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 0.00                              | 0.00                | 0.00                 |
| Accrued Interest Receivable                   | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 2,393.16            | 0.00                             | 54,002.49                         | 0.00                | 56,395.65            |
| Due From Other Funds                          | 0.00            | (4,230.07)          | 0.00                 | 0.00                              | (10,319.21)                | 0.00                | 0.00                             | (10,233.80)                       | (3,611.57)          | (28,394.65)          |
| Prepaid Expenses & Other Assets               | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 0.00                              | 0.00                | 0.00                 |
| <b>Total Current Assets:</b>                  | <b>6,397.46</b> | <b>21,178.06</b>    | <b>577,641.81</b>    | <b>38,925.69</b>                  | <b>633,288.08</b>          | <b>369,926.64</b>   | <b>378,649.44</b>                | <b>10,956,891.52</b>              | <b>902,584.96</b>   | <b>13,885,483.66</b> |
| <b>Property, Plant &amp; Equipment:</b>       |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| Property, Plant & Equip. - Cost               | 0.00            | 0.00                | 37,571.45            | 0.00                              | 0.00                       | 0.00                | 0.00                             | 971.47                            | 0.00                | 38,542.92            |
| Accum Depreciation/Amortization               | 0.00            | 0.00                | (37,571.45)          | 0.00                              | 0.00                       | 0.00                | 0.00                             | (971.47)                          | 0.00                | (38,542.92)          |
| <b>Total Property, Plant &amp; Equipment:</b> | <b>0.00</b>     | <b>0.00</b>         | <b>0.00</b>          | <b>0.00</b>                       | <b>0.00</b>                | <b>0.00</b>         | <b>0.00</b>                      | <b>0.00</b>                       | <b>0.00</b>         | <b>0.00</b>          |
| <b>Long Term Assets:</b>                      |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| Investments                                   | 0.00            | 0.00                | 0.00                 | 0.00                              | 0.00                       | 203,012.65          | 0.00                             | 5,652,020.95                      | 0.00                | 5,855,033.60         |
| <b>Total Long Term Assets:</b>                | <b>0.00</b>     | <b>0.00</b>         | <b>0.00</b>          | <b>0.00</b>                       | <b>0.00</b>                | <b>203,012.65</b>   | <b>0.00</b>                      | <b>5,652,020.95</b>               | <b>0.00</b>         | <b>5,855,033.60</b>  |
| <b>Total Assets</b>                           | <b>6,397.46</b> | <b>21,178.06</b>    | <b>577,641.81</b>    | <b>38,925.69</b>                  | <b>633,288.08</b>          | <b>572,939.29</b>   | <b>378,649.44</b>                | <b>16,608,912.47</b>              | <b>902,584.96</b>   | <b>19,740,517.26</b> |
| <b>Liabilities &amp; Net Assets</b>           |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| <b>Current Liabilities:</b>                   |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| Accounts Payable                              | 3,700.00        | 0.00                | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 136,154.75                        | 22,500.00           | 162,354.75           |
| Accrued Expenses                              | 0.00            | 12,000.00           | 0.00                 | 0.00                              | 0.00                       | 0.00                | 0.00                             | 0.00                              | 0.00                | 12,000.00            |
| Deferred Grant Revenue                        | 2,697.46        | 9,178.06            | 469,764.65           | 38,925.69                         | 633,288.08                 | 554,338.35          | 377,076.82                       | 11,538,618.29                     | 880,084.96          | 14,503,972.36        |
| <b>Total Current Liabilities:</b>             | <b>6,397.46</b> | <b>21,178.06</b>    | <b>469,764.65</b>    | <b>38,925.69</b>                  | <b>633,288.08</b>          | <b>554,338.35</b>   | <b>377,076.82</b>                | <b>11,674,773.04</b>              | <b>902,584.96</b>   | <b>14,678,327.11</b> |
| <b>Net Assets:</b>                            |                 |                     |                      |                                   |                            |                     |                                  |                                   |                     |                      |
| Unrestricted                                  | 0.00            | 0.00                | 107,877.16           | 0.00                              | 0.00                       | 18,600.94           | 1,572.62                         | 4,934,139.43                      | 0.00                | 5,062,190.15         |
| <b>Total Net Assets:</b>                      | <b>0.00</b>     | <b>0.00</b>         | <b>107,877.16</b>    | <b>0.00</b>                       | <b>0.00</b>                | <b>18,600.94</b>    | <b>1,572.62</b>                  | <b>4,934,139.43</b>               | <b>0.00</b>         | <b>5,062,190.15</b>  |
| <b>Total Liabilities &amp; Net Assets</b>     | <b>6,397.46</b> | <b>21,178.06</b>    | <b>577,641.81</b>    | <b>38,925.69</b>                  | <b>633,288.08</b>          | <b>572,939.29</b>   | <b>378,649.44</b>                | <b>16,608,912.47</b>              | <b>902,584.96</b>   | <b>19,740,517.26</b> |

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Catskill Watershed Corporation  
Statement of Financial Position  
As of 5/31/2020

|                                               | Local Technical<br>Assistance | Catskill Fund for<br>the Future | Total                |
|-----------------------------------------------|-------------------------------|---------------------------------|----------------------|
| <b>Assets</b>                                 |                               |                                 |                      |
| <b>Current Assets:</b>                        |                               |                                 |                      |
| Cash - Checking                               | 103,871.85                    | 2,650,359.83                    | 2,754,231.68         |
| Cash - Certificates of Deposit                | 0.00                          | 0.00                            | 0.00                 |
| Restricted Cash & Investments                 | 110,000.00                    | 0.00                            | 110,000.00           |
| Short-Term Investments                        | 0.00                          | 10,493,169.15                   | 10,493,169.15        |
| Grants Receivable                             | 0.00                          | 0.00                            | 0.00                 |
| Accounts Receivable                           | 0.00                          | 58,411.76                       | 58,411.76            |
| Accrued Interest Receivable                   | 0.00                          | 290,159.00                      | 290,159.00           |
| Loans Receivable - net                        | 0.00                          | 4,830,213.00                    | 4,830,213.00         |
| Due From Other Funds                          | 0.00                          | (14,709.37)                     | (14,709.37)          |
| Prepaid Expenses & Other Assets               | 0.00                          | 4,776.73                        | 4,776.73             |
| <b>Total Current Assets:</b>                  | <b>213,871.85</b>             | <b>18,312,380.10</b>            | <b>18,526,251.95</b> |
| <b>Property, Plant &amp; Equipment:</b>       |                               |                                 |                      |
| Property, Plant & Equip. - Cost               | 0.00                          | 80,393.31                       | 80,393.31            |
| Accum Depreciation/Amortization               | 0.00                          | (62,045.81)                     | (62,045.81)          |
| <b>Total Property, Plant &amp; Equipment:</b> | <b>0.00</b>                   | <b>18,347.50</b>                | <b>18,347.50</b>     |
| <b>Long Term Assets:</b>                      |                               |                                 |                      |
| Investments                                   | 0.00                          | 7,768,997.25                    | 7,768,997.25         |
| Lease Recievble - net                         | 0.00                          | 107,238.53                      | 107,238.53           |
| Loans Receivable - net                        | 0.00                          | 20,641,844.63                   | 20,641,844.63        |
| Real Estate Investments - net                 | 0.00                          | 1,199,080.58                    | 1,199,080.58         |
| <b>Total Long Term Assets:</b>                | <b>0.00</b>                   | <b>29,717,160.99</b>            | <b>29,717,160.99</b> |
| <b>Total Assets</b>                           | <b>213,871.85</b>             | <b>48,047,888.59</b>            | <b>48,261,760.44</b> |
| <b>Liabilities &amp; Net Assets</b>           |                               |                                 |                      |
| <b>Current Liabilities:</b>                   |                               |                                 |                      |
| Accounts Payable                              | 0.00                          | 7,656.64                        | 7,656.64             |
| Accrued Expenses                              | 0.00                          | 0.00                            | 0.00                 |
| Deferred Grant Revenue                        | 213,205.56                    | 43,202,552.34                   | 43,415,757.90        |
| Deferred Interest Income                      | 0.00                          | 53,987.06                       | 53,987.06            |
| <b>Total Current Liabilities:</b>             | <b>213,205.56</b>             | <b>43,264,196.04</b>            | <b>43,477,401.60</b> |
| <b>Net Assets:</b>                            |                               |                                 |                      |
| Unrestricted                                  | 666.29                        | 4,783,692.55                    | 4,784,358.84         |
| <b>Total Net Assets:</b>                      | <b>666.29</b>                 | <b>4,783,692.55</b>             | <b>4,784,358.84</b>  |
| <b>Total Liabilities &amp; Net Assets</b>     | <b>213,871.85</b>             | <b>48,047,888.59</b>            | <b>48,261,760.44</b> |

Catskill Watershed Corporation  
Statement of Revenues and Expenditures  
From 5/1/2020 Through 5/31/2020

|                              | <u>Current Period Actual</u> | <u>Current Year Actual</u> |
|------------------------------|------------------------------|----------------------------|
| SUPPORT & REVENUES           |                              |                            |
| Contract Income              | 1,226,012.50                 | 4,220,813.24               |
| Interest & Other Income      | <u>277,870.85</u>            | <u>1,052,832.57</u>        |
| Total SUPPORT & REVENUES     | <u>1,503,883.35</u>          | <u>5,273,645.81</u>        |
| EXPENSES                     |                              |                            |
| Salary                       | 121,133.03                   | 537,805.62                 |
| Fringe Benefits              | 55,015.53                    | 244,991.38                 |
| Program Expenses             | 1,091,878.31                 | 3,003,798.49               |
| Occupancy & Interest Exp     | 6,979.65                     | 41,696.04                  |
| Advertising & Promotion      | 2,458.00                     | 6,537.52                   |
| Office Supplies              | 1,499.53                     | 8,471.27                   |
| Communications               | 2,808.05                     | 12,379.70                  |
| Grants                       | 0.00                         | (2,004.86)                 |
| Travel                       | 54.63                        | 6,144.24                   |
| Conferences & Seminars       | 0.00                         | 860.00                     |
| Insurance                    | 18,942.84                    | 35,159.30                  |
| Repair & Maintenance         | 603.28                       | 3,876.22                   |
| Subscriptions & Publications | 0.00                         | 3,637.61                   |
| Professional Fees            | 13,966.40                    | 74,788.69                  |
| Depreciation & Amortization  | 49,688.05                    | 128,638.28                 |
| Dues, Licenses & Memberships | 0.00                         | 375.00                     |
| Miscellaneous Expense        | <u>29.95</u>                 | <u>426.92</u>              |
| Total EXPENSES               | <u>1,365,057.25</u>          | <u>4,107,581.42</u>        |
| Excess Rev/Exp               | <u>138,826.10</u>            | <u>1,166,064.39</u>        |

Catskill Watershed Corporation  
Statement of Revenues and Expenditures  
From 5/1/2020 Through 5/31/2020

|                                     | Operating        | Septic III        | Septic IV         | Septic V        | Septic Maintenance | Stream Corridor Program | Flood Hazard      | CWMP III          | CWMP - Shokan   | Public Education II | Total               |
|-------------------------------------|------------------|-------------------|-------------------|-----------------|--------------------|-------------------------|-------------------|-------------------|-----------------|---------------------|---------------------|
| <b>SUPPORT &amp; REVENUES</b>       |                  |                   |                   |                 |                    |                         |                   |                   |                 |                     |                     |
| Contract Income                     | 90,340.60        | 0.00              | 474,495.21        | 0.00            | 7,415.11           | 0.00                    | 215,157.72        | 298,601.95        | 3,680.18        | 6,897.84            | 1,096,588.61        |
| Interest & Other Income             | 1,715.60         | 3,141.16          | 2,780.41          | 7,132.92        | 274.35             | 893.63                  | 1,717.89          | 19,249.36         | 3.77            | 16.57               | 36,925.66           |
| <b>Total SUPPORT &amp; REVENUES</b> | <u>92,056.20</u> | <u>3,141.16</u>   | <u>477,275.62</u> | <u>7,132.92</u> | <u>7,689.46</u>    | <u>893.63</u>           | <u>216,875.61</u> | <u>317,851.31</u> | <u>3,683.95</u> | <u>6,914.41</u>     | <u>1,133,514.27</u> |
| <b>EXPENSES</b>                     |                  |                   |                   |                 |                    |                         |                   |                   |                 |                     |                     |
| Salary                              | 52,818.97        | 0.00              | 28,327.67         | 0.00            | 178.62             | 0.00                    | 4,734.76          | 5,023.99          | 0.00            | 2,408.58            | 93,492.59           |
| Fringe Benefits                     | 31,815.97        | 0.00              | 9,126.98          | 0.00            | 29.32              | 0.00                    | 1,380.73          | 1,389.00          | 0.00            | 1,395.61            | 45,137.61           |
| Program Expenses                    | 0.00             | 4,878.87          | 400,112.33        | 1,999.24        | 7,115.00           | 0.00                    | 206,174.06        | 307,386.69        | 3,700.00        | 0.00                | 931,366.19          |
| Occupancy & Interest Exp            | 6,979.65         | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 6,979.65            |
| Advertising & Promotion             | 36.00            | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 36.00               |
| Office Supplies                     | 1,499.53         | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 1,499.53            |
| Communications                      | 2,135.43         | 0.00              | 63.85             | 0.00            | 174.95             | 0.00                    | 39.27             | 92.55             | 0.00            | 13.20               | 2,519.25            |
| Grants                              | 0.00             | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 0.00                |
| Travel                              | 54.63            | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 54.63               |
| Conferences & Seminars              | 0.00             | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 0.00                |
| Insurance                           | 18,207.70        | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 18,207.70           |
| Repair & Maintenance                | 470.28           | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 470.28              |
| Subscriptions & Publications        | 0.00             | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 0.00                |
| Professional Fees                   | 8,461.40         | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 460.00            | 0.00              | 0.00            | 0.00                | 8,921.40            |
| Administrative Expenses             | (79,979.71)      | 0.00              | 39,622.68         | 0.00            | 191.57             | 0.00                    | 4,086.79          | 3,959.08          | 0.00            | 3,097.02            | (29,022.57)         |
| Depreciation & Amortization         | 49,526.40        | 0.00              | 22.11             | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 49,548.51           |
| Dues, Licenses & Memberships        | 0.00             | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 0.00                |
| Miscellaneous Expense               | 29.95            | 0.00              | 0.00              | 0.00            | 0.00               | 0.00                    | 0.00              | 0.00              | 0.00            | 0.00                | 29.95               |
| <b>Total EXPENSES</b>               | <u>92,056.20</u> | <u>4,878.87</u>   | <u>477,275.62</u> | <u>1,999.24</u> | <u>7,689.46</u>    | <u>0.00</u>             | <u>216,875.61</u> | <u>317,851.31</u> | <u>3,700.00</u> | <u>6,914.41</u>     | <u>1,129,240.72</u> |
| Excess Rev/Exp                      | <u>0.00</u>      | <u>(1,737.71)</u> | <u>0.00</u>       | <u>5,133.68</u> | <u>0.00</u>        | <u>893.63</u>           | <u>0.00</u>       | <u>0.00</u>       | <u>(16.05)</u>  | <u>0.00</u>         | <u>4,273.55</u>     |

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Catskill Watershed Corporation  
Statement of Revenues and Expenditures  
From 5/1/2020 Through 5/31/2020

|                                     | <u>Stormwater Retrofits</u> | <u>Stormwater Retrofits II</u> | <u>Stormwater Technical Assist Pr</u> | <u>Tax Consulting Fund</u> | <u>Tax Litigation Avoidance Prgm</u> | <u>WOH Future Stormwater Controls</u> | <u>Future SW-MOA145</u> | <u>Local Technical Assistance</u> | <u>Catskill Fund for the Future</u> | <u>Total</u>      |
|-------------------------------------|-----------------------------|--------------------------------|---------------------------------------|----------------------------|--------------------------------------|---------------------------------------|-------------------------|-----------------------------------|-------------------------------------|-------------------|
| <b>SUPPORT &amp; REVENUES</b>       |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                   |
| Contract Income                     | 0.00                        | 9,727.71                       | 1,524.28                              | 0.00                       | 0.00                                 | 90,539.83                             | 27,632.07               | 0.00                              | 0.00                                | 129,423.89        |
| Interest & Other Income             |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                   |
|                                     | <u>340.55</u>               | <u>381.77</u>                  | <u>23.34</u>                          | <u>3,531.85</u>            | <u>223.24</u>                        | <u>73,475.05</u>                      | <u>535.88</u>           | <u>126.10</u>                     | <u>162,307.41</u>                   | <u>240,945.19</u> |
| <b>Total SUPPORT &amp; REVENUES</b> | <u>340.55</u>               | <u>10,109.48</u>               | <u>1,547.62</u>                       | <u>3,531.85</u>            | <u>223.24</u>                        | <u>164,014.88</u>                     | <u>28,167.95</u>        | <u>126.10</u>                     | <u>162,307.41</u>                   | <u>370,369.08</u> |
| <b>EXPENSES</b>                     |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                   |
| Salary                              | 0.00                        | 3,463.86                       | 1,339.69                              | 0.00                       | 0.00                                 | 5,019.67                              | 2,196.96                | 0.00                              | 15,620.26                           | 27,640.44         |
| Fringe Benefits                     | 0.00                        | 547.37                         | 207.93                                | 0.00                       | 0.00                                 | 1,084.16                              | 412.56                  | 0.00                              | 7,625.90                            | 9,877.92          |
| Program Expenses                    | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 136,154.75                            | 23,700.00               | 0.00                              | 657.37                              | 160,512.12        |
| Advertising & Promotion             | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 2,422.00                            | 2,422.00          |
| Communications                      | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 7.20                                  | 6.60                    | 0.00                              | 275.00                              | 288.80            |
| Insurance                           | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 735.14                              | 735.14            |
| Repair & Maintenance                | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 133.00                              | 133.00            |
| Subscriptions & Publications        | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 0.00                                | 0.00              |
| Professional Fees                   | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 5,045.00                            | 5,045.00          |
| Administrative Expenses             | 0.00                        | 6,098.25                       | 0.00                                  | 0.00                       | 0.00                                 | 5,395.84                              | 1,851.83                | 0.00                              | 15,676.65                           | 29,022.57         |
| Depreciation & Amortization         | <u>0.00</u>                 | <u>0.00</u>                    | <u>0.00</u>                           | <u>0.00</u>                | <u>0.00</u>                          | <u>0.00</u>                           | <u>0.00</u>             | <u>0.00</u>                       | <u>139.54</u>                       | <u>139.54</u>     |
| <b>Total EXPENSES</b>               | <u>0.00</u>                 | <u>10,109.48</u>               | <u>1,547.62</u>                       | <u>0.00</u>                | <u>0.00</u>                          | <u>147,661.62</u>                     | <u>28,167.95</u>        | <u>0.00</u>                       | <u>48,329.86</u>                    | <u>235,816.53</u> |
| Excess Rev/Exp                      | <u>340.55</u>               | <u>0.00</u>                    | <u>0.00</u>                           | <u>3,531.85</u>            | <u>223.24</u>                        | <u>16,353.26</u>                      | <u>0.00</u>             | <u>126.10</u>                     | <u>113,977.55</u>                   | <u>134,552.55</u> |



Catskill Watershed Corporation  
Statement of Revenues and Expenditures  
From 1/1/2020 Through 5/31/2020

|                                     | Operating         | Septic III        | Septic IV           | Septic V          | Septic Maintenance | Stream Corridor Program | Flood Hazard        | CWMP III          | CWMP - Shokan   | Public Education II | Total               |
|-------------------------------------|-------------------|-------------------|---------------------|-------------------|--------------------|-------------------------|---------------------|-------------------|-----------------|---------------------|---------------------|
| <b>SUPPORT &amp; REVENUES</b>       |                   |                   |                     |                   |                    |                         |                     |                   |                 |                     |                     |
| Contract Income                     | 368,108.44        | 107,674.93        | 1,144,003.88        | 77,327.24         | 17,470.18          | 0.00                    | 1,227,480.67        | 428,526.27        | 4,003.08        | 20,596.88           | 3,395,191.57        |
| Interest & Other Income             | 3,625.24          | 22,583.89         | 20,820.71           | 50,526.82         | 2,200.62           | 6,296.82                | 15,068.93           | 136,288.98        | 26.92           | 177.61              | 257,616.54          |
| <b>Total SUPPORT &amp; REVENUES</b> | <b>371,733.68</b> | <b>130,258.82</b> | <b>1,164,824.59</b> | <b>127,854.06</b> | <b>19,670.80</b>   | <b>6,296.82</b>         | <b>1,242,549.60</b> | <b>564,815.25</b> | <b>4,030.00</b> | <b>20,774.49</b>    | <b>3,652,808.11</b> |
| <b>EXPENSES</b>                     |                   |                   |                     |                   |                    |                         |                     |                   |                 |                     |                     |
| Salary                              | 296,896.11        | 0.00              | 99,012.52           | 0.00              | 796.78             | 0.00                    | 17,416.96           | 14,005.21         | 0.00            | 6,352.45            | 434,480.03          |
| Fringe Benefits                     | 145,336.35        | 0.00              | 37,927.12           | 0.00              | 144.84             | 0.00                    | 6,377.53            | 4,727.78          | 0.00            | 4,257.62            | 198,771.24          |
| Program Expenses                    | 0.00              | 157,850.99        | 854,614.07          | 105,043.42        | 17,490.00          | 0.00                    | 1,199,304.22        | 531,826.05        | 4,030.00        | 880.00              | 2,871,038.75        |
| Occupancy & Interest Exp            | 41,696.04         | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 41,696.04           |
| Advertising & Promotion             | 2,712.41          | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 150.80            | 0.00            | 0.00                | 2,863.21            |
| Office Supplies                     | 8,454.03          | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 17.24               | 0.00              | 0.00            | 0.00                | 8,471.27            |
| Communications                      | 8,883.94          | 0.00              | 1,117.85            | 0.00              | 180.95             | 0.00                    | 298.81              | 123.12            | 0.00            | 941.29              | 11,545.96           |
| Grants                              | 0.00              | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | (2,004.86)          | (2,004.86)          |
| Travel                              | 6,144.24          | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 6,144.24            |
| Conferences & Seminars              | 830.00            | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 30.00               | 0.00              | 0.00            | 0.00                | 860.00              |
| Insurance                           | 31,483.46         | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 31,483.46           |
| Repair & Maintenance                | 3,211.22          | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 3,211.22            |
| Subscriptions & Publications        | 2,275.88          | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 2,275.88            |
| Professional Fees                   | 41,637.19         | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 1,055.00            | 350.00            | 0.00            | 0.00                | 43,042.19           |
| Administrative Expenses             | (346,464.14)      | 0.00              | 172,047.48          | 0.00              | 1,058.23           | 0.00                    | 18,049.84           | 13,632.29         | 0.00            | 10,347.99           | (131,328.31)        |
| Depreciation & Amortization         | 127,830.03        | 0.00              | 110.55              | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 127,940.58          |
| Dues, Licenses & Memberships        | 375.00            | 0.00              | 0.00                | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 375.00              |
| Miscellaneous Expense               | 431.92            | 0.00              | (5.00)              | 0.00              | 0.00               | 0.00                    | 0.00                | 0.00              | 0.00            | 0.00                | 426.92              |
| <b>Total EXPENSES</b>               | <b>371,733.68</b> | <b>157,850.99</b> | <b>1,164,824.59</b> | <b>105,043.42</b> | <b>19,670.80</b>   | <b>0.00</b>             | <b>1,242,549.60</b> | <b>564,815.25</b> | <b>4,030.00</b> | <b>20,774.49</b>    | <b>3,651,292.82</b> |
| Excess Rev/Exp                      | 0.00              | (27,592.17)       | 0.00                | 22,810.64         | 0.00               | 6,296.82                | 0.00                | 0.00              | 0.00            | 0.00                | 1,515.29            |

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Catskill Watershed Corporation  
Statement of Revenues and Expenditures  
From 1/1/2020 Through 5/31/2020

|                              | <u>Stormwater Retrofits</u> | <u>Stormwater Retrofits II</u> | <u>Stormwater Technical Assist Pr</u> | <u>Tax Consulting Fund</u> | <u>Tax Litigation Avoidance Prgm</u> | <u>WOH Future Stormwater Controls</u> | <u>Future SW-MOA145</u> | <u>Local Technical Assistance</u> | <u>Catskill Fund for the Future</u> | <u>Total</u>        |
|------------------------------|-----------------------------|--------------------------------|---------------------------------------|----------------------------|--------------------------------------|---------------------------------------|-------------------------|-----------------------------------|-------------------------------------|---------------------|
| SUPPORT & REVENUES           |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                     |
| Contract Income              |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                     |
| Interest & Other Income      | 19,688.90                   | 39,855.04                      | 4,111.24                              | 0.00                       | 0.00                                 | 718,710.54                            | 43,255.95               | 0.00                              | 0.00                                | 825,621.67          |
|                              | <u>5,109.93</u>             | <u>2,759.45</u>                | <u>174.49</u>                         | <u>7,684.00</u>            | <u>1,573.17</u>                      | <u>126,336.87</u>                     | <u>3,857.26</u>         | <u>889.00</u>                     | <u>646,831.86</u>                   | <u>795,216.03</u>   |
| Total SUPPORT & REVENUES     | <u>24,798.83</u>            | <u>42,614.49</u>               | <u>4,285.73</u>                       | <u>7,684.00</u>            | <u>1,573.17</u>                      | <u>845,047.41</u>                     | <u>47,113.21</u>        | <u>889.00</u>                     | <u>646,831.86</u>                   | <u>1,620,837.70</u> |
| EXPENSES                     |                             |                                |                                       |                            |                                      |                                       |                         |                                   |                                     |                     |
| Salary                       | 185.50                      | 11,015.71                      | 3,709.93                              | 0.00                       | 0.00                                 | 18,891.76                             | 9,861.65                | 98.33                             | 59,562.71                           | 103,325.59          |
| Fringe Benefits              | 28.78                       | 2,540.67                       | 575.80                                | 0.00                       | 0.00                                 | 4,428.77                              | 2,346.80                | 41.58                             | 36,257.74                           | 46,220.14           |
| Program Expenses             | (83,600.26)                 | 6,501.54                       | 0.00                                  | 0.00                       | 0.00                                 | 182,365.82                            | 24,339.39               | 0.00                              | 3,153.25                            | 132,759.74          |
| Advertising & Promotion      | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 3,674.31                            | 3,674.31            |
| Communications               | 0.00                        | 26.35                          | 0.00                                  | 0.00                       | 0.55                                 | 68.95                                 | 39.10                   | 0.00                              | 698.79                              | 833.74              |
| Insurance                    | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 3,675.84                            | 3,675.84            |
| Repair & Maintenance         | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 665.00                              | 665.00              |
| Subscriptions & Publications | 0.00                        | 0.00                           | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 1,361.73                            | 1,361.73            |
| Professional Fees            | 0.00                        | 722.00                         | 0.00                                  | 0.00                       | 0.00                                 | 0.00                                  | 0.00                    | 0.00                              | 31,024.50                           | 31,746.50           |
| Administrative Expenses      | 307.65                      | 21,808.22                      | 0.00                                  | 0.00                       | 0.00                                 | 23,989.30                             | 10,526.27               | 82.80                             | 74,614.07                           | 131,328.31          |
| Depreciation & Amortization  | <u>0.00</u>                 | <u>0.00</u>                    | <u>0.00</u>                           | <u>0.00</u>                | <u>0.00</u>                          | <u>0.00</u>                           | <u>0.00</u>             | <u>0.00</u>                       | <u>697.70</u>                       | <u>697.70</u>       |
| Total EXPENSES               | <u>(83,078.33)</u>          | <u>42,614.49</u>               | <u>4,285.73</u>                       | <u>0.00</u>                | <u>0.55</u>                          | <u>229,744.60</u>                     | <u>47,113.21</u>        | <u>222.71</u>                     | <u>215,385.64</u>                   | <u>456,288.60</u>   |
| Excess Rev/Exp               | <u>107,877.16</u>           | <u>0.00</u>                    | <u>0.00</u>                           | <u>7,684.00</u>            | <u>1,572.62</u>                      | <u>615,302.81</u>                     | <u>0.00</u>             | <u>666.29</u>                     | <u>431,446.22</u>                   | <u>1,164,549.10</u> |

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Catskill Watershed Corporation  
Board of Directors Fees  
As May 31, 2020

| <u>Board Members Name</u> | <u>May</u>         | <u>Year to Date</u> |
|---------------------------|--------------------|---------------------|
| Anthony T Van Glad        | 375.00             | 2,125.00            |
| Arthur Merrill            | 250.00             | 1,250.00            |
| Christopher Mathews       | 250.00             | 1,500.00            |
| Innes Kasanof             | 125.00             | 1,000.00            |
| James Eisel               | 375.00             | 2,250.00            |
| James Sofranko            | 250.00             | 875.00              |
| Jeffrey Senterman         | 125.00             | 1,000.00            |
| Richard Parete            | 750.00             | 3,250.00            |
| Robert Pelham             | -                  | 875.00              |
| Thomas Hynes              | -                  | 750.00              |
| Tina Molé                 | 375.00             | 2,125.00            |
| Wayne Marshfield          | 375.00             | 2,125.00            |
| Total Board Fees Paid     | <u>\$ 3,250.00</u> | <u>\$ 19,125.00</u> |

**Catskill Watershed Corporation**  
**Supplementary Schedules**  
**Certificates of Deposit/Money Markets/Restricted Cash**  
**As of May 31, 2020**

| Fund                                            | Bank                  | Terms      | Interest Rate                          | Amount              |
|-------------------------------------------------|-----------------------|------------|----------------------------------------|---------------------|
| <i>Account # 1200</i>                           |                       |            |                                        |                     |
| <b>Money Market Accounts</b>                    |                       |            |                                        |                     |
| <b>Operating Account</b>                        |                       |            |                                        |                     |
| Operating - Money Market #1                     | Pershing              | Short-Term | 0.00%                                  | \$ 505.18           |
| <b>Septic IV Program</b>                        |                       |            |                                        |                     |
| Septic Program IV - Money Market                | Key Bank              | Short-Term | 0.01%                                  | 1,227,786.76        |
| Septic Program IV - Money Market                | Wayne Bank            | Short-Term | 0.75%                                  | 3,049,617.35        |
| <b>Septic Maintenance</b>                       |                       |            |                                        |                     |
| Septic Maintenance - MM#2                       | Key Bank              | Short-Term | 0.01%                                  | 644,719.50          |
| <b>Tax Consulting</b>                           |                       |            |                                        |                     |
| Tax Consulting - Money Market                   | Pershing              | Short-Term | 0.00%                                  | 399.39              |
| <b>Future Stormwater</b>                        |                       |            |                                        |                     |
| Future Stormwater - MM #1                       | Pershing              | Short-Term | 0.00%                                  | 275.45              |
| Future Stormwater - MM#2                        | Key Bank              | Short-Term | 0.01%                                  | 1,907,883.05        |
| <b>Catskill Fund for the Future</b>             |                       |            |                                        |                     |
| CFF - Money Market                              | Pershing              | Short-Term | 0.00%                                  | 17,979.83           |
|                                                 |                       |            | <b>Total Money Markets:</b>            | <u>6,849,166.51</u> |
|                                                 |                       |            | <b>Total Per Financial Statements:</b> | <u>6,849,166.51</u> |
|                                                 |                       |            | <b>Difference:</b>                     | <u>\$ -</u>         |
| <i>Account # 1205</i>                           |                       |            |                                        |                     |
| <b>Restricted Cash</b>                          |                       |            |                                        |                     |
| <b>Stream Corridor - Debris Removal Program</b> |                       |            |                                        |                     |
| Stream Cor. - Debris Removal - Ckg              | NBT Bank, N.A.        | Short-Term | 0.02%                                  | \$ 1,366,854.60     |
| <b>Community Wastewater III</b>                 |                       |            |                                        |                     |
| CWMP III - [Lateral Program] - Ckg              | Bank of Greene County | Short-Term | 0.75%                                  | 1,120,842.96        |
| CWMP III - [Study/Administration Funds]         | Bank of Greene County | Short-Term | 0.75%                                  | -                   |
| <b>LTAP</b>                                     |                       |            |                                        |                     |
| Sustainable Comm. Plan. Prg - Ckg               | NBT Bank, N.A.        | Short-Term | 0.02%                                  | 110,000.00          |
|                                                 |                       |            | <b>Total Restricted Cash:</b>          | <u>2,597,697.56</u> |
|                                                 |                       |            | <b>Total Per Financial Statements:</b> | <u>2,597,697.56</u> |
|                                                 |                       |            | <b>Difference:</b>                     | <u>\$ -</u>         |

**Catskill Watershed Corporation**  
**Investment in Municipal/Agency Bonds and U.S. Treasuries**  
**Short & Long Term Municipal Bonds/Market Adjustments**  
**As of May 31, 2020**

| Program / Pur. #                                                              | Name of Investment          | Type of Investment    | Date of Purchase | Maturity Date | CUSIP Number | Next Coupon/Final Payment | Effective Interest | Carrying Value | Total Program Value | Market Unrealized Gain/(Loss) | Net Short Term Municipal Bond Investments |
|-------------------------------------------------------------------------------|-----------------------------|-----------------------|------------------|---------------|--------------|---------------------------|--------------------|----------------|---------------------|-------------------------------|-------------------------------------------|
| <i>Account # 1202/#1208 – Short Term Investments - Municipal/Agency Bonds</i> |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| <i>Future Stormwater</i>                                                      |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| 31                                                                            | Suffolk County NY           | Municipal/Agency Bond | 07/09/19         | 06/26/20      | 86476PZD3    | 06/26/20                  | 2.120%             | 105,050.35     |                     |                               |                                           |
| 19                                                                            | Rochester NY BANS           | Municipal/Agency Bond | 04/29/20         | 08/06/20      | 771694PH3    | 08/06/20                  | 1.750%             | 370,815.68     |                     |                               |                                           |
| 50                                                                            | Rochester NY BANS           | Municipal/Agency Bond | 05/01/20         | 08/06/20      | 771694PH3    | 08/06/20                  | 1.750%             | 30,066.28      |                     |                               |                                           |
| 23                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 06/10/19         | 11/01/20      | 64971QQZ9    | 11/01/20                  | 1.950%             | 235,772.74     |                     |                               |                                           |
| 13                                                                            | NYSEFC                      | Municipal/Agency Bond | 12/12/18         | 11/15/20      | 64985HJD0    | 11/15/20                  | 2.732%             | 315,000.00     |                     |                               |                                           |
| 33                                                                            | Watertown NY                | Municipal/Agency Bond | 04/16/20         | 04/15/21      | 942185AY5    | 04/15/21                  | 2.150%             | 237,715.62     |                     |                               |                                           |
| 60                                                                            | Metropolitan Transportation | Municipal/Agency Bond | 04/21/20         | 05/15/21      | 59261AVU8    | 11/15/20                  | 3.500%             | 30,418.85      |                     |                               |                                           |
| 55                                                                            | Metropolitan Transportation | Municipal/Agency Bond | 04/23/20         | 05/15/21      | 59261AVU8    | 11/15/20                  | 3.800%             | 935,300.55     |                     |                               |                                           |
| 34                                                                            | Morrisville-Eaton CSD       | Municipal/Agency Bond | 05/28/20         | 05/28/21      | 618788GG2    | 05/28/21                  | 1.150%             | 186,090.96     | 2,446,231.03        | 5,281.32                      | 2,451,512.35                              |
| <i>Catskill Fund for the Future</i>                                           |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| 3                                                                             | Red Creek SD                | Municipal/Agency Bond | 04/03/20         | 06/26/20      | 756537ES1    | 06/26/20                  | 1.730%             | 552,319.14     |                     |                               |                                           |
| 75                                                                            | Schodack Central SD         | Municipal/Agency Bond | 04/03/20         | 06/26/20      | 806891KJ5    | 06/26/20                  | 1.730%             | 365,128.06     |                     |                               |                                           |
| 9                                                                             | Tull Central SD             | Municipal/Agency Bond | 04/03/20         | 06/26/20      | 899419JG1    | 06/26/20                  | 1.730%             | 350,060.30     |                     |                               |                                           |
| 70                                                                            | Hilton NY SCD               | Municipal/Agency Bond | 03/30/20         | 06/26/20      | 432884QH0    | 06/26/20                  | 2.020%             | 1,279,949.69   |                     |                               |                                           |
| 26                                                                            | Rochester NY BANS           | Municipal/Agency Bond | 05/01/20         | 08/06/20      | 771694PH3    | 08/06/20                  | 1.750%             | 1,463,225.52   |                     |                               |                                           |
| 4                                                                             | Suffolk County NY           | Municipal/Agency Bond | 04/09/20         | 08/20/20      | 86476PZZ4    | 08/20/20                  | 3.250%             | 3,109,711.63   |                     |                               |                                           |
| 45                                                                            | NY Port Authority           | Municipal/Agency Bond | 02/14/18         | 09/15/20      | 73358WT61    | 09/15/20                  | 2.375%             | 800,228.27     |                     |                               |                                           |
| 2                                                                             | NYC Transitional Finance    | Municipal/Agency Bond | 06/10/19         | 11/01/20      | 64971QQZ9    | 11/01/20                  | 1.950%             | 511,677.00     |                     |                               |                                           |
| 91                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 03/05/18         | 11/01/20      | 64971M5E8    | 11/01/20                  | 2.400%             | 352,366.64     |                     |                               |                                           |
| 21                                                                            | Watertown NY                | Municipal/Agency Bond | 04/16/20         | 04/15/21      | 942185AY5    | 04/15/21                  | 2.150%             | 187,137.83     |                     |                               |                                           |
| 64                                                                            | Metropolitan Transportation | Municipal/Agency Bond | 04/21/20         | 05/15/21      | 59261AVU8    | 11/15/20                  | 3.500%             | 1,084,939.04   |                     |                               |                                           |
| 30                                                                            | Metropolitan Transportation | Municipal/Agency Bond | 04/23/20         | 05/15/21      | 59261AVU8    | 11/15/20                  | 3.800%             | 404,454.29     | 10,461,197.43       | 13,991.87                     | 10,475,189.30                             |
| <b>Total Short Term Municipal/Agency Bonds:</b>                               |                             |                       |                  |               |              |                           |                    |                | 12,907,428.46       | 19,273.19                     | 12,926,701.65                             |
| <b>Total Per Financial Statements:</b>                                        |                             |                       |                  |               |              |                           |                    |                | 12,907,428.48       | 19,273.19                     |                                           |
| <b>Difference:</b>                                                            |                             |                       |                  |               |              |                           |                    |                | (0.02)              | -                             | Rounding                                  |
| <i>Account #1204/#1209 – Long Term Investments - Municipal/Agency Bonds</i>   |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| <i>Operating</i>                                                              |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| 36                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 03/19/19         | 07/15/22      | 64972HV90    | 07/15/20                  | 2.630%             | 30,411.99      |                     |                               |                                           |
| 20                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 03/27/19         | 07/15/22      | 64972HV90    | 07/15/20                  | 2.650%             | 5,066.62       |                     |                               |                                           |
| 10                                                                            | NYC Housing Dev             | Municipal/Agency Bond | 03/23/20         | 11/01/22      | 64972CFL2    | 11/01/20                  | 2.140%             | 5,106.41       | 40,585.02           | 1,053.79                      | 41,638.81                                 |
| <i>Tax Consulting</i>                                                         |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| 18                                                                            | Metropolitan Trans Auth     | Municipal/Agency Bond | 05/05/20         | 02/01/22      | 59261AE86    | 11/15/20                  | 5.000%             | 4,920.70       |                     |                               |                                           |
| 15                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 03/19/19         | 07/15/22      | 64972HV90    | 07/15/20                  | 2.630%             | 35,480.65      |                     |                               |                                           |
| 63                                                                            | NYC Housing Dev             | Municipal/Agency Bond | 03/23/20         | 11/01/22      | 64972CFL2    | 11/21/20                  | 2.140%             | 15,319.24      |                     |                               |                                           |
| 32                                                                            | NYCGO                       | Municipal/Agency Bond | 12/06/19         | 12/01/22      | 64966JAN6    | 06/01/20                  | 1.700%             | 65,521.66      |                     |                               |                                           |
| 48                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 10/09/19         | 11/01/24      | 64971M5J7    | 11/01/20                  | 2.050%             | 11,192.55      |                     |                               |                                           |
| 44                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 09/27/19         | 11/01/24      | 64971M5J7    | 11/01/20                  | 2.050%             | 67,152.73      | 199,587.54          | 3,425.13                      | 203,012.67                                |
| <i>Future Stormwater</i>                                                      |                             |                       |                  |               |              |                           |                    |                |                     |                               |                                           |
| 41                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 12/27/18         | 08/01/21      | 64971XBF4    | 08/01/20                  | 2.800%             | 421,710.77     |                     |                               |                                           |
| 1                                                                             | NYC Transitional Finance    | Municipal/Agency Bond | 06/10/19         | 02/01/22      | 64971WSX9    | 08/01/20                  | 2.020%             | 282,171.18     |                     |                               |                                           |
| 28                                                                            | NYC Transitional Finance    | Municipal/Agency Bond | 06/06/19         | 02/01/22      | 64971WSX9    | 08/01/20                  | 2.020%             | 231,783.24     |                     |                               |                                           |
| 25                                                                            | Metropolitan Transportation | Municipal/Agency Bond | 05/05/20         | 02/01/22      | 59261AE86    | 11/15/20                  | 5.000%             | 29,524.19      |                     |                               |                                           |
| 39                                                                            | NYS Dorm Authority          | Municipal/Agency Bond | 05/20/20         | 02/15/22      | 64990FRU0    | 08/15/20                  | 1.250%             | 25,564.82      |                     |                               |                                           |
| 14                                                                            | NYS Urban Development       | Municipal/Agency Bond | 08/05/19         | 03/15/22      | 650035J90    | 09/15/20                  | 2.030%             | 25,507.59      |                     |                               |                                           |
| 8                                                                             | NYC GO                      | Municipal/Agency Bond | 04/17/20         | 06/01/22      | 64966LN64    | 06/01/20                  | 1.400%             | 410,535.66     |                     |                               |                                           |

**Catskill Watershed Corporation**  
**Investment in Municipal/Agency Bonds and U.S. Treasuries**  
**Short & Long Term Municipal Bonds/Market Adjustments**  
**As of May 31, 2020**

| Program / Pur. #                               | Name of Investment          | Type of Investment    | Date of Purchase | Maturity Date | CUSIP Number | Next                 |                    | Carrying Value | Total Program Value | Market Unrealized Gain/(Loss) | Net Short Term Municipal Bond Investments |      |
|------------------------------------------------|-----------------------------|-----------------------|------------------|---------------|--------------|----------------------|--------------------|----------------|---------------------|-------------------------------|-------------------------------------------|------|
|                                                |                             |                       |                  |               |              | Coupon/Final Payment | Effective Interest |                |                     |                               |                                           |      |
| 84                                             | NYC Transitional Finance    | Municipal/Agency Bond | 03/19/19         | 07/15/22      | 64972HV90    | 07/15/20             | 2.630%             | 81,098.63      |                     |                               |                                           |      |
| 17                                             | NYC Housing Dev             | Municipal/Agency Bond | 03/23/20         | 11/01/22      | 64972CFL2    | 11/01/20             | 2.140%             | 5,106.41       |                     |                               |                                           |      |
| 38                                             | Metropolitan Transportation | Municipal/Agency Bond | 05/27/20         | 11/15/22      | 59261AC54    | 11/15/20             | 3.600%             | 1,228,828.98   |                     |                               |                                           |      |
| 40                                             | NY Port Authority           | Municipal/Agency Bond | 04/14/20         | 12/01/22      | 73358WKP8    | 06/01/20             | 1.700%             | 474,060.62     |                     |                               |                                           |      |
| 27                                             | NYC Transitional Finance    | Municipal/Agency Bond | 04/17/20         | 02/01/23      | 64971W5L0    | 08/01/20             | 1.350%             | 413,312.34     |                     |                               |                                           |      |
| 29                                             | NYS Housing Dev             | Municipal/Agency Bond | 03/18/20         | 05/01/23      | 64987DSZ8    | 11/01/20             | 1.336%             | 394,225.47     |                     |                               |                                           |      |
| 16                                             | NYC Housing Dev             | Municipal/Agency Bond | 04/17/20         | 11/01/23      | 64972CZ71    | 11/01/20             | 1.650%             | 735,218.77     |                     |                               |                                           |      |
| 67                                             | NYC Transitional Finance    | Municipal/Agency Bond | 11/20/19         | 02/01/24      | 64971W5M8    | 08/01/20             | 2.020%             | 30,871.28      |                     |                               |                                           |      |
| 51                                             | NYS Urban Development       | Municipal/Agency Bond | 03/27/20         | 03/15/24      | 6500358M3    | 09/15/20             | 2.300%             | 10,288.20      |                     |                               |                                           |      |
| 5                                              | Malone Central School Dist  | Municipal/Agency Bond | 02/18/20         | 06/15/24      | 561319GV0    | 06/15/20             | 1.825%             | 156,816.63     |                     |                               |                                           |      |
| 22                                             | NYC Transitional Finance    | Municipal/Agency Bond | 03/10/20         | 07/15/24      | 64972HN99    | 07/15/20             | 1.050%             | 462,258.56     |                     |                               |                                           |      |
| 6                                              | NYC Transitional Finance    | Municipal/Agency Bond | 03/06/20         | 08/01/24      | 64971XBJ6    | 08/01/20             | 1.050%             | 207,994.92     |                     |                               |                                           |      |
| 35                                             | NYC Transitional Finance    | Municipal/Agency Bond | 09/27/19         | 11/01/24      | 64971M5J7    | 11/01/20             | 2.050%             | 16,788.18      | 5,643,666.45        | 8,354.50                      | 5,652,020.95                              |      |
| <b>Catskill Fund for the Future</b>            |                             |                       |                  |               |              |                      |                    |                |                     |                               |                                           |      |
| 12                                             | Metropolitan Transportation | Municipal/Agency Bond | 05/05/20         | 02/01/22      | 59261AE86    | 11/15/20             | 5.000%             | 59,048.38      |                     |                               |                                           |      |
| 37                                             | NYS Dorm Authority          | Municipal/Agency Bond | 05/20/20         | 02/15/22      | 64990FRU0    | 08/15/20             | 1.250%             | 25,564.82      |                     |                               |                                           |      |
| 65                                             | NYCGO                       | Municipal/Agency Bond | 06/10/19         | 08/01/22      | 64966MED7    | 08/01/20             | 2.020%             | 630,742.24     |                     |                               |                                           |      |
| 93                                             | NY Port Authority           | Municipal/Agency Bond | 04/07/20         | 10/15/22      | 73358WUC6    | 10/15/20             | 2.150%             | 1,106,230.27   |                     |                               |                                           |      |
| 78                                             | Goldman Sachs               | Municipal/Agency Bond | 03/13/20         | 03/13/23      | 38149MRB1    | 09/11/20             | 0.900%             | 1,150,000.00   |                     |                               |                                           |      |
| 76                                             | NYS Dorm Authority          | Municipal/Agency Bond | 06/14/19         | 03/15/23      | 64990FJQ8    | 09/15/20             | 2.150%             | 555,839.13     |                     |                               |                                           |      |
| 7                                              | NYS Urban Dev               | Municipal/Agency Bond | 02/27/19         | 03/15/23      | 6500354T2    | 09/15/20             | 2.700%             | 159,872.07     |                     |                               |                                           |      |
| 56                                             | NYS Housing Dev             | Municipal/Agency Bond | 03/13/20         | 05/01/23      | 64987DSZ8    | 11/01/20             | 1.100%             | 386,409.76     |                     |                               |                                           |      |
| 62                                             | NYS Housing Dev             | Municipal/Agency Bond | 03/18/20         | 05/01/23      | 64987DSZ8    | 11/01/20             | 1.336%             | 1,027,061.09   |                     |                               |                                           |      |
| 11                                             | NYC Housing Dev             | Municipal/Agency Bond | 04/07/20         | 05/01/23      | 64972ECE7    | 11/01/20             | 1.650%             | 934,166.98     |                     |                               |                                           |      |
| 61                                             | NYS Urban Dev               | Municipal/Agency Bond | 03/27/20         | 03/15/24      | 6500358M3    | 09/15/20             | 2.300%             | 20,576.40      |                     |                               |                                           |      |
| 24                                             | NYC Transitional Finance    | Municipal/Agency Bond | 03/06/20         | 08/01/24      | 64971XBJ6    | 08/01/20             | 1.050%             | 1,535,962.48   |                     |                               |                                           |      |
| 80                                             | NYC Transitional Finance    | Municipal/Agency Bond | 04/16/20         | 08/01/24      | 64971XBJ6    | 08/01/20             | 1.900%             | 113,461.43     | 7,704,935.04        | 64,062.19                     | 7,768,997.23                              |      |
| <b>Total Long Term Municipal/Agency Bonds:</b> |                             |                       |                  |               |              |                      |                    |                | 13,588,774.04       | 76,895.61                     | 13,665,669.65                             |      |
| <b>Total LT Per Financial Statements:</b>      |                             |                       |                  |               |              |                      |                    |                | 13,588,774.04       | 76,895.61                     | 13,665,669.65                             |      |
| Rounding                                       |                             |                       |                  |               |              |                      |                    |                | Difference:         | 0.00                          | -                                         | 0.00 |

Catskill Watershed Corporation  
 Summary Budget Comparison  
 From 1/1/2020 Through 5/31/2020

41.66%

| Account Code      | Account Title                 | Total Budget \$ - Original | YTD Actual   | Remaining Budget | Percent Total Budget Remaining | Percent Total Budget Used |
|-------------------|-------------------------------|----------------------------|--------------|------------------|--------------------------------|---------------------------|
| 001               | Salary Expense                | 1,377,616.00               | 537,805.62   | 839,810.38       | 60.96%                         | 39.04%                    |
| 002               | Fringe Benefits               | 848,077.00                 | 244,991.38   | 603,085.62       | 71.11%                         | 28.89%                    |
| 003               | Program Expenses              | 53,154,492.00              | 3,003,798.49 | 50,150,693.51    | 94.35%                         | 5.65%                     |
| 004               | Occupancy & Interest Exp      | 413,092.00                 | 41,696.04    | 371,395.96       | 89.91%                         | 10.09%                    |
| 005               | Advertising & Promotion       | 20,400.00                  | 6,537.52     | 13,862.48        | 67.95%                         | 32.05%                    |
| 006               | Office Supplies               | 24,300.00                  | 8,471.27     | 15,828.73        | 65.14%                         | 34.86%                    |
| 007               | Communications                | 27,600.00                  | 12,379.70    | 15,220.30        | 55.15%                         | 44.85%                    |
| 008               | Grants & Reimbursements       | 100,000.00                 | (2,004.86)   | 102,004.86       | 102.00%                        | -2.00%                    |
| 009               | Travel                        | 52,200.00                  | 6,144.24     | 46,055.76        | 88.23%                         | 11.77%                    |
| 010               | Conferences & Seminars        | 24,250.00                  | 860.00       | 23,390.00        | 96.45%                         | 3.55%                     |
| 011               | Insurance                     | 116,281.00                 | 35,159.30    | 81,121.70        | 69.76%                         | 30.24%                    |
| 012               | Repair & Maintenance          | 29,386.00                  | 3,876.22     | 25,509.78        | 86.81%                         | 13.19%                    |
| 013               | Subscriptions & Publications  | 8,000.00                   | 3,637.61     | 4,362.39         | 54.53%                         | 45.47%                    |
| 014               | Professional & Director Fees  | 590,783.00                 | 74,788.69    | 515,994.31       | 87.34%                         | 12.66%                    |
| 015               | Administrative Costs          | 0.00                       | 0.00         | 0.00             | 0.00%                          | 0.00%                     |
| 016               | Depreciation & Amortization   | 617,258.00                 | 128,638.28   | 488,619.72       | 79.16%                         | 20.84%                    |
| 017               | Dues, Licenses, & Memberships | 3,514.00                   | 375.00       | 3,139.00         | 89.33%                         | 10.67%                    |
| 018               | Miscellaneous Expense         | 2,000.00                   | 426.92       | 1,573.08         | 78.65%                         | 21.35%                    |
| Report Difference |                               | 57,409,249.00              | 4,107,581.42 | 53,301,667.58    | 92.85%                         | 7.15%                     |

**TAB 3**

## Executive Director's Report

August 4, 2020

*An updated schedule for this month's committee meetings is attached.*

### Community Wastewater Management Program

#### **CWMP III**

**Shandaken** - On 5/31/2017 a Block Grant in the amount of \$6,770,000 was approved by the NYCDEP. This will fund a combination system of an SMD with onsite and remote systems including construction costs, land acquisitions and an O&M endowment. There are 60 systems being proposed for this project. The bid was awarded to Evergreen Construction and work started in December 2019. Kevin Young is in the process of finalizing the land purchase for the remote field. Evergreen Construction has remobilize June 1, 2020 and are actively working on the replacement of septic systems within the hamlet of Shandaken. Substantial completion date for this project is 10/9/20. 7 of the 24 systems have been completed.

**West Conesville** - On 7/25/2017 a Block Grant in the amount of \$8,411,000.00 was approved by the NYCDEP. This will fund the hookup of 54 systems to a Community Septic System. The bid was awarded to Evergreen Construction in the amount of \$6,483,725.00. The contractor has started construction of the project at the remote leach field site. The sand beds have been installed and are sitting in their 90 day rest period awaiting inspection approval on or about August 19, 2020. The construction of the building has begun and is in the framing stages.

**Claryville** - The project an SMD with a total of 130 hookups. On 4/28/2017 the NYCDEP approved the Block Grant for Claryville for a total of \$8,655,000.00 with a yearly O&M cost being included of \$48,933.00. The project covered two separate towns in two separate counties. Town of Denning's portion of the project and the systems that were on the priority list are complete and the remaining funds in the amount of \$3,148,268.75 have been released to the Town of Denning. Neversink received DEP approval on 5/14/19 and the bid was awarded to Poley Construction and work was started on the priority list for the Neversink portion of Claryville. All 9 systems that are on the priority list have been completed. Final billing, as built drawings, DEP approvals and O&M documents are needed from Lamont Engineers before closeout can be done and the remaining funds will be turned over to the Town of Neversink at that time.

**New Kingston** - On 11/28/2018, the NYCDEP forwarded the Block Grant approval to the CWC in the amount of \$5.2 million for 28 hookups. At the May 8, 2019 Town Board meeting they approved the creation of the Sewer District and the Sewer Use Law and included the adoption of SEQR. On June 29, 2019 a vote of the residents of New Kingston was completed with the vote being 27-4 in favor of doing the project. At the March 2020 WAC meeting the WAC Easement Committee denied the subdivision request. CWC, DEP, Kevin Young and Lamont Engineers are working on the details of going forward with obtaining the land for the project. At the 7/8/20 Town Board meeting a public hearing was held for the Town of Middletown to consider the acquisition of land that is under the WAC easement at the Moriarity property through the Eminent Domain procedure law of the State of New York.

**Halcottsville-** On 9/29/2017, the NYCDEP approved the Block Grant for Halcottsville for a total of \$8,954,000.00. The project will be a large diameter gravity sewer with pump station and force main connecting to the NYCDEP Margaretville WWTP. O&M costs are estimated at \$69,000.00 per year. There will be 53 hookups being tied into the system. The Town of Middletown on 12/13/2017 adopted a resolution to continue with the Pre-Construction Phase. The 65% design drawings and Facility Plan have been submitted to the DEP. Kevin Young's office is in the process of completing the land purchase agreement with the Shultis family. A meeting with the Middletown Town Board was held on March 11, 2020 to have the Town declare themselves as Lead Agency for the project and to schedule a public hearing for the Sewer Use Law and to establish the Halcottsville Sewer District which has been delayed due to Covid-19. The public hearing was held on 7/8/20 to establish the Halcottsville Sewer District and per Resolution #15 of 2020, the Town Board declared a Neg. Dec. A second public hearing was conducted for Local Law # 1 of 2020 to amend its Sewer Use Law to include the Halcottsville Sewer District. Per Resolution #16 of 2020 this was approved.

**Shokan-** Chazen Companies was hired to complete the Shokan study for the sewer service area and the contract was to provide a Study Area Report back to CWC. Copies of this report were provided to the NYSDEC, NYCDEP, NYSDOH and EPA. Program Agreement was approved by the CWC Wastewater Committee and the CWC Board of Director (2/6/2018). Lamont Engineers cost for Professional Services for the Shokan Wastewater Management

Program for the hamlet of Shokan in the Town of Olive is \$198,872.00. The Town of Olive signed the contract and resolution to proceed with the Study Phase on August 14, 2018. Lamont Engineers has completed the PER and delivered the document to CWC on 8/29/19. At the request of the DEP Lamont Engineers is looking into all possible options. Lamont Engineers has evaluated other possible options and have come back with their recommendation being a standalone WWTP in Shokan and making modifications to the Boiceville WWTP to just have holding tanks at that site and pumping all wastewater back to a new Shokan WWTP located on Site D.

There are 470+ connections being projected for the hamlet of Shokan. The current estimated flow is 166,000 gpd. On 4/30/20 Amendment #2 of the Shokan draft PER was submitted to CWC and the DEP with the recommendation of the Shokan WWTP and modifications to the Boiceville WWTP.

Design should take between 1 ½ and 2 years. Block Grant approval by DEP for Shokan is pending.

### **Future Stormwater/MOA 145**

There are three resolutions this month for reimbursement of NYCDEP stormwater requirements. . The first resolution is for Future Stormwater approval for reimbursement of eligible stormwater design and construction costs for a project in Tannersville. The other two are for approval of MOA 145 reimbursement for projects in West Shokan and in Prattsville. Call Danyelle if you have any questions.

### **Stormwater Retrofit**

There are no resolutions this month. Call Danyelle if you have any questions.

### **Flood Mitigation Program**

There are no resolutions this month. Demolition has commenced on the old Mt. Pleasant bridge in Shandaken. It is expected to be down by August 15, 2020. The demolition was recommended by the local flood analysis for Phoenicia and Mt. Tremper to avoid the old bridge being an obstruction during a flood event or possibly damaging a new State Route 28 bridge immediately downstream. Call John Mathiesen if you have any questions.

### **Septic**

There are fourteen resolutions this month. Seven of the resolutions are for reimbursement of costs of repair or replacement of residential septic systems under the MOA Septic Program. Two resolutions are for additional costs. Three are for approval of second time repairs funded by the MOA Septic Program. There is also a resolution for Expanded Septic Program reimbursement. The final resolution is for a Septic Maintenance Program Rules amendment to allow small business, not-for-profits, and governmental entities to also be eligible for reimbursement of one-half the costs of a pump-out. Call Mitch if you have any questions.

### **Policy**

There are no resolutions this month. Nominations for the Delaware County Special Election must be received by CWC no later than August 7, 2020. Call Tim Cox if you have any questions.

### **Finance**

There is one resolution this month for President's compensation. Call Jim if you have any questions.

### **Land**

There are no resolutions this month. Call Tim if you have any questions.

### **Education and Outreach**

There are no resolutions this month. Call Samantha if you have any questions.

### **Economic Development**

There is one resolution for approval of a CWC loan application by WB Delhi, LLC. If you have any questions please call Barbara.

Thank you.

*Alan*

**BOARD & COMMITTEE SCHEDULE**  
**August 4, 2020**

| <u><i>COMMITTEE</i></u>           | <u><i>CHAIRPERSON</i></u> | <u><i>DATE</i></u>          | <u><i>TIME</i></u>                                              | <u><i>NOTE</i></u> |
|-----------------------------------|---------------------------|-----------------------------|-----------------------------------------------------------------|--------------------|
| <b>ANNUAL MEETING</b>             |                           | <b>Tuesday<br/>08-04-20</b> | <b>9:00 AM</b>                                                  |                    |
| <b>STORMWATER/<br/>WASTEWATER</b> |                           | <b>Tuesday<br/>08-04-20</b> | <b>IMMEDIATELY<br/>FOLLOWING<br/>ANNUAL<br/>MEETING</b>         |                    |
| <b>SEPTIC</b>                     |                           | <b>Tuesday<br/>08-04-20</b> | <b>IMMEDIATELY<br/>FOLLOWING<br/>STORMWATER/<br/>WASTEWATER</b> |                    |
| <b>POLICY</b>                     | James Eisel               | <b>Tuesday<br/>08-04-20</b> | <b>IMMEDIATELY<br/>FOLLOWING<br/>SEPTIC</b>                     |                    |
| <b>FINANCE/FISCAL<br/>AUDIT</b>   | James Eisel               | <b>Tuesday<br/>08-04-20</b> | <b>IMMEDIATELY<br/>FOLLOWING<br/>POLICY</b>                     |                    |
| <b>GOVERNANCE</b>                 |                           | <b>Tuesday<br/>08-04-20</b> | <b>CANCELLED</b>                                                |                    |
| <b>LAND</b>                       | James Eisel               | <b>Tuesday<br/>08-04-20</b> | <b>CANCELLED</b>                                                |                    |
| <b>ECO. DEVL.</b>                 | Richard Parete            | <b>Tuesday<br/>08-04-20</b> | <b>CANCELLED</b>                                                |                    |
| <b>PUBLIC<br/>EDUCATION</b>       | Tina Molé                 | <b>Tuesday<br/>08-04-20</b> | <b>CANCELLED</b>                                                |                    |
| <b>BOARD</b>                      |                           | <b>08-04-20</b>             | <b>IMMEDIATELY<br/>FOLLOWING<br/>FINANCE</b>                    |                    |
| <b>SPORTING<br/>ADVISORY</b>      | Steven Roff               | <b>TBD</b>                  |                                                                 |                    |

# CWC CFF Leverage Report

| Client # | Client Name                               | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|-------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 122      | Dylan Patrick LLC                         | 7/22/2008          |                                | \$560,000.00         | \$0.00             | \$0.00               | \$840,000.00   | \$1,400,000.00     | 21           | 25             | 4              | Sullivan    |
| 366      | John & Denise McLean T/A Wood-Done-Right  | 10/26/2004         |                                | \$25,000.00          | \$0.00             | \$0.00               | \$41,700.00    | \$66,700.00        | 0            | 0              | 0              | Delaware    |
| 365      | Delaware Dental, PLLC                     | 10/26/2004         |                                | \$90,000.00          | \$0.00             | \$0.00               | \$160,000.00   | \$250,000.00       | 0            | 0              | 0              | Delaware    |
| 340      | Osterhoudt Corp                           | 11/1/2016          |                                | \$860,000.00         | \$0.00             | \$0.00               | \$633,000.00   | \$1,493,000.00     | 0            | 0              | 0              | Ulster      |
| 363      | Gifford's Sports Supply                   | 5/25/2004          |                                | \$25,000.00          | \$0.00             | \$0.00               | \$51,000.00    | \$76,000.00        | 2            | 0              | 0              | Delaware    |
| 174      | Lucky Dog's Hamden Inn                    | 10/5/2010          |                                | \$240,000.00         | \$0.00             | \$0.00               | \$110,000.00   | \$350,000.00       | 0            | 6              | 6              | Delaware    |
| 362      | Candace Chambers & Timothy Townssend T/A  | 4/22/2003          |                                | \$156,000.00         | \$0.00             | \$0.00               | \$835,275.00   | \$991,275.00       | 0            | 0              | 0              | Ulster      |
| 361      | Westchester-Ellenville Hospital Inc.      | 2/25/2003          |                                | \$1,000,000.00       | \$0.00             | \$0.00               | \$1,492,243.00 | \$2,492,243.00     |              | 1              |                | Ulster      |
| 360      | Tom & Dana Fraser T/A The Phoenicia Belle | 3/25/2003          |                                | \$25,000.00          | \$0.00             | \$0.00               | \$8,400.00     | \$33,400.00        |              |                | 0              | Ulster      |
| 359      | Durable Systems                           | 6/24/2003          |                                | \$60,000.00          | \$0.00             | \$0.00               | \$340,000.00   | \$400,000.00       | 1            | 1              | 0              | Ulster      |
| 354      | Keath E. Davis                            | 9/5/2017           |                                | \$275,000.00         | \$0.00             | \$0.00               | \$0.00         | \$275,000.00       | 2            | 2              | 0              | Delaware    |
| 367      | Mental Health Association                 | 4/26/2005          |                                | \$77,468.00          | \$0.00             | \$0.00               | \$78,000.00    | \$155,468.00       | 12           | 0              | 0              | Delaware    |
| 349      | Catskill Ventures, LLC                    | 7/5/2017           |                                | \$965,195.00         | \$0.00             | \$0.00               | \$413,655.00   | \$1,378,850.00     | 0            | 20             | 20             | Ulster      |
| 364      | Mauer Enterprises, Inc.                   | 7/27/2004          |                                | \$100,000.00         | \$0.00             | \$0.00               | \$120,000.00   | \$220,000.00       |              | 40             | 0              | Ulster      |
| 200      | Papa Pratt's Pizzeria, LLC                | 12/2/2008          |                                | \$105,000.00         | \$0.00             | \$0.00               | \$15,000.00    | \$145,000.00       | 0            | 0              | 0              | Greene      |
| 200      | Papa Pratt's Pizzeria, LLC                | 12/2/2008          |                                | \$25,000.00          | \$0.00             | \$0.00               | \$15,000.00    | \$145,000.00       | 0            | 0              | 0              | Greene      |
| 201      | Frank's Septic                            | 5/4/2010           |                                | \$15,750.00          | \$0.00             | \$0.00               | \$5,250.00     | \$21,000.00        | 1            | 1              | 0              | Delaware    |
| 180      | Stamford Belvedere Corp                   | 8/3/2010           |                                | \$150,000.00         | \$0.00             | \$0.00               | \$0.00         | \$150,000.00       | 0            | 0              | 0              | Delaware    |
| 229      | Vista Property Group, LLC                 | 5/1/2012           |                                | \$860,000.00         | \$0.00             | \$0.00               | \$1,400,000.00 | \$2,300,000.00     | 9            | 10             | 1              | Ulster      |
| 237      | Onteora Farms, LLC                        | 6/2/2015           |                                | \$100,000.00         | \$0.00             | \$0.00               | \$28,000.00    | \$128,000.00       | 1            | 0              | 0              | Ulster      |
| 265      | J & W Deli, LLC                           | 3/5/2013           |                                | \$290,000.00         | \$0.00             | \$0.00               | \$115,000.00   | \$405,000.00       | 6            | 8              | 2              | Delaware    |
| 277      | JGJMS, LLC                                | 9/3/2013           |                                | \$220,673.54         | \$0.00             | \$0.00               | \$220,673.54   | \$441,347.07       | 20           | 20             | 0              | Watershed W |

| Client # | Client Name                                 | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|---------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 329      | 213 Apples, LLC                             | 11/3/2015          |                                | \$1,300,000.00       | \$0.00             | \$0.00               | \$632,125.00   | \$1,932,125.00     | 0            | 10             | 10             | Ulster      |
| 301      | Wellness RX, LLC                            | 8/4/2015           |                                | \$35,000.00          | \$0.00             | \$0.00               | \$160,000.00   | \$195,000.00       | 1            | 0              | 0              | Greene      |
| 353      | Sunflower Market, Inc.                      | 12/5/2017          |                                | \$500,000.00         | \$0.00             | \$0.00               | \$1,767,595.00 | \$2,267,595.00     | 53           | 60             | 10             | Ulster      |
| 380      | V&B Cross Lumber Co., Inc.                  | 8/28/2001          |                                | \$450,000.00         | \$0.00             | \$0.00               | \$0.00         | \$450,000.00       | 0            | 0              | 0              | Ulster      |
| 408      | McKenley Hollow LLC                         | 1/7/2020           |                                | \$883,000.00         | \$0.00             | \$0.00               | \$530,000.00   | \$3,356,000.00     |              |                | 5              | Ulster      |
| 362      | Candace Chambers & Timothy Townssend T/A    | 5/27/2003          |                                | \$97,400.00          | \$0.00             | \$0.00               | \$738,275.00   | \$835,275.00       | 0            | 0              | 0              | Ulster      |
| 202      | Thomas E. Miner Jr. & Donald E. VanEtten    | 3/3/2020           |                                | \$178,000.00         | \$0.00             | \$0.00               | \$0.00         | \$178,000.00       | 0            | 0              | 0              | Delaware    |
| 368      | TLB Management, Inc.                        | 4/26/2005          |                                | \$500,000.00         | \$0.00             | \$0.00               | \$1,469,500.00 | \$1,969,500.00     | 0            | 0              | 0              | Ulster      |
| 379      | Rallysport                                  | 6/23/1998          |                                | \$35,000.00          | \$0.00             | \$0.00               | \$0.00         | \$35,000.00        | 0            | 0              | 0              | Delaware    |
| 378      | Vaughn & Jodie Wilkie                       | 11/3/2009          |                                | \$79,000.00          | \$0.00             | \$0.00               | \$191,000.00   | \$270,000.00       | 0            | 0              | 0              | Greene      |
| 377      | BTH Properties, LLC. T/A Pine Bush Equipmen | 3/3/2009           |                                | \$500,000.00         | \$0.00             | \$0.00               | \$768,950.00   | \$1,268,950.00     | 0            | 0              | 0              | Sullivan    |
| 370      | Ronne D. Marantz                            | 6/27/2006          |                                | \$305,000.00         | \$0.00             | \$0.00               | \$555,000.00   | \$860,000.00       | 0            | 0              | 0              | Ulster      |
| 375      | Catskill Mountain Transfer, Inc.            | 5/22/2007          |                                | \$200,000.00         | \$0.00             | \$0.00               | \$438,975.00   | \$635,975.00       | 1            | 0              | 0              | Delaware    |
| 374      | Frank & Judith Berkey                       | 3/27/2007          |                                | \$725,000.00         | \$0.00             | \$0.00               | \$0.00         | \$725,000.00       | 1            | 0              | 0              | Delaware    |
| 373      | RTE Transport LTD                           | 3/27/2007          |                                | \$142,000.00         | \$0.00             | \$0.00               | \$25,000.00    | \$167,000.00       | 7            | 0              | 0              | Greene      |
| 369      | Alexios Kambouris T/A A&G Furniture         | 4/25/2006          |                                | \$150,000.00         | \$0.00             | \$0.00               | \$150,000.00   | \$300,000.00       | 4            | 0              | 0              | Ulster      |
| 372      | 38 Main Corp.                               | 11/28/2006         |                                | \$120,000.00         | \$0.00             | \$0.00               | \$255,000.00   | \$375,000.00       | 6            | 0              | 0              | Ulster      |
| 371      | The Reporter Company                        | 8/7/2006           |                                | \$500,000.00         | \$0.00             | \$0.00               | \$0.00         | \$500,000.00       | 19           | 0              | 0              | Delaware    |
| 376      | Gail McNee                                  | 5/27/2008          |                                | \$67,000.00          | \$0.00             | \$0.00               | \$3,000.00     | \$70,000.00        | 4            | 0              | 0              | Delaware    |
| 1        | Catskill Craftsman                          | 4/2/1998           | 9/15/1998                      | \$55,000.00          | \$55,000.00        | \$0.00               | \$70,000.00    | \$125,000.00       | 0            | 0              | 0              | Delaware    |
| 29       | Catskill Craftsman, Inc. (2)                | 6/26/2001          | 9/15/1998                      | \$631,768.00         | \$631,708.48       | \$0.00               | \$1,174,000.00 | \$1,805,768.00     | 50           | 50             | 0              | Delaware    |
| 2        | Lisa Jones D/B/A Hair Solutions             | 10/27/1998         | 12/4/1998                      | \$15,000.00          | \$15,000.00        | \$0.00               | \$15,000.00    | \$30,000.00        | 5            | 5              | 0              | Delaware    |
| 4        | Olive Woods, LLC                            | 11/24/1998         | 2/22/1999                      | \$675,000.00         | \$675,000.00       | \$0.00               | \$675,000.00   | \$1,350,000.00     | 117          | 143            | 26             | Ulster      |
| 3        | Northeast Fabricators, LLC II               | 11/24/1998         | 2/22/1999                      | \$450,000.00         | \$450,000.00       | \$0.00               | \$567,700.00   | \$1,017,700.00     | 45           | 70             | 25             | Delaware    |

| Client # | Client Name                                   | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|-----------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 5        | Tremperskill Country Store                    | 10/27/1998         | 3/5/1999                       | \$30,000.00          | \$30,000.00        | \$0.00               | \$45,000.00    | \$75,000.00        | 3            | 3              | 0              | Delaware    |
| 6        | G. Willikers, Inc. / Crossroads Building, LLC | 1/26/1999          | 4/6/1999                       | \$85,900.00          | \$85,900.00        | \$0.00               | \$201,734.00   | \$287,634.00       | 53           | 75             | 22             | Delaware    |
| 7        | Hogan's General Store                         | 3/5/1998           | 8/12/1999                      | \$98,000.00          | \$98,000.00        | \$0.00               | \$15,000.00    | \$113,000.00       | 1            | 3              | 2              | Delaware    |
| 8        | Alpine Garden Convenience Inc.                | 7/27/1999          | 11/3/1999                      | \$90,000.00          | \$90,000.00        | \$0.00               | \$272,000.00   | \$362,000.00       | 2            | 7              | 5              | Greene      |
| 9        | Burton F. Clark, Inc.                         | 4/24/1999          | 11/17/1999                     | \$600,000.00         | \$600,000.00       | \$0.00               | \$750,000.00   | \$1,350,000.00     | 52           | 64             | 12             | Delaware    |
| 10       | Elizabeth Winograd                            | 5/25/1999          | 11/24/1999                     | \$150,000.00         | \$150,000.00       | \$0.00               | \$300,700.00   | \$450,700.00       | 5            | 28             | 23             | Ulster      |
| 11       | Skillcat II Corp. d/b/a Phoenicia Hotel       | 9/28/1999          | 12/1/1999                      | \$100,000.00         | \$100,000.00       | \$0.00               | \$152,891.00   | \$252,891.00       | 5            | 10             | 5              | Ulster      |
| 12       | Peak Trading Corporation                      | 9/28/1999          | 12/9/1999                      | \$140,000.00         | \$140,000.00       | \$0.00               | \$190,000.00   | \$330,000.00       | 4            | 15             | 11             | Ulster      |
| 13       | Catskill Family Farms Cooperative             | 10/26/1999         | 4/4/2000                       | \$250,000.00         | \$250,000.00       | \$0.00               | \$0.00         | \$250,000.00       | 6            | 7              | 1              | Delaware    |
| 15       | Top Shelf Storage                             | 2/22/2000          | 4/28/2000                      | \$36,120.00          | \$36,120.00        | \$0.00               | \$36,120.00    | \$72,240.00        | 1            | 1              | 0              | Greene      |
| 14       | Apple Tree Inn & Efficiencies                 | 2/22/2000          | 4/28/2000                      | \$50,000.00          | \$50,000.00        | \$0.00               | \$150,500.00   | \$200,500.00       | 2            | 2              | 0              | Ulster      |
| 16       | Drew/Natasha Shuster                          | 5/25/1999          | 5/23/2000                      | \$55,000.00          | \$55,000.00        | \$0.00               | \$55,000.00    | \$110,000.00       | 5            | 14             | 9              | Greene      |
| 18       | Janice Dordick                                | 5/23/2000          | 9/11/2000                      | \$450,000.00         | \$450,000.00       | \$0.00               | \$1,150,000.00 | \$1,600,000.00     | 14           | 22             | 8              | Ulster      |
| 17       | Paul Solis-Cohen                              | 5/23/2000          | 9/11/2000                      | \$150,000.00         | \$150,000.00       | \$0.00               | \$230,000.00   | \$380,000.00       | 6            | 10             | 4              | Ulster      |
| 19       | Kathleen Sullivan                             | 6/27/2000          | 10/4/2000                      | \$44,900.00          | \$44,900.00        | \$0.00               | \$43,000.00    | \$87,900.00        | 1            | 1              | 0              | Sullivan    |
| 20       | Mountain Top Historical Society of Green Coun | 6/27/2000          | 11/6/2000                      | \$40,000.00          | \$40,000.00        | \$0.00               | \$128,000.00   | \$168,000.00       | 0            | 0              | 0              | Greene      |
| 22       | Gary Mead                                     | 7/25/2000          | 11/27/2000                     | \$75,000.00          | \$75,000.00        | \$0.00               | \$85,000.00    | \$160,000.00       | 11           | 24             | 13             | Delaware    |
| 24       | Kent's Gifts                                  | 1/23/2001          | 3/16/2001                      | \$35,000.00          | \$35,000.00        | \$0.00               | \$80,000.00    | \$115,000.00       | 4            | 5              | 1              | Delaware    |
| 23       | Wendy's Brew, Inc.                            | 10/24/2000         | 3/16/2001                      | \$70,000.00          | \$70,000.00        | \$0.00               | \$83,000.00    | \$153,000.00       | 0            | 2              | 2              | Greene      |
| 3        | Northeast Fabricators, LLC II                 | 11/28/2000         | 4/4/2001                       | \$429,895.02         | \$429,895.02       | \$0.00               | \$0.00         | \$429,895.02       | 0            | 0              | 0              | Delaware    |
| 25       | Twins, Inc.                                   | 1/23/2001          | 4/20/2001                      | \$280,000.00         | \$283,255.90       | \$0.00               | \$420,000.00   | \$700,000.00       | 3            | 22             | 19             | Ulster      |
| 26       | Michael Donofrio                              | 11/28/2000         | 4/30/2001                      | \$92,500.00          | \$93,498.21        | \$0.00               | \$169,500.00   | \$262,000.00       | 3            | 6              | 3              | Delaware    |
| 27       | Pots & Pans, Inc.                             | 1/23/2001          | 5/23/2001                      | \$150,000.00         | \$150,000.00       | \$0.00               | \$361,000.00   | \$511,000.00       | 2            | 7              | 5              | Delaware    |
| 28       | Woodstock Guild of Craftsmen, Inc.            | 4/28/2001          | 8/8/2001                       | \$525,000.00         | \$525,000.00       | \$190,661.19         | \$742,000.00   | \$1,267,000.00     | 8            | 11             | 3              | Ulster      |

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|----------|-----------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 30       | Indian Country, Inc.                          | 4/28/2001          | 8/24/2001                      | \$90,000.00          | \$90,000.00        | \$0.00               | \$6,900,000.00 | \$6,990,000.00     | 124          | 164            | 40             | Delaware    |
| 31       | Healing Paws, LLC                             | 6/26/2001          | 10/3/2001                      | \$90,000.00          | \$90,000.00        | \$0.00               | \$110,500.00   | \$200,500.00       | 0            | 6              | 6              | Ulster      |
| 32       | Minnewaska Realty, LLC                        | 1/23/2001          | 10/17/2001                     | \$200,000.00         | \$200,000.00       | \$0.00               | \$275,000.00   | \$475,000.00       | 4            | 7              | 3              | Ulster      |
| 33       | Bruce Inn, LLC                                | 4/28/2001          | 12/14/2001                     | \$95,000.00          | \$95,000.00        | \$0.00               | \$394,735.00   | \$489,735.00       | 0            | 9              | 9              | Delaware    |
| 34       | Mountain Brook, Inn                           | 9/25/2001          | 2/15/2002                      | \$80,000.00          | \$80,000.00        | \$0.00               | \$195,000.00   | \$275,000.00       | 0            | 3              | 3              | Delaware    |
| 35       | Matthew Hoffmeister                           | 11/27/2001         | 3/26/2002                      | \$50,000.00          | \$50,000.00        | \$0.00               | \$61,500.00    | \$111,500.00       | 2            | 2              | 0              | Delaware    |
| 36       | Sunfrost Farms, Inc.                          | 11/27/2001         | 4/4/2002                       | \$137,500.00         | \$137,500.00       | \$0.00               | \$177,500.00   | \$315,000.00       | 5            | 9              | 4              | Ulster      |
| 37       | Cindy L. Johansen                             | 1/22/2002          | 4/10/2002                      | \$114,887.21         | \$115,112.79       | \$0.00               | \$134,887.21   | \$250,000.00       | 1            | 1              | 0              | Ulster      |
| 38       | Mack Custom Woodworking, LLC                  | 2/26/2002          | 4/30/2002                      | \$300,000.00         | \$300,000.00       | \$0.00               | \$310,000.00   | \$610,000.00       | 14           | 14             | 0              | Ulster      |
| 39       | Liberty Fitness Center, Inc.                  | 2/26/2002          | 6/25/2002                      | \$90,000.00          | \$90,000.00        | \$0.00               | \$104,500.00   | \$194,500.00       | 5            | 10             | 5              | Sullivan    |
| 40       | Margaretville Lodging, LLC                    | 5/28/2002          | 6/28/2002                      | \$403,468.66         | \$403,468.66       | \$0.00               | \$258,151.00   | \$661,619.00       | 0            | 15             | 15             | Delaware    |
| 41       | Creative Environments LLC d/b/a Full Moon     | 4/2/2002           | 6/28/2002                      | \$58,500.00          | \$58,500.00        | \$0.00               | \$67,900.00    | \$126,400.00       | 15           | 20             | 5              | Ulster      |
| 42       | ARC Foods, Inc.                               | 5/28/2002          | 7/11/2002                      | \$211,000.00         | \$211,000.00       | \$0.00               | \$367,000.00   | \$578,000.00       | 0            | 16             | 16             | Ulster      |
| 43       | Bread Alone, Inc.                             | 4/2/2002           | 7/31/2002                      | \$400,000.00         | \$400,000.00       | \$0.00               | \$600,000.00   | \$1,000,000.00     | 65           | 65             | 0              | Ulster      |
| 44       | Indian Country, Inc. (2)                      | 2/26/2002          | 8/7/2002                       | \$650,000.00         | \$650,000.00       | \$0.00               | \$745,414.00   | \$1,395,414.00     | 0            | 0              | 0              | Delaware    |
| 45       | Overlook Mountain Bikes of Woodstock Corp.    | 4/2/2002           | 8/28/2002                      | \$60,000.00          | \$60,000.00        | \$0.00               | \$74,750.00    | \$134,750.00       | 2            | 2              | 0              | Ulster      |
| 46       | Kent's Gifts, LLC                             | 5/28/2002          | 9/12/2002                      | \$30,000.00          | \$30,000.00        | \$0.00               | \$70,000.00    | \$100,000.00       | 5            | 5              | 0              | Delaware    |
| 47       | Cesar Alfaro d/b/a Continental Cleaners       | 7/23/2002          | 10/11/2002                     | \$35,000.00          | \$35,000.00        | \$0.00               | \$56,000.00    | \$91,000.00        | 4            | 7              | 3              | Sullivan    |
| 48       | Village of Walton                             | 7/23/2002          | 10/15/2002                     | \$253,275.00         | \$253,275.00       | \$0.00               | \$72,293.00    | \$325,568.00       | 0            | 0              | 0              | Delaware    |
| 49       | Town of Olive                                 | 2/26/2002          | 10/15/2002                     | \$100,000.00         | \$100,000.00       | \$0.00               | \$949,744.00   | \$1,049,744.00     | 0            | 0              | 0              | Ulster      |
| 50       | Country Cone and Market, Ltd.                 | 6/25/2002          | 11/22/2002                     | \$35,000.00          | \$35,000.00        | \$0.00               | \$151,000.00   | \$186,000.00       | 0            | 3              | 3              | Ulster      |
| 51       | Tremperkill Country Store (2)                 | 9/24/2002          | 12/18/2002                     | \$25,000.00          | \$25,000.00        | \$0.00               | \$10,600.00    | \$35,600.00        | 2            | 2              | 0              | Delaware    |
| 52       | Sanzone d/b/a Catskill Mortgage               | 11/26/2002         | 2/7/2003                       | \$25,000.00          | \$25,000.00        | \$0.00               | \$15,000.00    | \$40,000.00        | 8            | 18             | 10             | Delaware    |
| 53       | Jack & Elizabeth Gellman Torah Foundation, In | 11/26/2002         | 2/13/2003                      | \$281,000.00         | \$281,000.00       | \$0.00               | \$664,634.00   | \$945,634.00       | 0            | 19             | 19             | Greene      |

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|----------|------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 54       | Douglas and Christine Brady              | 11/26/2002         | 3/26/2003                      | \$135,000.00         | \$135,000.00       | \$0.00               | \$215,000.00   | \$350,000.00       | 4            | 7              | 3              | Delaware    |
| 55       | Vincent Stanton d/b/a Uptown Auto Repair | 10/22/2002         | 3/28/2003                      | \$35,000.00          | \$35,000.00        | \$0.00               | \$70,000.00    | \$105,000.00       | 3            | 5              | 2              | Sullivan    |
| 56       | G. Willikers Inc. II                     | 2/25/2003          | 4/17/2003                      | \$230,000.00         | \$230,000.00       | \$0.00               | \$1,080,000.00 | \$1,310,000.00     | 35           | 50             | 15             | Delaware    |
| 57       | Hook, Line, Sinker & More                | 2/25/2003          | 4/25/2003                      | \$10,000.00          | \$10,000.00        | \$0.00               | \$15,000.00    | \$25,000.00        | 2            | 3              | 1              | Ulster      |
| 58       | Delaware Valley Hospital                 | 11/26/2002         | 5/1/2003                       | \$210,000.00         | \$210,000.00       | \$0.00               | \$245,000.00   | \$455,000.00       | 242          | 257            | 15             | Delaware    |
| 59       | Reene D. Gauntt                          | 1/28/2003          | 5/30/2003                      | \$70,000.00          | \$70,000.00        | \$0.00               | \$105,000.00   | \$175,000.00       | 4            | 6              | 2              | Delaware    |
| 60       | Margaretville Memorial Hospital          | 1/28/2003          | 6/30/2003                      | \$1,000,000.00       | \$1,000,000.00     | \$0.00               | \$0.00         | \$1,000,000.00     | 140          | 145            | 5              | Delaware    |
| 61       | Masserson Properties, Inc.               | 3/25/2003          | 7/24/2003                      | \$98,000.00          | \$98,000.00        | \$0.00               | \$147,000.00   | \$245,000.00       | 2            | 6              | 4              | Delaware    |
| 62       | Jacques Qualin & Leslie Flam             | 4/22/2003          | 7/31/2003                      | \$204,000.00         | \$204,000.00       | \$0.00               | \$366,000.00   | \$570,000.00       | 0            | 20             | 20             | Ulster      |
| 63       | Neil C. Fish & Elizabeth Fish            | 6/24/2003          | 7/31/2003                      | \$87,000.00          | \$87,745.42        | \$0.00               | \$142,000.00   | \$229,000.00       | 4            | 6              | 2              | Ulster      |
| 64       | Catskill Mountain Foundation, Inc.       | 8/22/2001          | 9/4/2003                       | \$1,100,000.00       | \$1,100,000.00     | \$721,950.92         | \$420,000.00   | \$1,520,000.00     | 29           | 35             | 6              | Greene      |
| 65       | C&H Seupel, Ltd. d/b/a Whispering Pines  | 11/26/2002         | 9/12/2003                      | \$35,000.00          | \$35,000.00        | \$0.00               | \$55,000.00    | \$90,000.00        | 2            | 3              | 1              | Ulster      |
| 66       | Crosroads Building LLC                   | 7/22/2003          | 9/19/2003                      | \$75,500.00          | \$75,500.00        | \$0.00               | \$134,332.00   | \$209,832.00       | 2            | 3              | 1              | Delaware    |
| 67       | Magic Mile, Inc.                         | 3/25/2003          | 9/30/2003                      | \$150,000.00         | \$150,000.00       | \$0.00               | \$250,000.00   | \$400,000.00       | 0            | 12             | 12             | Delaware    |
| 68       | Delaware Valley Hospital (2)             | 5/27/2003          | 10/28/2003                     | \$290,000.00         | \$290,000.00       | \$0.00               | \$210,000.00   | \$500,000.00       | 257          | 257            | 0              | Delaware    |
| 69       | Deer Run Village Homeowners Assoc., Inc. | 6/24/2003          | 11/12/2003                     | \$25,000.00          | \$24,934.38        | \$0.00               | \$25,000.00    | \$50,000.00        | 22           | 23             | 1              | Schoharie   |
| 70       | J&W Associates, LLC                      | 8/26/2003          | 11/14/2003                     | \$107,200.00         | \$107,200.00       | \$0.00               | \$252,800.00   | \$360,000.00       | 8            | 17             | 9              | Delaware    |
| 71       | Mountain Flame, Inc.                     | 8/22/2001          | 12/9/2003                      | \$100,000.00         | \$100,000.00       | \$0.00               | \$96,000.00    | \$196,000.00       | 5            | 7              | 2              | Delaware    |
| 72       | The Hospital                             | 8/26/2003          | 12/19/2003                     | \$100,000.00         | \$100,000.00       | \$0.00               | \$0.00         | \$100,000.00       | 275          | 298            | 23             | Delaware    |
| 73       | Midotera, Inc.                           | 8/22/2001          | 12/30/2003                     | \$45,000.00          | \$45,000.00        | \$0.00               | \$121,000.00   | \$166,000.00       | 0            | 14             | 14             | Sullivan    |
| 27       | Pots & Pans, Inc.                        | 10/28/2003         | 1/20/2004                      | \$148,768.31         | \$148,768.31       | \$0.00               | \$0.00         | \$148,768.31       | 0            | 0              | 0              | Delaware    |
| 74       | Sports Equipment Specialists, LLC        | 8/22/2001          | 1/27/2004                      | \$76,300.00          | \$76,300.00        | \$0.00               | \$0.00         | \$76,300.00        | 0            | 0              | 0              | Delaware    |
| 75       | 11 Delaware Ave. Improvements, LLC       | 10/28/2003         | 2/2/2004                       | \$136,000.00         | \$136,000.00       | \$0.00               | \$204,000.00   | \$340,000.00       | 10           | 13             | 3              | Delaware    |
| 76       | NicJo Ltd.                               | 10/24/2003         | 2/11/2004                      | \$80,000.00          | \$80,000.00        | \$0.00               | \$143,500.00   | \$223,500.00       | 1            | 5              | 4              | Sullivan    |

| Client # | Client Name                               | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|-------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 77       | Titan Drilling, Corp.                     | 8/26/2003          | 6/2/2004                       | \$60,000.00          | \$60,000.00        | \$0.00               | \$68,000.00    | \$128,000.00       | 15           | 19             | 4              | Delaware    |
| 78       | O'Connor Hospital                         | 5/27/2003          | 6/30/2004                      | \$1,000,000.00       | \$1,000,000.00     | \$0.00               | \$3,645,445.00 | \$4,645,445.00     | 118          | 122            | 4              | Delaware    |
| 79       | Cannie D's Corner Corp.                   | 8/22/2001          | 7/1/2004                       | \$425,000.00         | \$425,000.00       | \$0.00               | \$766,800.00   | \$1,191,800.00     | 0            | 11             | 11             | Sullivan    |
| 80       | David M. Rowe & Joseph A. Schiavo         | 8/22/2001          | 7/8/2004                       | \$177,500.00         | \$177,500.00       | \$0.00               | \$262,500.00   | \$440,000.00       | 4            | 13             | 9              | Ulster      |
| 61       | Masserson Properties, Inc.                | 3/24/2004          | 7/20/2004                      | \$50,000.00          | \$50,000.00        | \$0.00               | \$105,000.00   | \$155,000.00       | 0            | 0              | 0              | Delaware    |
| 82       | Windham Ventures II                       | 5/25/2004          | 8/12/2004                      | \$70,000.00          | \$71,507.91        | \$26,419.51          | \$110,000.00   | \$180,000.00       | 5            | 13             | 8              | Greene      |
| 83       | Sportsfield Specialties, Inc.             | 1/28/2004          | 8/30/2004                      | \$450,000.00         | \$450,000.00       | \$0.00               | \$350,000.00   | \$800,000.00       | 9            | 35             | 26             | Delaware    |
| 85       | Sullivan County First Recycling, Inc.     | 7/27/2004          | 9/3/2004                       | \$200,000.00         | \$200,000.00       | \$0.00               | \$714,000.00   | \$914,000.00       | 19           | 22             | 3              | Sullivan    |
| 84       | Crossroads Properties, LLC                | 3/23/2004          | 9/15/2004                      | \$105,000.00         | \$105,000.00       | \$0.00               | \$217,000.00   | \$380,028.00       | 4            | 6              | 2              | Delaware    |
| 86       | Delhi Diner, LLC                          | 8/24/2004          | 11/9/2004                      | \$80,000.00          | \$80,000.00        | \$0.00               | \$118,000.00   | \$198,000.00       | 7            | 9              | 2              | Delaware    |
| 87       | Catskill Revitalization Corporation, Inc. | 10/1/2004          | 12/9/2004                      | \$210,000.00         | \$219,670.84       | \$0.00               | \$340,000.00   | \$550,000.00       | 10           | 22             | 12             | Delaware    |
| 88       | Karen Van Craenenbroeck                   | 2/25/2003          | 12/14/2004                     | \$25,000.00          | \$25,000.00        | \$0.00               | \$10,000.00    | \$35,000.00        | 4            | 7              | 3              | Sullivan    |
| 89       | Village Seafood Wholesale, Inc            | 1/27/2004          | 12/21/2004                     | \$154,000.00         | \$154,000.00       | \$0.00               | \$305,000.00   | \$459,000.00       | 14           | 18             | 4              | Delaware    |
| 90       | Sam's Country Store, Inc.                 | 7/27/2004          | 12/21/2004                     | \$90,000.00          | \$90,482.18        | \$9,232.86           | \$185,000.00   | \$275,000.00       | 2            | 4              | 2              | Delaware    |
| 91       | 30-35 William Street, LLC                 | 10/26/2004         | 1/19/2005                      | \$250,000.00         | \$250,000.00       | \$0.00               | \$410,000.00   | \$660,000.00       | 60           | 101            | 41             | Delaware    |
| 92       | Elna Ferrite Laboratories                 | 10/26/2004         | 1/24/2005                      | \$700,000.00         | \$700,000.00       | \$0.00               | \$2,930,000.00 | \$3,630,000.00     | 37           | 44             | 7              | Ulster      |
| 93       | MRH Acquisitions, Inc.                    | 10/26/2004         | 1/31/2005                      | \$100,000.00         | \$100,000.00       | \$0.00               | \$250,000.00   | \$350,000.00       | 21           | 26             | 5              | Delaware    |
| 94       | HiFi Realty Corp.                         | 10/26/2004         | 1/31/2005                      | \$80,000.00          | \$80,000.00        | \$0.00               | \$500,000.00   | \$580,000.00       | 11           | 13             | 2              | Delaware    |
| 95       | Burton F. Clark Companies (2)             | 8/24/2004          | 2/25/2005                      | \$715,000.00         | \$715,000.00       | \$0.00               | \$1,304,948.00 | \$2,019,948.00     | 55           | 60             | 5              | Delaware    |
| 96       | Northeast Fabricators, LLC                | 1/25/2005          | 3/8/2005                       | \$338,000.00         | \$339,280.61       | \$0.00               | \$180,000.00   | \$518,000.00       | 60           | 101            | 41             | Delaware    |
| 21       | Windham Ventures, Inc.                    | 2/26/2002          | 5/2/2005                       | \$171,915.36         | \$173,571.96       | \$63,015.10          | \$400,000.00   | \$571,915.36       | 0            | 5              | 5              | Greene      |
| 97       | Ploutz, Leland and Marian                 | 1/27/2004          | 5/5/2005                       | \$170,000.00         | \$170,000.00       | \$0.00               | \$290,000.00   | \$460,000.00       | 3            | 4              | 1              | Delaware    |
| 12       | Peak Trading Corporation                  | 5/24/2005          | 6/28/2005                      | \$150,000.00         | \$150,000.00       | \$0.00               | \$0.00         | \$150,000.00       | 17           | 29             | 12             | Ulster      |
| 99       | Kings Town, Inc.                          | 5/24/2005          | 7/15/2005                      | \$325,000.00         | \$325,000.00       | \$0.00               | \$1,105,000.00 | \$1,430,000.00     | 10           | 23             | 13             | Ulster      |

| Client # | Client Name                            | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|----------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 100      | Audiosears Corporation                 | 5/24/2005          | 8/8/2005                       | \$1,000,000.00       | \$1,000,000.00     | \$0.00               | \$1,800,000.00 | \$2,800,000.00     | 90           | 96             | 6              | Delaware    |
| 101      | Hidden Inn, Inc.                       | 4/26/2005          | 8/23/2005                      | \$125,000.00         | \$125,000.00       | \$0.00               | \$100,000.00   | \$225,000.00       | 23           | 30             | 7              | Delaware    |
| 102      | David Shaw d/b/a Perkins Taxidermy     | 1/27/2004          | 9/16/2005                      | \$25,000.00          | \$25,000.00        | \$0.00               | \$35,000.00    | \$60,000.00        | 2            | 3              | 1              | Delaware    |
| 103      | Faiga A. Brussel                       | 5/24/2005          | 9/26/2005                      | \$110,000.00         | \$110,000.00       | \$0.00               | \$0.00         | \$110,000.00       | 22           | 37             | 15             | Delaware    |
| 104      | Town of Andes                          | 4/26/2005          | 10/21/2005                     | \$136,000.00         | \$136,000.00       | \$9,066.62           | \$0.00         | \$136,000.00       | 0            | 0              | 0              | Delaware    |
| 105      | College Foundation at Delhi, Inc.      | 3/22/2005          | 10/28/2005                     | \$450,000.00         | \$450,000.00       | \$0.00               | \$450,000.00   | \$900,000.00       | 6            | 6              | 0              | Delaware    |
| 106      | Catskill Country Market, LLC           | 6/22/2004          | 10/31/2005                     | \$190,350.00         | \$190,350.00       | \$0.00               | \$268,600.00   | \$458,950.00       | 17           | 25             | 8              | Delaware    |
| 107      | The Market Basket, LLC                 | 1/25/2005          | 11/22/2005                     | \$70,000.00          | \$70,000.00        | \$0.00               | \$266,000.00   | \$336,000.00       | 5            | 6              | 1              | Delaware    |
| 108      | Secureshop.net, Inc.                   | 11/23/2005         | 12/19/2005                     | \$338,000.00         | \$338,000.00       | \$0.00               | \$975,000.00   | \$1,313,000.00     | 10           | 18             | 8              | Ulster      |
| 109      | Brian Batista and Sara Loughlin        | 5/1/2005           | 12/19/2005                     | \$98,000.00          | \$98,000.00        | \$0.00               | \$252,000.00   | \$350,000.00       | 2            | 2              | 0              | Ulster      |
| 110      | Ace Contracting of Margaretville, Inc. | 2/28/2006          | 4/24/2006                      | \$50,000.00          | \$50,000.00        | \$0.00               | \$55,600.00    | \$105,600.00       | 3            | 4              | 1              | Delaware    |
| 111      | Fane Family Deli, Inc.                 | 1/2/2006           | 6/22/2006                      | \$25,140.50          | \$25,513.78        | \$0.00               | \$57,884.00    | \$83,024.50        | 2            | 3              | 1              | Delaware    |
| 112      | The Caelan Allen Corp.                 | 1/2/2006           | 8/1/2006                       | \$100,000.00         | \$101,433.14       | \$0.00               | \$365,000.00   | \$465,000.00       | 5            | 8              | 3              | Delaware    |
| 113      | Michael Ricciardella                   | 10/1/2005          | 8/8/2006                       | \$560,000.00         | \$568,422.94       | \$246,777.95         | \$662,000.00   | \$1,222,000.00     | 17           | 29             | 12             | Ulster      |
| 114      | Joseph Dabritz                         | 1/24/2006          | 8/30/2006                      | \$100,000.00         | \$100,000.00       | \$0.00               | \$35,000.00    | \$135,000.00       | 10           | 10             | 0              | Delaware    |
| 145      | Joseph A. Dabritz                      | 1/3/2008           | 8/30/2006                      | \$156,457.50         | \$156,457.50       | \$0.00               | \$0.00         | \$156,457.50       | 10           | 10             | 0              | Delaware    |
| 115      | Walton Big M Plaza, LLC                | 8/1/2006           | 10/3/2006                      | \$500,000.00         | \$500,000.00       | \$0.00               | \$1,329,094.00 | \$1,829,094.00     | 47           | 72             | 25             | Delaware    |
| 116      | Norm and Son Realty, Inc.              | 8/26/2005          | 10/11/2006                     | \$130,000.00         | \$130,000.00       | \$0.00               | \$175,000.00   | \$305,000.00       | 3            | 10             | 7              | Sullivan    |
| 117      | SRBJ Enterprises, Inc.                 | 7/25/2006          | 10/18/2006                     | \$183,750.00         | \$183,750.00       | \$76,855.11          | \$357,008.00   | \$540,758.00       | 0            | 11             | 11             | Ulster      |
| 118      | Duchess Farm Equestrian Community, LLC | 4/25/2006          | 10/23/2006                     | \$350,000.00         | \$350,000.00       | \$0.00               | \$865,000.00   | \$1,215,000.00     | 1            | 5              | 4              | Ulster      |
| 119      | Groff and Hoyt Enterprises, Inc.       | 8/7/2006           | 10/24/2006                     | \$600,000.00         | \$600,000.00       | \$60,713.89          | \$0.00         | \$600,000.00       | 0            | 0              | 0              | Delaware    |
| 120      | Thomas & Leonore Roach                 | 9/26/2006          | 11/30/2006                     | \$40,000.00          | \$40,000.00        | \$0.00               | \$0.00         | \$40,000.00        | 3            | 3              | 0              | Delaware    |
| 121      | Lisa Grayson                           | 9/26/2006          | 11/30/2006                     | \$31,000.00          | \$31,000.00        | \$0.00               | \$0.00         | \$31,000.00        | 6            | 6              | 0              | Delaware    |
| 122      | Dylan Patrick LLC                      | 7/25/2006          | 12/14/2006                     | \$247,500.00         | \$247,500.00       | \$164,362.91         | \$27,500.00    | \$275,000.00       | 16           | 22             | 6              | Sullivan    |

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|----------|----------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 123      | Tischler Health Management Group, LLC        | 6/27/2006          | 1/11/2007                      | \$1,500,000.00       | \$1,500,000.00     | \$0.00               | \$2,981,756.00 | \$4,481,756.00     | 9            | 16             | 7              | Ulster      |
| 124      | Nibble Nook Diner, LLC                       | 9/26/2006          | 1/22/2007                      | \$125,000.00         | \$125,000.00       | \$0.00               | \$225,000.00   | \$350,000.00       | 5            | 5              | 0              | Ulster      |
| 125      | Klinger Power Sports, Inc.                   | 9/26/2006          | 2/21/2007                      | \$30,000.00          | \$30,000.00        | \$0.00               | \$0.00         | \$30,000.00        | 12           | 12             | 0              | Delaware    |
| 126      | Brie & Bordeaux, Inc.                        | 10/26/2004         | 2/28/2007                      | \$90,000.00          | \$90,081.91        | \$15,295.74          | \$384,000.00   | \$474,000.00       | 2            | 13             | 11             | Greene      |
| 128      | Yaun Company                                 | 2/28/2006          | 2/28/2007                      | \$80,000.00          | \$80,000.00        | \$0.00               | \$20,000.00    | \$100,000.00       | 43           | 43             | 0              | Sullivan    |
| 127      | Nuay Realty Corporation / Yaun Company, Inc. | 3/28/2006          | 2/28/2007                      | \$400,000.00         | \$400,000.00       | \$0.00               | \$0.00         | \$400,000.00       | 0            | 0              | 0              | Sullivan    |
| 129      | Bruce Dolph                                  | 9/26/2006          | 3/8/2007                       | \$25,000.00          | \$25,000.00        | \$0.00               | \$0.00         | \$25,000.00        | 14           | 21             | 7              | Delaware    |
| 130      | Leap Inn, Inc.                               | 6/28/2005          | 3/27/2007                      | \$500,000.00         | \$500,000.00       | \$99,235.26          | \$1,340,000.00 | \$1,840,000.00     | 7            | 10             | 3              | Greene      |
| 131      | DiSclafani/Dorn                              | 2/27/2007          | 5/8/2007                       | \$151,142.83         | \$151,142.83       | \$77,957.64          | \$35,000.00    | \$186,142.83       | 4            | 5              | 1              | Ulster      |
| 132      | Sloan Hoffstatter                            | 9/26/2006          | 5/30/2007                      | \$132,000.00         | \$132,000.00       | \$21,927.11          | \$421,000.00   | \$553,000.00       | 3            | 4              | 1              | Ulster      |
| 61       | Masserson Properties, Inc.                   | 9/26/2006          | 6/8/2007                       | \$240,000.00         | \$240,000.00       | \$0.00               | \$616,000.00   | \$856,000.00       | 4            | 7              | 3              | Delaware    |
| 134      | 137-139 Delaware Street, LLC                 | 4/18/2007          | 6/14/2007                      | \$150,000.00         | \$150,000.00       | \$0.00               | \$0.00         | \$150,000.00       | 0            | 0              | 0              | Delaware    |
| 134      | 137-139 Delaware Street, LLC                 | 3/1/2007           | 6/14/2007                      | \$575,000.00         | \$575,000.00       | \$0.00               | \$0.00         | \$575,000.00       | 6            | 6              | 0              | Delaware    |
| 135      | Joseph & Holly Hunter Christovao             | 4/24/2007          | 6/28/2007                      | \$230,000.00         | \$230,000.00       | \$0.00               | \$0.00         | \$230,000.00       | 0            | 0              | 0              | Delaware    |
| 136      | Campobello, LLC                              | 5/22/2007          | 7/12/2007                      | \$250,000.00         | \$255,316.61       | \$128,336.29         | \$150,000.00   | \$400,000.00       | 0            | 0              | 0              | Delaware    |
| 137      | Overlook Mountain Bikes of Woodstock         | 5/22/2007          | 8/22/2007                      | \$136,000.00         | \$136,000.00       | \$0.00               | \$289,000.00   | \$425,000.00       | 6            | 6              | 0              | Ulster      |
| 138      | Russel Matson /Julie Hernandez               | 5/22/2007          | 10/22/2007                     | \$135,000.00         | \$138,039.72       | \$84,027.56          | \$15,000.00    | \$150,000.00       | 7            | 12             | 5              | Delaware    |
| 141      | Delaware Valley Hospital (3)                 | 11/28/2006         | 11/1/2007                      | \$600,000.00         | \$600,000.00       | \$111,915.20         | \$97,000.00    | \$697,000.00       | 232          | 232            | 0              | Delaware    |
| 139      | Six Franklin Road, LLC                       | 8/28/2007          | 11/1/2007                      | \$636,277.33         | \$636,277.33       | \$344,112.49         | \$48,000.00    | \$684,277.33       | 18           | 18             | 0              | Delaware    |
| 139      | Six Franklin Road, LLC                       | 8/28/2007          | 11/1/2007                      | \$73,288.00          | \$73,288.00        | \$15,480.46          | \$0.00         | \$73,288.00        | 0            | 0              | 0              | Delaware    |
| 142      | Walton Big M Plaza, LLC (2)                  | 10/23/2007         | 1/10/2008                      | \$58,000.00          | \$58,000.00        | \$0.00               | \$0.00         | \$58,000.00        | 0            | 0              | 0              | Delaware    |
| 143      | Numrich Arms Corporation                     | 9/25/2007          | 2/7/2008                       | \$1,000,000.00       | \$1,000,000.00     | \$0.00               | \$1,100,000.00 | \$2,100,000.00     | 70           | 70             | 0              | Ulster      |
| 147      | Irish Jack Enterprises, LLC                  | 2/2/2008           | 2/13/2008                      | \$61,512.54          | \$61,512.54        | \$0.00               | \$0.00         | \$61,512.54        | 0            | 0              | 0              | Ulster      |
| 146      | Joseph A. Dabrtiz (2)                        | 1/3/2008           | 2/14/2008                      | \$140,000.00         | \$140,000.00       | \$0.00               | \$0.00         | \$140,000.00       | 10           | 10             | 0              | Delaware    |

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|----------|------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 144      | Wilma and Leo Hannan               | 8/28/2007          | 2/14/2008                      | \$176,000.00         | \$176,000.00       | \$42,576.20          | \$0.00         | \$176,000.00       | 30           | 30             | 0              | Delaware    |
| 149      | Margaretville Car Wash, LLC        | 8/28/2007          | 3/14/2008                      | \$162,000.00         | \$166,278.11       | \$87,254.38          | \$425,000.00   | \$587,000.00       | 1            | 1              | 0              | Delaware    |
| 148      | 53535 Main Street Corp.            | 1/22/2008          | 3/14/2008                      | \$175,000.00         | \$175,000.00       | \$0.00               | \$35,000.00    | \$210,000.00       | 0            | 7              | 7              | Delaware    |
| 150      | Cowan Excavating, LLC              | 11/27/2007         | 4/16/2008                      | \$409,500.00         | \$409,500.00       | \$0.00               | \$80,000.00    | \$489,500.00       | 6            | 6              | 0              | Delaware    |
| 151      | NKJ, Inc.                          | 11/27/2007         | 4/25/2008                      | \$224,000.00         | \$224,000.00       | \$48,945.52          | \$386,000.00   | \$610,000.00       | 3            | 6              | 3              | Ulster      |
| 152      | Cave Mountain Brewing Co., Inc.    | 1/22/2008          | 4/25/2008                      | \$130,000.00         | \$130,762.82       | \$0.00               | \$20,000.00    | \$150,000.00       | 0            | 4              | 4              | Greene      |
| 153      | BP Visions, Inc.                   | 10/23/2007         | 5/12/2008                      | \$26,000.00          | \$26,547.29        | \$0.00               | \$187,000.00   | \$213,000.00       | 0            | 0              | 0              | Delaware    |
| 154      | Passion for Life, Inc.             | 4/22/2008          | 6/11/2008                      | \$45,000.00          | \$45,000.00        | \$0.00               | \$10,000.00    | \$55,000.00        | 4            | 4              | 0              | Sullivan    |
| 156      | Moskowitz and Liu                  | 4/22/2008          | 6/12/2008                      | \$12,500.00          | \$12,500.00        | \$0.00               | \$2,500.00     | \$15,000.00        | 0            | 2              | 2              | Delaware    |
| 155      | Cheryl Lins                        | 2/28/2008          | 6/12/2008                      | \$40,000.00          | \$40,000.00        | \$0.00               | \$6,200.00     | \$46,200.00        | 1            | 4              | 3              | Delaware    |
| 157      | Mary Schoepe and Paul Shoepe       | 10/23/2007         | 6/19/2008                      | \$156,000.00         | \$156,000.00       | \$0.00               | \$319,000.00   | \$475,000.00       | 0            | 8              | 8              | Greene      |
| 158      | Marietta Hanley/Caroline Ciraulo   | 5/27/2008          | 6/30/2008                      | \$212,000.00         | \$212,000.00       | \$0.00               | \$500,000.00   | \$712,000.00       | 0            | 10             | 10             | Ulster      |
| 159      | CSA Properties, Inc.               | 2/28/2008          | 7/14/2008                      | \$95,000.00          | \$96,637.88        | \$32,970.12          | \$136,160.00   | \$231,160.00       | 0            | 0              | 0              | Delaware    |
| 160      | Hasenflue Property Management, LLC | 6/28/2008          | 8/25/2008                      | \$155,000.00         | \$155,000.00       | \$105,031.62         | \$155,000.00   | \$310,000.00       | 3            | 4              | 1              | Ulster      |
| 162      | Houshco, LLC                       | 5/27/2008          | 8/26/2008                      | \$500,000.00         | \$500,000.00       | \$0.00               | \$500,000.00   | \$1,000,000.00     | 10           | 14             | 4              | Delaware    |
| 161      | HiFi Realty Corp. (2)              | 4/22/2008          | 8/26/2008                      | \$330,000.00         | \$330,000.00       | \$63,568.71          | \$73,000.00    | \$403,000.00       | 0            | 0              | 0              | Delaware    |
| 163      | Blue Mountain Bistro               | 4/22/2008          | 9/17/2008                      | \$375,000.00         | \$379,292.73       | \$0.00               | \$335,000.00   | \$710,000.00       | 10           | 14             | 4              | Ulster      |
| 164      | American Plum Tree, Inc.           | 8/27/2008          | 9/18/2008                      | \$350,000.00         | \$363,570.91       | \$339,957.60         | \$300,000.00   | \$650,000.00       | 1            | 6              | 5              | Delaware    |
| 165      | Culwell Development LLC            | 2/26/2008          | 2/11/2009                      | \$220,000.00         | \$220,000.00       | \$0.00               | \$330,000.00   | \$550,000.00       | 10           | 24             | 14             | Ulster      |
| 166      | Carrier Enterprises                | 6/24/2008          | 3/30/2009                      | \$200,000.00         | \$200,000.00       | \$0.00               | \$1,300,000.00 | \$1,500,000.00     | 0            | 30             | 30             | Sullivan    |
| 167      | Brian Batista and Sara Louglin     | 2/3/2009           | 5/22/2009                      | \$119,250.00         | \$119,250.00       | \$0.00               | \$244,000.00   | \$363,250.00       | 0            | 0              | 0              | Ulster      |
| 168      | RAC Realty, Inc.                   | 3/27/2007          | 6/4/2009                       | \$238,000.00         | \$238,000.00       | \$228,630.92         | \$387,000.00   | \$625,000.00       | 0            | 10             | 10             | Delaware    |
| 169      | G. Haynes Holdings, Inc.           | 5/5/2009           | 6/24/2009                      | \$350,000.00         | \$350,000.00       | \$114,650.22         | \$380,000.00   | \$730,000.00       | 9            | 12             | 3              | Delaware    |
| 170      | North Star Sun Creek Building, LLC | 5/27/2008          | 7/14/2009                      | \$270,000.00         | \$270,000.00       | \$152,998.31         | \$405,000.00   | \$675,000.00       | 1            | 2              | 1              | Ulster      |

| Client # | Client Name                          | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage       | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|--------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 171      | Bearsville Associates, LLC           | 7/8/2009           | 9/11/2009                      | \$650,000.00         | \$658,119.33       | \$0.00               | \$650,000.00   | \$1,300,000.00     | 10           | 14             | 4              | Ulster      |
| 172      | Cragsmoor Associates, LLC            | 7/7/2009           | 10/7/2009                      | \$250,000.00         | \$250,000.00       | \$145,900.69         | \$355,623.00   | \$605,623.00       | 0            | 5              | 5              | Ulster      |
| 175      | Scott Dickman                        | 6/2/2009           | 11/9/2009                      | \$225,000.00         | \$225,000.00       | \$20,926.96          | \$20,000.00    | \$245,000.00       | 89           | 89             | 0              | Ulster      |
| 84       | Crossroads Properties, LLC           | 12/1/2009          | 1/14/2010                      | \$58,028.20          | \$58,028.20        | \$21,481.78          | \$0.00         | \$58,028.00        | 0            | 0              | 0              | Delaware    |
| 176      | 396 Wittenberg Road, LLC             | 9/4/2008           | 4/28/2010                      | \$250,000.00         | \$250,000.00       | \$0.00               | \$373,286.00   | \$623,286.00       | 7            | 15             | 8              | Ulster      |
| 177      | WB Delhi LLC                         | 1/7/2010           | 5/7/2010                       | \$1,300,000.00       | \$1,300,000.00     | \$775,806.48         | \$375,000.00   | \$1,675,000.00     | 0            | 22             | 22             | Delaware    |
| 64       | Catskill Mountain Foundation, Inc.   | 1/7/2010           | 5/18/2010                      | \$850,000.00         | \$850,000.00       | \$0.00               | \$2,500,000.00 | \$3,350,000.00     | 0            | 20             | 20             | Greene      |
| 137      | Overlook Mountain Bikes of Woodstock | 3/2/2010           | 5/18/2010                      | \$195,000.00         | \$195,000.00       | \$115,920.60         | \$0.00         | \$195,000.00       | 8            | 8              | 0              | Ulster      |
| 18       | Janice Dordick                       | 3/2/2010           | 7/2/2010                       | \$875,000.00         | \$892,201.16       | \$0.00               | \$0.00         | \$875,000.00       | 11           | 22             | 11             | Ulster      |
| 178      | Canal Street Cutlery Co. LLC         | 6/1/2010           | 7/12/2010                      | \$265,200.00         | \$267,675.01       | \$0.00               | \$78,800.00    | \$344,000.00       | 11           | 19             | 8              | Ulster      |
| 96       | Northeast Fabricators, LLC           | 5/4/2010           | 7/16/2010                      | \$303,421.41         | \$305,612.45       | \$0.00               | \$0.00         | \$303,421.41       | 0            | 0              | 0              | Delaware    |
| 179      | 30-35 William Street LLC             | 5/5/2010           | 7/16/2010                      | \$1,000,000.00       | \$1,008,896.30     | \$0.00               | \$0.00         | \$1,000,000.00     | 0            | 0              | 0              | Delaware    |
| 124      | Nibble Nook Diner, LLC               | 7/1/2010           | 8/31/2010                      | \$109,733.56         | \$109,733.56       | \$0.00               | \$0.00         | \$109,733.56       | 0            | 0              | 0              | Ulster      |
| 116      | Norm and Son Realty, Inc.            | 4/1/2010           | 9/1/2010                       | \$109,799.21         | \$109,799.21       | \$0.00               | \$0.00         | \$109,799.21       | 0            | 0              | 0              | Sullivan    |
| 135      | Joseph & Holly Hunter Christovao     | 6/1/2010           | 9/23/2010                      | \$420,000.00         | \$420,000.00       | \$280,473.81         | \$438,839.00   | \$858,839.00       | 3            | 6              | 3              | Delaware    |
| 61       | Masserson Properties, Inc.           | 12/1/2009          | 10/6/2010                      | \$1,190,000.00       | \$1,190,000.00     | \$0.00               | \$616,777.00   | \$1,806,777.00     | 8            | 12             | 4              | Delaware    |
| 54       | Douglas and Christine Brady          | 6/1/2010           | 10/6/2010                      | \$213,000.00         | \$216,893.32       | \$104,290.69         | \$137,000.00   | \$350,000.00       | 0            | 0              | 0              | Delaware    |
| 182      | Martin and Veronica Morales          | 6/1/2010           | 12/14/2010                     | \$21,469.14          | \$20,184.92        | \$0.00               | \$10,000.00    | \$30,000.00        | 2            | 2              | 0              | Delaware    |
| 183      | Newgrange Enterprises, Inc.          | 9/23/2008          | 12/15/2010                     | \$223,652.00         | \$223,652.00       | \$70,603.90          | \$223,652.00   | \$447,304.00       | 3            | 12             | 9              | Greene      |
| 186      | West Mountain Properties LLC         | 9/7/2010           | 12/15/2010                     | \$187,000.00         | \$187,000.00       | \$81,824.84          | \$510,000.00   | \$697,000.00       | 6            | 6              | 0              | Delaware    |
| 192      | Jenlid LLC                           | 3/1/2011           | 5/3/2011                       | \$228,000.00         | \$228,000.00       | \$0.00               | \$222,000.00   | \$450,000.00       | 0            | 22             | 22             | Delaware    |
| 185      | Liberty Rock Books LLC               | 5/5/2009           | 5/26/2011                      | \$108,160.00         | \$108,160.00       | \$0.00               | \$347,000.00   | \$455,160.00       | 0            | 7              | 7              | Delaware    |
| 181      | Maverick West, LLC                   | 3/2/2010           | 6/8/2011                       | \$380,588.00         | \$385,744.76       | \$259,070.86         | \$315,000.00   | \$695,588.00       | 6            | 8              | 2              | Ulster      |
| 195      | Lighthouse Hill, LLC                 | 6/7/2011           | 7/7/2011                       | \$150,000.00         | \$150,000.00       | \$0.00               | \$255,000.00   | \$405,000.00       | 0            | 2              | 2              | Greene      |

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|----------|---------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 138      | Russel Matson /Julie Hernandez              | 6/7/2011           | 7/7/2011                       | \$36,000.00          | \$36,771.47        | \$6,390.40           | \$10,000.00    | \$46,000.00        | 3            | 5              | 2              | Delaware    |
| 199      | Camp Move It LLC                            | 6/7/2011           | 7/7/2011                       | \$400,000.00         | \$404,038.26       | \$194,032.61         | \$1,050,000.00 | \$1,450,000.00     | 3            | 24             | 21             | Delaware    |
| 191      | Pan American Dance Foundation, Inc.         | 11/2/2010          | 7/19/2011                      | \$700,000.00         | \$700,000.00       | \$0.00               | \$323,850.00   | \$1,023,850.00     | 7            | 44             | 37             | Ulster      |
| 193      | Birchwood Lodge, Inc./Yogi Bear's Campgroun | 5/3/2011           | 8/3/2011                       | \$50,000.00          | \$50,000.00        | \$0.00               | \$7,661.21     | \$57,661.21        | 42           | 44             | 2              | Sullivan    |
| 16       | Drew/Natasha Shuster                        | 5/3/2011           | 8/3/2011                       | \$45,000.00          | \$45,612.45        | \$0.00               | \$0.00         | \$45,000.00        | 0            | 0              | 0              | Greene      |
| 202      | Thomas E. Miner Jr. & Donald E. VanEtten    | 6/7/2011           | 8/3/2011                       | \$400,000.00         | \$400,000.00       | \$113,203.35         | \$439,212.00   | \$839,212.00       | 3            | 3              | 0              | Delaware    |
| 203      | Greener Pastures LLC                        | 6/7/2011           | 8/3/2011                       | \$45,000.00          | \$45,000.00        | \$0.00               | \$5,000.00     | \$50,000.00        | 21           | 21             | 0              | Ulster      |
| 173      | Ashokan Foundation, Inc.                    | 9/2/2010           | 9/22/2011                      | \$1,500,000.00       | \$1,500,000.00     | \$1,377,434.57       | \$5,393,750.00 | \$6,893,750.00     | 34           | 34             | 0              | Ulster      |
| 210      | Sheldon Hill, LLC                           | 8/2/2011           | 11/29/2011                     | \$225,000.00         | \$225,000.00       | \$0.00               | \$25,000.00    | \$250,000.00       | 2            | 3              | 1              | Ulster      |
| 12       | Peak Trading Corporation                    | 8/2/2011           | 11/29/2011                     | \$270,000.00         | \$270,000.00       | \$0.00               | \$30,000.00    | \$300,000.00       | 1            | 1              | 0              | Ulster      |
| 194      | Hofmann A-Z, LLC                            | 4/5/2011           | 12/21/2011                     | \$750,000.00         | \$750,000.00       | \$407,669.51         | \$85,000.00    | \$835,000.00       | 6            | 6              | 0              | Delaware    |
| 220      | Hidden Waters Holdings Co. Inc.             | 12/6/2011          | 1/20/2012                      | \$230,000.00         | \$230,000.00       | \$173,343.07         | \$10,000.00    | \$240,000.00       | 2            | 4              | 2              | Delaware    |
| 221      | Elmrock Inn, LLC                            | 1/3/2012           | 2/28/2012                      | \$150,000.00         | \$150,000.00       | \$81,172.78          | \$400,000.00   | \$550,000.00       | 0            | 15             | 15             | Ulster      |
| 188      | Catskill Development Foundation, Inc        | 8/23/2005          | 3/8/2012                       | \$137,500.00         | \$137,500.00       | \$0.00               | \$587,500.00   | \$725,000.00       | 0            | 11             | 11             | Delaware    |
| 193      | Birchwood Lodge, Inc./Yogi Bear's Campgroun | 1/3/2012           | 3/22/2012                      | \$873,733.00         | \$873,733.00       | \$0.00               | \$91,000.00    | \$964,733.00       | 0            | 0              | 0              | Sullivan    |
| 204      | Town of Colchester                          | 7/30/2008          | 6/25/2012                      | \$2,500,000.00       | \$908,991.49       | \$0.00               | \$0.00         | \$908,991.49       | 0            | 0              | 0              | Delaware    |
| 239      | Spillian, LLC                               | 5/1/2012           | 9/13/2012                      | \$450,000.00         | \$450,000.00       | \$357,394.90         | \$200,000.00   | \$650,000.00       | 0            | 3              | 3              | Delaware    |
| 246      | Reed & Stewart Properties LLC               | 5/1/2012           | 10/4/2012                      | \$163,700.00         | \$163,700.00       | \$100,887.30         | \$55,000.00    | \$218,700.00       | 8            | 14             | 6              | Delaware    |
| 250      | Lewis Wendell                               | 9/4/2012           | 12/7/2012                      | \$135,000.00         | \$135,000.00       | \$81,272.98          | \$90,000.00    | \$225,000.00       | 0            | 2              | 2              | Delaware    |
| 249      | David A. Rikard                             | 9/4/2012           | 12/21/2012                     | \$160,000.00         | \$160,000.00       | \$94,291.08          | \$45,000.00    | \$205,000.00       | 3            | 3              | 0              | Greene      |
| 118      | Duchess Farm Equestrian Community, LLC      | 12/4/2012          | 2/20/2013                      | \$450,000.00         | \$450,000.00       | \$188,968.47         | \$0.00         | \$450,000.00       | 5            | 5              | 0              | Ulster      |
| 82       | Windham Ventures II                         | 2/5/2013           | 3/28/2013                      | \$180,000.00         | \$180,000.00       | \$0.00               | \$0.00         | \$180,000.00       | 1            | 1              | 0              | Greene      |
| 185      | Liberty Rock Books LLC                      | 12/4/2012          | 4/26/2013                      | \$168,160.25         | \$168,160.25       | \$126,981.50         | \$347,000.00   | \$515,160.00       | 2            | 2              | 0              | Delaware    |
| 266      | Thomas J. Phillips and Cheryl Ann Myers     | 4/2/2013           | 5/8/2013                       | \$90,000.00          | \$90,000.00        | \$55,817.30          | \$10,000.00    | \$100,000.00       | 2            | 2              | 0              | Delaware    |

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|----------|-------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|----------------|--------------------|--------------|----------------|----------------|-------------|
| 276      | Village of Fleischmanns                   | 4/2/2013           | 5/31/2013                      | \$160,000.00         | \$157,136.80       | \$147,173.75         | \$0.00         | \$160,000.00       | 0            | 0              | 0              | Delaware    |
| 147      | Irish Jack Enterprises, LLC               | 2/2/2008           | 6/1/2013                       | \$54,258.33          | \$54,258.33        | \$0.00               | \$0.00         | \$54,258.33        | 0            | 0              | 0              | Ulster      |
| 226      | Palace Realty LLC                         | 3/6/2012           | 6/28/2013                      | \$210,000.00         | \$210,000.00       | \$0.00               | \$25,000.00    | \$235,000.00       | 9            | 9              | 0              | Ulster      |
| 150      | Cowan Excavating, LLC                     | 5/7/2013           | 7/30/2013                      | \$361,537.21         | \$361,537.21       | \$0.00               | \$80,000.00    | \$441,537.21       | 0            | 0              | 0              | Delaware    |
| 139      | Six Franklin Road, LLC                    | 12/4/2012          | 9/26/2013                      | \$90,000.00          | \$90,000.00        | \$33,902.12          | \$0.00         | \$90,000.00        | 4            | 4              | 0              | Delaware    |
| 61       | Masserson Properties, Inc.                | 7/2/2013           | 11/6/2013                      | \$1,500,000.00       | \$1,500,000.00     | \$1,145,862.66       | \$1,484,777.00 | \$2,984,777.00     | 0            | 0              | 0              | Delaware    |
| 251      | LKC Realty, Inc.                          | 7/2/2013           | 2/5/2014                       | \$550,000.00         | \$550,000.00       | \$326,192.13         | \$59,000.00    | \$609,000.00       | 6            | 8              | 2              | Delaware    |
| 257      | Roseberry's Retreat, LLC                  | 2/4/2014           | 4/4/2014                       | \$358,200.00         | \$358,200.00       | \$0.00               | \$48,380.00    | \$406,580.00       | 0            | 2              | 2              | Greene      |
| 293      | Black Bear Lodge, LLC                     | 4/1/2014           | 5/20/2014                      | \$276,050.96         | \$276,050.96       | \$193,013.33         | \$33,934.00    | \$309,984.96       | 0            | 2              | 2              | Greene      |
| 294      | Mauer's Mountain Farm LLC                 | 5/6/2014           | 8/14/2014                      | \$350,000.00         | \$350,000.00       | \$238,078.40         | \$407,000.00   | \$757,000.00       | 1            | 3              | 2              | Delaware    |
| 295      | Shawangunk Country Club, Inc.             | 5/6/2014           | 8/14/2014                      | \$260,000.00         | \$260,000.00       | \$0.00               | \$67,000.00    | \$327,000.00       | 5            | 7              | 2              | Ulster      |
| 299      | Central Catskills Chamber of Commerce     | 8/6/2013           | 11/3/2014                      | \$49,500.00          | \$49,500.00        | \$0.00               | \$50,000.00    | \$99,500.00        | 1            | 1              | 0              | Delaware    |
| 292      | CATS                                      | 5/6/2014           | 11/12/2014                     | \$135,000.00         | \$135,000.00       | \$0.00               | \$135,000.00   | \$270,000.00       | 1            | 1              | 0              | Watershed W |
| 298      | Union Grove Distillery LLC                | 10/7/2014          | 1/14/2015                      | \$280,000.00         | \$280,000.00       | \$213,794.60         | \$50,000.00    | \$330,000.00       | 0            | 4              | 4              | Delaware    |
| 300      | John N. Hoeko and Rita C. Adami           | 8/5/2014           | 1/22/2015                      | \$61,751.00          | \$61,751.00        | \$55,709.98          | \$43,000.00    | \$104,751.00       | 0            | 3              | 3              | Delaware    |
| 104      | Town of Andes                             | 3/3/2015           | 7/23/2015                      | \$192,578.00         | \$192,578.00       | \$0.00               | \$0.00         | \$192,578.00       | 0            | 0              | 0              | Delaware    |
| 302      | Foxfire Mountain House, LLC               | 3/3/2015           | 8/6/2015                       | \$255,000.00         | \$255,000.00       | \$211,476.09         | \$609,376.00   | \$864,376.00       | 1            | 6              | 4              | Ulster      |
| 142      | Walton Big M Plaza, LLC (2)               | 6/2/2015           | 9/4/2015                       | \$500,000.00         | \$500,000.00       | \$372,469.41         | \$0.00         | \$500,000.00       | 0            | 0              | 0              | Delaware    |
| 326      | Kirkside Retirement Home                  | 6/2/2015           | 10/7/2015                      | \$112,500.00         | \$112,500.00       | \$0.00               | \$37,500.00    | \$150,000.00       | 0            | 0              | 0              | Delaware    |
| 87       | Catskill Revitalization Corporation, Inc. | 3/3/2015           | 10/30/2015                     | \$109,959.40         | \$109,959.40       | \$54,979.70          | \$0.00         | \$109,959.40       | 0            | 0              | 0              | Delaware    |
| 247      | MTC Cable                                 | 9/1/2015           | 11/13/2015                     | \$1,100,000.00       | \$1,100,000.00     | \$716,047.59         | \$7,800,287.00 | \$8,900,287.00     | 23           | 24             | 1              | Watershed W |
| 321      | WRKC Realty, LLC                          | 3/3/2015           | 12/22/2015                     | \$750,000.00         | \$750,000.00       | \$629,235.83         | \$95,000.00    | \$845,000.00       | 4            | 4              | 0              | Ulster      |
| 336      | KMG Center Street LLC                     | 3/1/2016           | 4/27/2016                      | \$80,000.00          | \$80,000.00        | \$63,220.43          | \$0.00         | \$80,000.00        | 5            | 4              | 9              | Ulster      |
| 332      | Ploennigs Holdings LLC                    | 12/1/2015          | 5/17/2016                      | \$261,000.00         | \$261,000.00       | \$197,666.03         | \$45,000.00    | \$306,000.00       | 8            | 10             | 2              | Ulster      |

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|----------|----------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|-----------------|--------------------|--------------|----------------|----------------|-------------|
| 333      | Chappie's Properties LLC                     | 3/1/2016           | 7/6/2016                       | \$197,100.00         | \$197,100.00       | \$167,856.85         | \$21,900.00     | \$219,000.00       | 4            | 35             | 21             | Delaware    |
| 331      | NYS Department of Environmental Conservatio  | 2/3/2015           | 8/1/2016                       | \$50,000.00          | \$50,000.00        | \$0.00               | \$50,000.00     | \$100,000.00       | 0            | 0              | 0              | Watershed W |
| 334      | Sedgwick House Properties, Inc.              | 6/7/2016           | 8/4/2016                       | \$340,000.00         | \$340,000.00       | \$298,283.47         | \$65,000.00     | \$405,000.00       | 2            | 4              | 2              | Greene      |
| 342      | NYS Catskill Park Line Item Reimbursable Gra | 8/4/2015           | 9/1/2016                       | \$500,000.00         | \$486,232.67       | \$4,628.24           | \$0.00          | \$500,000.00       | 0            | 0              | 0              | Watershed W |
| 247      | MTC Cable                                    | 4/5/2016           | 9/22/2016                      | \$4,322,123.00       | \$4,322,123.00     | \$4,175,816.57       | \$19,466,681.00 | \$23,788,804.00    | 0            | 5              | 5              | Watershed W |
| 276      | Village of Fleischmanns                      | 8/2/2016           | 9/22/2016                      | \$500,000.00         | \$500,000.00       | \$220,394.75         | \$0.00          | \$500,000.00       | 0            | 0              | 0              | Delaware    |
| 103      | Faiga A. Brussel                             | 7/6/2016           | 9/27/2016                      | \$100,000.00         | \$100,000.00       | \$80,187.51          | \$14,100.00     | \$114,100.00       | 0            | 0              | 0              | Delaware    |
| 337      | Roxbury General LLC                          | 7/5/2016           | 10/27/2016                     | \$128,000.00         | \$128,000.00       | \$105,783.41         | \$14,250.00     | \$142,250.00       | 2            | 2              | 0              | Delaware    |
| 338      | Arts on Site Residency and Retreat, LLC      | 7/5/2016           | 11/16/2016                     | \$250,000.00         | \$250,000.00       | \$232,459.18         | \$175,000.00    | \$425,000.00       | 0            | 2              | 2              | Ulster      |
| 325      | The Mark Project                             | 11/1/2016          | 12/20/2016                     | \$349,588.00         | \$349,588.00       | \$0.00               | \$0.00          | \$349,588.00       | 0            | 0              | 0              | Watershed W |
| 41       | Creative Environments LLC d/b/a Full Moon    | 6/7/2016           | 3/8/2017                       | \$1,200,000.00       | \$1,200,000.00     | \$1,199,585.38       | \$0.00          | \$275,000.00       | 125          | 125            | 0              | Ulster      |
| 41       | Creative Environments LLC d/b/a Full Moon    | 6/7/2016           | 3/8/2017                       | \$300,000.00         | \$300,000.00       | \$268,788.79         | \$0.00          | \$300,000.00       | 125          | 125            | 0              | Ulster      |
| 344      | Catskill Seasons LTD                         | 3/7/2017           | 4/25/2017                      | \$60,000.00          | \$60,000.00        | \$26,757.13          | \$20,000.00     | \$80,000.00        | 0            | 3              | 3              | Delaware    |
| 341      | Chef Deanna, Inc.                            | 4/4/2017           | 5/8/2017                       | \$125,000.00         | \$125,000.00       | \$107,312.27         | \$155,000.00    | \$280,000.00       | 6            | 30             | 24             | Delaware    |
| 348      | High Falls Business Park LLC                 | 6/6/2017           | 8/3/2017                       | \$555,000.00         | \$555,000.00       | \$497,935.20         | \$65,000.00     | \$620,000.00       | 0            | 1              | 1              | Ulster      |
| 346      | Nordic House Owner LLC                       | 6/6/2017           | 9/6/2017                       | \$369,375.00         | \$369,375.00       | \$350,373.24         | \$680,625.00    | \$1,050,000.00     | 0            | 3              | 3              | Greene      |
| 352      | Town of Bovina                               | 7/5/2017           | 9/28/2017                      | \$75,000.00          | \$75,000.00        | \$56,250.00          | \$25,225.00     | \$100,225.00       | 1            | 1              | 0              | Delaware    |
| 347      | Westwind Estates, LLC                        | 5/2/2017           | 10/11/2017                     | \$550,000.00         | \$495,000.00       | \$470,824.97         | \$150,000.00    | \$700,000.00       | 6            | 13             | 7              | Ulster      |
| 104      | Town of Andes                                | 8/1/2017           | 10/19/2017                     | \$65,483.83          | \$65,483.83        | \$0.00               | \$0.00          | \$65,484.00        | 0            | 0              | 0              | Delaware    |
| 16       | Drew/Natasha Shuster                         | 8/1/2017           | 10/24/2017                     | \$188,473.00         | \$188,473.00       | \$167,346.19         | \$0.00          | \$188,473.00       | 8            | 8              | 0              | Greene      |
| 49       | Town of Olive                                | 10/3/2017          | 11/21/2017                     | \$52,380.00          | \$52,380.00        | \$0.00               | \$0.00          | \$52,380.83        |              | 0              | 0              | Ulster      |
| 153      | BP Visions, Inc.                             | 11/7/2017          | 3/22/2018                      | \$63,925.00          | \$63,925.00        | \$53,565.29          | \$0.00          | \$63,925.00        | 0            | 0              | 0              | Delaware    |
| 275      | Fruition Chocolate, Inc.                     | 3/6/2018           | 4/17/2018                      | \$260,000.00         | \$260,000.00       | \$222,845.36         | \$140,000.00    | \$400,000.00       | 6            | 9              | 3              | Ulster      |
| 343      | Catskill Watershed Corporation               | 9/4/2018           | 9/4/2018                       | \$14,155,300.00      | \$12,606,896.80    | \$12,606,896.80      | \$5,000,000.00  | \$19,155,300.00    | 0            | 42             | 42             | Delaware    |

| Client # | Client Name                               | Loan Approval Date | Date of Actual Loan Settlement | Approved Loan Amount | Actual Loan Amount | Current Loan Balance | Leverage         | Total Project Cost | Current Jobs | Projected Jobs | Increased Jobs | County Name |
|----------|-------------------------------------------|--------------------|--------------------------------|----------------------|--------------------|----------------------|------------------|--------------------|--------------|----------------|----------------|-------------|
| 119      | Groff and Hoyt Enterprises, Inc.          | 7/9/2018           | 9/6/2018                       | \$100,000.00         | \$100,000.00       | \$85,252.99          | \$0.00           | \$100,000.00       | 0            | 0              | 0              | Delaware    |
| 87       | Catskill Revitalization Corporation, Inc. | 6/5/2018           | 11/29/2018                     | \$500,000.00         | \$66,238.00        | \$23,554.71          | \$170,500.00     | \$670,500.00       | 8            | 15             | 7              | Delaware    |
| 325      | The Mark Project                          | 2/5/2019           | 3/21/2019                      | \$496,693.00         | \$151,200.00       | \$151,200.00         | \$0.00           | \$496,693.00       | 0            | 0              | 0              | Watershed W |
| 222      | West Branch Holdings LLC                  | 6/5/2018           | 6/13/2019                      | \$200,000.00         | \$200,000.00       | \$188,634.55         | \$50,000.00      | \$250,000.00       | 4            | 7              | 3              | Delaware    |
| 387      | Starlite Motel LLC                        | 4/2/2019           | 7/18/2019                      | \$650,000.00         | \$650,000.00       | \$650,000.00         | \$90,000.00      | \$740,000.00       | 0            | 2              | 2              | Ulster      |
| 112      | The Caelan Allen Corp.                    | 9/24/2019          | 9/26/2019                      | \$100,000.00         | \$100,000.00       | \$98,021.66          | \$0.00           | \$100,000.00       | 2            | 2              | 0              | Delaware    |
| 390      | Hubbell, Inc.                             | 7/2/2019           | 10/24/2019                     | \$290,327.06         | \$290,327.06       | \$168,885.66         | \$0.00           | \$290,327.06       | 10           | 11             | 1              | Delaware    |
| 389      | Stony Clove Ventures LLC                  | 5/7/2019           | 11/4/2019                      | \$185,590.00         | \$79,146.93        | \$79,146.93          | \$80,000.00      | \$265,590.00       | 0            | 2              | 2              | Greene      |
| 406      | J K & Sons Fuel Oil, Inc.                 | 9/3/2019           | 12/12/2019                     | \$280,000.00         | \$280,000.00       | \$276,575.22         | \$50,000.00      | \$330,000.00       | 10           | 2              |                | Delaware    |
| 402      | Zandhoek Property LLC                     | 9/3/2019           | 12/12/2019                     | \$620,000.00         | \$620,000.00       | \$612,416.57         | \$90,000.00      | \$710,000.00       | 9            | 18             | 8              | Ulster      |
| 410      | 4-8 Bruceville Road LLC                   | 12/3/2019          | 3/27/2020                      | \$550,000.00         | \$305,660.00       | \$305,660.00         | \$710,000.00     | \$12,600,000.00    | 0            | 15             | 15             | Ulster      |
| 411      | Nada Land Co., Inc.                       | 12/3/2019          | 3/27/2020                      | \$750,000.00         | \$750,000.00       | \$0.00               | \$500,000.00     | \$1,125,000.00     | 20           |                |                | Greene      |
| 413      | Danielle & David LLC                      | 12/3/2019          | 4/14/2020                      | \$200,000.00         | \$200,000.00       | \$195,235.68         | \$30,000.00      | \$230,000.00       |              |                |                | Ulster      |
| 407      | Argos Property Management, LLC            | 11/5/2019          | 5/15/2020                      | \$329,209.00         | \$329,209.00       | \$327,871.24         | \$67,000.00      | \$329,209.00       | 4            |                |                | Ulster      |
| 412      | Tito Bandito's                            | 12/3/2019          | 5/29/2020                      | \$90,000.00          | \$0.00             | \$0.00               | \$75,000.00      | \$165,000.00       | 3            | 4              | 4              | Ulster      |
| 418      | <b>Maeve's Pretty Face LLC</b>            | 2/4/2020           | 6/26/2020                      | \$100,000.00         | \$100,000.00       | \$99,960.00          | \$22,000.00      | \$122,000.00       |              | 6              |                | Ulster      |
|          |                                           |                    |                                | \$109,137,099.36     | \$91,830,058.84    | \$38,094,215.70      | \$132,391,981.96 | \$252,327,457.13   | 3940         | 5333           | 1445           |             |

## Septic Program Update From Inception through June 2020

### Priority 1A, Priority 1B, Priority Round 3 through 10

| Status                      | Month Total | Year Total | Program from Inception |
|-----------------------------|-------------|------------|------------------------|
| *Managed                    | 1           | 4          | 40                     |
| Being Inspected             | 67          | 193        | 5,224                  |
| Checked OK                  | 16          | 31         | 1,080                  |
| Failure Identified Total    | 49          | 161        | 4,129                  |
| Designed Total              | 21          | 118        | 3,927                  |
| Total Repair / Replaced     | 22          | 50         | 3,685                  |
| Status Open                 | 617         |            |                        |
| Signed into Program         | 54          | 200        | 5,353                  |
| Not Interested*             | 0           | 0          | 391                    |
| Ineligible                  | 0           | 0          | 60                     |
| <b>Total Participants =</b> | <b>54</b>   | <b>200</b> | <b>5,804</b>           |

### >7/1/99 through >12/27/19, Reimb, Coord, and Hardship

| Status                      | Month Total | Year Total | Program from Inception |
|-----------------------------|-------------|------------|------------------------|
| *Managed                    | 0           | 0          | 0                      |
| Failure Identified Total    | 0           | 3          | 2,001                  |
| Designed Total              | 0           | 3          | 2,000                  |
| Total Repair / Replaced     | 1           | 4          | 2,000                  |
| Eligible for Reimbursement  | 0           |            |                        |
| Signed into Program         | 0           | 3          | 2,002                  |
| Ineligible**                | 0           | 0          | 37                     |
| <b>Total Participants =</b> | <b>0</b>    | <b>3</b>   | <b>2,039</b>           |

### Second Time Repair Program

| Status                      | Month Total | Year Total | Program from Inception |
|-----------------------------|-------------|------------|------------------------|
| Failure Identified Total    | 5           | 12         | 12                     |
| Designed Total              | 0           | 4          | 4                      |
| Total Repair / Replaced     | 0           | 2          | 2                      |
| Signed into Program         | 5           | 12         | 12                     |
| <b>Total Participants =</b> | <b>5</b>    | <b>12</b>  | <b>12</b>              |

### Small Business Program

| Status                      | Month Total | Year Total | Program from Inception |
|-----------------------------|-------------|------------|------------------------|
| Failure Identified Total    | 2           | 11         | 46                     |
| Designed Total              | 0           | 2          | 28                     |
| Total Repair / Replaced     | 0           | 4          | 25                     |
| Checked OK                  | 0           | 1          | 2                      |
| Status Open                 | 0           |            |                        |
| Signed into Program         | 6           | 18         | 58                     |
| <b>Total Participants =</b> | <b>6</b>    | <b>18</b>  | <b>58</b>              |

### Total of All Septic Programs

| Status                            | Month Total | Year Total | Program from Inception |
|-----------------------------------|-------------|------------|------------------------|
| Total Repair / Replaced / Managed | 24          | 64         | 5,752                  |
| <b>Total Participants =</b>       | <b>65</b>   | <b>233</b> | <b>7,873</b>           |
| <b>Total Site Visits</b>          | <b>80</b>   | <b>189</b> |                        |

### Septic Maintenance

| Status           | Month Total | Year Total | Program from Inception |
|------------------|-------------|------------|------------------------|
| Total Reimbursed | 67          | 147        | 2,770                  |

**TAB 4**

**BOARD & COMMITTEE SCHEDULE**  
**September 1, 2020**

| <u>COMMITTEE</u>          | <u>CHAIRPERSON</u> | <u>DATE</u>         | <u>TIME</u>                                                     | <u>NOTE</u> |
|---------------------------|--------------------|---------------------|-----------------------------------------------------------------|-------------|
| STORMWATER/<br>WASTEWATER |                    | Tuesday<br>09-01-20 | 9:00 AM                                                         |             |
| SEPTIC                    | Wayne Marshfield   | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>STORMWATER/<br/>WASTEWATER</b> |             |
| POLICY                    | James Eisel        | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>SEPTIC</b>                     |             |
| FINANCE/FISCAL<br>AUDIT   | James Eisel        | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>POLICY</b>                     |             |
| GOVERNANCE                | TBD                | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>FINANCE</b>                    |             |
| LAND                      | James Eisel        | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>GOVERNANCE</b>                 |             |
| ECO. DEVL.                | Richard Parete     | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>LAND</b>                       |             |
| PUBLIC<br>EDUCATION       | Tina Molé          | Tuesday<br>09-01-20 | <b>IMMEDIATELY<br/>FOLLOWING<br/>ECO. DEVL.</b>                 |             |
| LUNCH                     |                    | 09-01-20            | CANCELLED                                                       |             |
| ANNUAL<br>MEETING         |                    | 09-01-20            | <b>IMMEDIATELY<br/>FOLLOWING<br/>PUB. ED</b>                    |             |
| BOARD                     | Michael Triolo     | 09-01-20            | <b>IMMEDIATELY<br/>FOLLOWING<br/>ANNUAL<br/>MEETING</b>         |             |
| SPORTING<br>ADVISORY      | Steven Roff        | TBD                 | TBD                                                             |             |

**TAB 5**

Minutes  
CATSKILL WATERSHED CORPORATION  
Wastewater/Stormwater Committee Tuesday, June 2, 2020 @ 9:00 AM

Attendees: Michael Triolo (Director), Alan Rosa (CWC), Wayne Marshfield (Director), James Eisel (Director), Jeff Senterman (Director), James Sofranko (Director), Robert Pelham (Director), David Warne (DEP), Tom Snow (DEC, Via Conference)

Others: John Mathiesen (CWC), Timothy Cox (CWC), Jason Merwin (CWC), Arthur Merrill (Director), Anthony Van Glad (Director), Rich Parete (Director), Innes Kasanof (Director), Tina Mole (Director), Chris Matthews (Director), Via Teleconference- Matthew Giannetta (DEP), Mike Maloney (DOH), Samantha Costa (CWC), Barbara Puglisi (CWC), Danyelle Greene (CWC), Michael Meyer (DEP).

The meeting was called to order by Michael Triolo at 9:00 AM.

- I. Review minutes from May 5, 2020 meeting.  
*Motion made by James Eisel and seconded by James Sofranko to approve the May 5, 2020 minutes. Minutes unanimously approved upon motion.*
- II. Wastewater / Stormwater

### **1. Community Wastewater Management Program**

#### **General Project Updates:**

#### **CWMP III**

Shandaken - On 5/31/2017 a Block Grant in the amount of \$6,770,000 was approved by the NYCDEP. This will fund a combination system of an SMD with onsite and remote systems including construction costs, land acquisitions and an O&M endowment. There are 60 systems being proposed for this project. The bid was awarded to Evergreen Construction to complete the onsite systems within the hamlet of Shandaken. Evergreen mobilized equipment and materials November 11, 2019 and started construction in December 2019 in Shandaken. Kevin Young is in the process of finalizing the land purchase for the remote fields. Evergreen Construction had temporarily pulled off the project due to the large amount of Covid-19 cases in Shandaken and to protect their employees. Evergreen Construction has remobilized on the Shandaken project as of June 1, 2020. Substantial Completion date for this project is 10/9/20.

West Conesville - On 7/25/2017 a Block Grant in the amount of \$8,411,000.00 was approved by the NYCDEP. This will fund the hookup of 54 systems to a Community Septic System. Bidding was completed in February and has been awarded to Evergreen Construction in the amount of \$6,483,725.00. The contractor has started construction of the project at the remote leach field site and has installed the sand beds and the foundation of the building.

Claryville – The project will be an SMD with a total of 130 hookups. On 4/28/2017 the NYCDEP approved the Block Grant for Claryville for a total of \$8,655,000.00 with a yearly O&M cost being included of \$48,933.00. The project covers two separate towns in two separate counties. Town of Denning's portion of the project is \$3,760,000.00 and the Town of Neversink's portion of the project is \$4,895,000.00. Denning has replaced all systems that were on the priority list including the systems that received enhanced treatment as of November 11, 2019. CWC has received the DEP approval letter for these completed systems. Lamont Engineers and the contractor have completed their final pay invoices and the remaining funds will be released to the Town of Denning. Neversink received DEP approval on 5/14/19 and bid opening was completed 7/3/19 and awarded to Poley Construction. Poley has completed 6 of the 9 systems. The remaining 3 systems on the priority list are an Orenco system and 2 Bio Filter systems and are anticipated to be completed by July.

New Kingston – On 11/28/2018, the NYCDEP forwarded the Block Grant approval to the CWC in the amount of \$5.2 million for 28 hookups. At the May 8, 2019 Town Board meeting they approved the creation of the Sewer District and the Sewer Use Law and included the adoption of SEQR. On June 29, 2019 a vote of the residents of New Kingston was completed with the vote being 27-4 in favor of doing the project. At the March 2020 WAC meeting, the WAC Easement Committee denied the subdivision request. CWC, DEP, Kevin Young and Lamont Engineers are working on the details of going forward with obtaining the land for the project. The project had already received approval from SHPO and now resident Steve Finkel has contacted SHPO and SHPO is requesting further information. Lamont is in the process of providing SHPO with this new information. Alan Rosa explained the situation to the Committee about this resident and how he has been against the project since day one.

Halcottsville- On 9/29/2017, the NYCDEP approved the Block Grant for Halcottsville for a total of \$8,954,000.00. The project will be a large diameter gravity sewer with pump station and force main connecting to the NYCDEP Margaretville WWTP. O&M costs are estimated at \$69,000.00 per year. There will be 53 hookups being tied into the system. The Town of Middletown on 12/13/2017 adopted a resolution to continue with the Pre-Construction Phase. The 65% design drawings and facility plan were submitted to the DEP in April. After several discussions with landowners the best option is making arrangements with the Shultis family to have the building and pump station located on their property. Kevin Young's office is in the process of completing the land purchase agreement with the Shultis family. A meeting with the Middletown Town Board was held on March 11, 2020 to have the Town declare themselves as Lead Agency for the project and to schedule a public hearing for the Sewer Use Law and to establish the Halcottsville Sewer District. It appears the public hearing will now be held in June.

Shokan- Chazen Companies was hired to determine the Shokan service area study. There contract was to provide a Study Area Report back to CWC. Copies of this report were provided to the NYSDEC, NYCDEP, NYSDOH and EPA. Program Agreement was approved by the CWC Wastewater Committee and the CWC Board of Director (2/6/2018). Lamont Engineers cost for Professional Services for the Shokan Wastewater Management Program for the hamlet of Shokan in the Town of Olive is \$198,872.00. The Town of Olive signed the contract and resolution to proceed with the Study Phase on August 14, 2018. Lamont Engineers has completed the PER and delivered the document to CWC on 8/29/19. At the request of the DEP, Lamont Engineers is also evaluating the possibility of running the force main back to the Boiceville WWTP and the cost involved in upgrading this plant to allow for the discharge from Shokan. Other options Lamont is looking into is to do a standalone WWTP in Shokan or to use the Boiceville WWTP as a holding station for the Boiceville waste and pump back to a new plant in Shokan. These options and costs are shown in Amendment #2 of the Draft Shokan PER that has just been recently submitted on to CWC and the DEP on April 30, 2020.

There is 470+ connections being projected for the hamlet of Shokan. The current estimated flow for Shokan is 166,000 gpd. At this time several systems within Shokan are having to be repaired and more are in the future. After meeting with Lamont it is clear that these systems are all just temporary repairs and most will fail again in the near future. The Shokan Wastewater Project is very important to this community and the city for protecting water quality issues and having working septic systems to prevent future contamination of the water supply with the close proximity to the Shokan reservoir. Per a 5/28/20 email from Lamont and looking at all options, it is Lamont Engineers opinion that the combined flow WWTP at Shokan on Site D as described and evaluated in Amendment #2 is the recommended option going forward. David Warne said that DEP staff has reviewed Amendment #2 as well and would like to arrange a meeting with CWC and Lamont Engineers to discuss.

## **2. Future Stormwater Program**

### **Future Stormwater/MOA 145 O&M – Four Goats, LLC**

On January 25, 2005 by resolution 799, the CWC Board of Directors approved \$29,772.29 for design and construction costs associated with compliance of New York City Watershed Rules and Regulation for Cannie D's Corner Corporation in Neversink, Sullivan County. These funds represented half the total project with NYCDEP reimbursing the other half through the MOA 145 program. The gas station and convenience store implemented BMP's including an oil/water separator, gutters and catch basins. From 2006 through 2015, by resolutions 1052, 1485, 1900, and 2450 Cannie D's applied and was approved for numerous maintenance reimbursements. In December, 2015 Cannie D's sold the business to Four Goats, LLC. On September 6, 2016 by resolution 2935,

the CWC Board of Directors approved the transfer of remaining approved O&M funds from Cannie D's to Four Goats, LLC. Despite this transfer of funds, the new owners never applied for reimbursement.

Staff received a call from an owner of Four Goats, LLC in May, inquiring if they were still eligible for reimbursement. The owner stated that they had kept all records of maintenance since they took over the business but never sent it in for reimbursement. Due to the time since the 2016 approval and contract, staff decided it would be more appropriate to handle the request as a new application. The request is for reimbursement of previous maintenance payments and for an additional two years worth of funding to ensure continued maintenance.

The owners have consistently had the stormwater catch basins cleaned four times per year and had their oil/water separator pumped once. They claim the catch basins fill quickly and require regular upkeep. They would also like to have the oil/water separator pumped again this year. The total funding request is, \$59,397.44. As a small business the Future Stormwater program can provide for 50% of these costs, with the remaining 50% coming from the MOA 145 Program.

**Recommended FSW O&M Funding: \$29,698.72**

**Recommended MOA 145 O&M Funding: \$29,698.72**

**A motion was made by Wayne Marshfield, seconded by Jim Sofranko to recommend approval of \$29,698.72 for Operation and Maintenance costs from both the FSW Program and the MOA 145 Program. All in favor.**

### **3. Stormwater Retrofit Program**

#### **CWC Stormwater Retrofit – Village of Fleischmanns Lake Street**

The Village of Fleischmanns was awarded \$46,875 for a Stormwater Retrofit Planning and Assessment project in 2016. The P&A report identified three focus areas for the Village to address for potential Stormwater Retrofit projects. In January, 2020 CWC received an application for a project on Lake Street. The application identified potential stormwater retrofit options but was deemed to not have enough of an emphasis on the water quality benefit upon review by CWC and DEP. After further discussions, consultations and site visits, the Village through their engineer resubmitted an application on April 17<sup>th</sup>. The initial application amount was \$125,575. The incorporation of additional features and treatment options increased the new application request to \$398,181.75.

The scope of work for the project includes addressing runoff that flows down Lake Street towards Main Street and the gas station that sits on the corner of the two streets. This solution includes installing new catch basins and pipe on Lake Street to increase conveyance capacity and allow the flow to more efficiently enter the drainage system as opposed to the gas station parking lot. Additionally, untreated runoff from the Firehouse, Village DPW building and adjacent paved areas will also be addressed in order to remove pollutants and improve the quality of the stormwater entering Emory Brook. Stormwater treatment addressed in the application included; rain gardens, bioretention areas and a hydrodynamic separator treatment unit. Follow up conversations and discussion of the project with the Village's engineer, CWC and DEP have resulted in agreement to remain flexible in the specific treatment options included in the application and address them more specifically during actual design. There will be a coordinated effort to ensure ample water quality improvement as part of the project.

The project is anticipated to start as soon as a funding agreement is executed. Final design is estimated to be completed this summer. Bidding and awarding the project to a contractor was scheduled for July - August 2020 but will depend on design completion. Once a contractor is hired the work will proceed with the anticipation the project will be complete in the summer of 2021.

## **Recommended SWR Funding: \$398,181.75**

**A motion was made by Wayne Marshfield, seconded by Jim Eisel to recommend approval of \$398,181.75 for the design and construction of a Stormwater Retrofit Project for the Village of Fleischmanns. All in favor.**

### **Windham Mountain Stormwater Collection System O&M**

On March 14, 2005 by resolution 655 the CWC Board of Directors approved \$279,630.00 for a stormwater retrofit project at Windham Mountain. The project, in collaboration with Greene County Soil and Water Conservation District was designed and implemented to treat stormwater runoff from concentrated impervious areas, stabilize the Batavia Kill stream, create both water quality wetlands and riparian buffers along the stream for Windham Mountain at the property located at 19 Resort Drive, Windham, NY 12496. The construction consisted of stormwater treatment that includes three levels of treatment, primary, secondary and tertiary. Primary treatment consisted of a combination of hydrodynamic devices, constructed pocket wetlands, infiltration trenches, bioretention areas, vegetated swales, and outfall protection as close to the pollution source as possible. Secondary treatment included enhancing an existing wet depression located at the north side of South Street in the existing drainage way. Tertiary treatment was accomplished by constructing a stormwater wetland to serve as a polishing impoundment before stormwater enters the Batavia Kill Stream just downstream of the South Street bridge. As a condition of that funding, GCSWCD also agreed to maintain these new stormwater treatments. O&M funds, totaling \$49,191.13, representing 17.6% of the construction costs were awarded to GCSWCD for maintenance in 2013 GCSWCD was required to provide CWC an Annual O&M Report with information including work to be done to maintain these systems, funds used for maintenance, and remaining balance on the account containing the funds. CWC never received these reports despite numerous requests. CWC recently demanded the funding be returned by GCSWCD, which they did on February 19, 2020.

CWC now has available maintenance funding of \$49,191.13 for operation and maintenance of these stormwater treatments at Windham Mountain. Windham Mountain has applied for these funds to continue maintenance and repair of these stormwater management systems installed at their property going forward. CWC will retain these funds, which will only be dispersed upon invoices received, and reviewed, from Windham Mountain showing what maintenance was completed, and the costs to do so.

## **Recommended SWR O&M Funding: \$49,191.13**

**A motion was made by Wayne Marshfield, seconded by Jim Sofranko to recommend approval of \$49,191.13 for Operation and Maintenance Costs for the SWR project at Windham Mountain. All in favor.**

### **CWC Stormwater Retrofit O&M – Catskill Mountain Foundation, Inc.: Sugar Maples**

On September 23, 2008, by Resolution 1405 the CWC Board of Directors approved \$153,503 for the Sugar Maples Stormwater Retrofit project at 35 Big Hollow Road, Maplecrest, NY 12454. In November 2008, CWC executed a contract with GCSWCD to improve stormwater runoff from drainage and increase runoff quality at the Sugar Maples property. The stormwater funding was used to construct a bioswale and rain gardens along with new stormwater conveyance components such as catch basins, storm drains and culverts.

On March 1, 2011, by Resolution 1875, GCSWCD was approved for funding in the amount of 17.6% of construction funds, \$20,554.50 for operation and maintenance for these new stormwater treatments. GCSWCD never applied for reimbursement of any of these funds, nor did they provide any maintenance for this system.

CWC reached out to Sugar Maples directly in April, 2019 discussing the maintenance funds that were available, and that Sugar Maples as an independent entity would have to reapply for these funds to maintain these stormwater treatments. Sugar Maples informed CWC at this time that there had been significant damage done to

the treatment system during Hurricane Irene and that before they could proceed with maintenance on this project the system would require repairs. CWC reached out to DEP and GCSWCD regarding this damage. The original designer of the stormwater system, still employed by GCSWCD, and the president of GCSWCD conducted a site visit resulting in a list of necessary repairs and estimated cost to complete this work. The estimated repair costs totaled \$12,560. DEP and CWC agreed that these repairs would be best funded by the O&M funds available from the original project, saving the remaining funds for future maintenance, once the repairs are completed.

Sugar Maples has applied for the total O&M funds of \$20,554.50 to complete the repair and continued maintenance of the stormwater management systems installed at their property going forward. CWC will retain these funds, which will only be disbursed upon eligible invoices received and reviewed from Sugar Maples showing what repair and maintenance was completed.

### **Recommended SWR O&M Funding: \$20,554.50**

The committee discussed the status of the project right now. Jason replied that there was a need for a total repair of the project.

Jim Sofranko asked if there was enough O&M funding available to cover the costs of the repairs. Jason replied that the estimates provided for repairs was \$12,560 and the funding available is \$20,554.50.

**A motion was made by Jim Sofranko, seconded by Robert Pelham to recommend approval of \$20,554.50 for Operation and Maintenance Costs for the SWR project at Sugar Maples, owned by the Catskill Mountain Foundation, Inc. All in Favor.**

### **4. Local Flood Hazard Mitigation**

Joseph and Kerri Murnan of 14706 Main Street, Prattsville have completed a Feasibility Study which had funding provided for from CWC and the study was completed by Chazen Companies. The Murnan's have chosen to go forward and apply for funding for the design of elevating their home based on the information that was provided to them in the Feasibility Study. The amount of funding for the design being requested is \$18,200.00 which equals out to be 10% of the estimated amount for construction. In Chazen's Feasibility Study report they had calculated the estimated construction costs to elevate the house and do all flood mitigation would cost \$182,000.00. The elevation of the structure would be raising the structure approximately 8' according to the Feasibility Study but the design will call out the exact elevation. The Murnan's have already spent close to \$200,000.00 in repairs and replacement of furnishings according to the receipts that were provided. Comments from the DEP are in favor of approving the design application. A motion to approve funding of \$18,200.00 for the design application was made by James Eisel and seconded by James Sofranko. Motion carried.

### **5. Other**

1. Copies of a chart of the updated status of the Flood Hazard Mitigation Implementation Program was provided to all Committee members.

A motion to go into Executive Session was made by James Eisel and seconded by Robert Pelham. At 10:12 a motion to come out of Executive Session was made by James Eisel and to continue with the regular meeting.

2. An update on Breakey Motors project was given, demo and contaminated soils have been completed and the property has been restored with seed and mulch. Delaware Soil and Water has had Tweedie Construction come back to the site to perform further test pits on the property away from the building location. More cars have been found and other areas of Coal Ash have been located. Delaware Soil and Water are hoping to complete the flood mitigation project by the end of summer.

3. An update of the Mt. Pleasant Bridge demo project was also given. The contractor is working on abatement and cleanup of all Asbestos, Lead and Cyanide from the structure. He anticipates having this work completed by mid-June so they can start dismantling the bridge structure at that time.

4. Jason gave an update on the Delaware Academy Stormwater Retrofit project that was approved by the Board of Directors on May 5, 2020. After informing the applicant of their awarded funding, it was later brought to CWC's attention that DEP did not have the funding available to invoice for the project. Jason told the committee a letter was being prepared to be sent to the school district informing them that funding likely would not be available until September at the earliest.

Dave Warne confirmed that the City did not have the funding in place to move forward with an invoice for this project. He continued that Covid-19 has had a big negative impact on the City's funding. He also added that the next few months will be an important indicator of potential funding levels as this is the time many water bills are distributed to commercial entities in the City.

The committee agreed that we should send the letter to the school district. The committee also suggested reaching out to the Village of Fleischmanns to let them know their funding could be delayed as well.

5. A discussion was held about an application in the Town of Prattsville that had some outside factors that could have potential impacts on our funding of a MOA 145 funded project. After discussing the project in more detail, the committee recommended tabling the application until more information could be obtained.

### III. Next meeting scheduled for Tuesday July 7, 2020

IV. Meeting was adjourned at 10:20 AM

**Catskill Watershed Corporation**  
**Septic Committee Meeting**  
**June 2, 2020**  
**Minutes**

**Attendance:**

Committee Members: Wayne Marshfield (Director), Tina Mole' (Director), Richard Parete (Director), Robert Pelham (Director), Anthony Van Glad (Director), Thomas Snow (NYSDEC by phone), Alan Rosa (CWC), Michael Triolo (Director), David Warne (NYCDEP)

Others Timothy Cox (CWC), Jim Eisel (Director), Mitchell Hull (CWC), John Jacobson (CWC), Innes Kasanof (Director), Mike Maloney (NYSDOH by phone), Arthur Merrill (Director), Jason Merwin (CWC), John Schwartz (NYCDEP by phone)

- I. The meeting was called to order at 10:21 AM by Wayne Marshfield.
- II. Minutes from the February Committee Meeting were reviewed and approved as written.
- III. Septic Program:
  - A. **Vincent Accardi Over \$25,000.00:** Mr. Accardi's project is located at 6841 County Highway 36 in the Town of Roxbury, His engineer is Rex Sanford. His contractor is Maduri Excavating. His proposed septic system will serve a three bedroom house. Major components of this system include a 1,000 gallon septic tank, 60 linear feet of gravity piping, 325 cubic yards of absorption fill material, 550 square feet of absorption bed, one distribution box, an effluent filter, excavate a cut and fill area, remove five to six large trees, a temporary access road and site restoration. Three quotes were received for this project. They were for \$32,144.27, \$33,019.58 and \$33,682.66. The lowest quote, submitted by Maduri Excavating, is within 10% of estimated cost of construction. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Vincent Accardi in the amount not to exceed \$32,144.27 to build his septic system.
  - B. **Renard Barto Over \$25,000.00:** Mr. Barto's project is located at 592 Brush Ridge Rd. in the Town of Middletown. His engineer is Rex Sanford. His contractor is Four Seasons Landscaping. His proposed septic system will serve a four bedroom house. Major components of this system include one 1,250 gallon septic tank, a pump chamber, 13 linear feet of gravity pipe, 326 linear feet of force main, 675 square feet of absorption bed, one distribution box, one effluent filter, insulate two inch pipe, 230 linear feet of access road for setting the tanks and site restoration. The pipe is being insulated because the topography requires it to be close to the surface to attain proper pitch. Mr. Barto's contractor has submitted a quote for \$27,091.04 to build this system. This is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Renard Barto in the amount not to exceed \$27,091.04 to build his septic system.
  - C. **Korie Envard Over \$25,000.00:** Ms. Envard's project is located at 5065 Route 28A in the Town of Olive. Her engineer is Rex Sanford. Her contractor is Frank Kortright Excavating. Her proposed septic system will serve a four bedroom house. Major components of this system will include a 1,250 gallon septic tank, 100 linear feet of gravity piping, 480 cubic yards of absorption fill material,

330 linear feet of absorption trench, one distribution box, an effluent filter, 160 linear feet of curtain drain, 57 linear feet of curtain drain outlet pipe, 20-25 trees to be removed, a temporary access road and extensive site restoration. Three quotes were received for this project. They were for \$44,176.48, \$44,932.00 and \$45,183.00. The lowest quote, submitted by Frank Kortright Excavating, is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Korie Envard in the amount not to exceed \$44,176.48 to build her septic system.

- D. James Franz Over \$25,000.00:** Mr. Franz's project is located at 5593 Route 23C in the Town of Jewett. His engineer Steven Schildhorn. His contractor is James Rion Construction. His proposed septic system will serve a five bedroom house. Major components of this system will include a 1,500 gallon septic tank, a siphon chamber, 53 linear feet of gravity piping, 444 cubic yards of absorption fill material, 1,240 square feet of absorption bed, three distribution boxes, one effluent filter, 100 linear feet of curtain drain, tree removal and extensive site restoration. Mr. Franz's contractor submitted a quote for \$29,980.00 to build this system. This is well below the staff estimated cost of construction based on the Schedule of Values. The staff estimated cost was \$40,954.00. The Committee recommended that a resolution be brought before the Board of Directors to reimburse James Franz in the amount not to exceed \$29,980.00 to build his septic system.
- E. Erick Hinkley Over \$25,000.00:** Mr. Hinkley's project is located at 26 Turkey Ridge Rd. in the Town of Halcott. His engineer is John Bolger. His contractor is Jim Peters Excavating. His proposed septic system will serve a three bedroom house. Major components of this system will include a 1,000 gallon septic tank, one plastic pump chamber, 40 linear feet of gravity piping, 235 linear feet of force main, 236 cubic yards of absorption fill material, 250 linear feet of absorption trench, one distribution box, an effluent filter, 85 linear feet of curtain drain, 25 linear feet of curtain drain outlet pipe, remove two to five trees, a temporary access road and site restoration. Mr. Hinkley's contractor has submitted a quote for \$29,999.00 to build this system. This amount is well below the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Erick Hinkley in the amount not to exceed \$29,999.00 to build his septic system.
- F. Thomas Jakubowski Over \$25,000.00:** Mr. Jakubowski's project is located at 230 County Route 56 in the Town of Windham. His engineer is Steven Schildhorn. His contractor is James Rion Construction. His proposed septic system will serve a three bedroom house. Major components of this system will include a 1,000 gallon septic tank, one siphon chamber, 75 linear feet of gravity piping, 679 cubic yards of absorption fill material, 330 linear feet of absorption trench, one distribution box, one effluent filter, 70 linear feet of curtain drain, remove multiple trees, and extensive site restoration. Three quotes were received for this project. They were for \$34,950.00, \$39,000.00 and \$41,065.00. The lowest quote, submitted by James Rion Construction, is well below the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Thomas Jakubowski in the amount not to exceed \$34,950.00 to build his septic system.

**G. Carla Scheele Over \$25,000.00:** Ms. Scheele's project is located at 42 Lasher Rd. in the Town of Shandaken. Her engineer is Rex Sanford. Her contractor is Josh Construction. Her proposed septic system will serve a four bedroom house. Major components of the system will include two 1,000 gallon septic tanks (because pipes leave the house in two locations), one pump chamber, 138 linear feet of force main, 77 linear feet of force main, 415 cubic yards of absorption fill material, 1,000 square feet of absorption bed, 70 linear feet of curtain drain, one distribution box, two effluent filters, remove trees and extensive site restoration. Three quotes were received for this project. They were for \$45,859.87, \$46,423.53 and \$47,016.71. The lowest quote, submitted by Josh Construction, is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Carla Scheele in the amount not to exceed \$45,859.87 to build her septic system.

**H. Rand Silver Over \$25,000.00:** Mr. Silver's project is located at 197 Van Keuren Rd. in the Town of Middletown. His engineer is Steele Brook Engineering. His contractor is LaFever Excavating. His proposed septic system will serve a three bedroom house. Major components of this system will include a 1,000 gallon septic tank, one siphon chamber, 328 linear feet of gravity piping, 313 cubic yards of absorption fill material, 225 linear feet of absorption trench, one distribution box, an effluent filter, 110 linear feet of curtain drain, 80 linear feet of curtain drain outlet pipe, 305 linear feet of improved swale, three clean outs and extensive site restoration. Mr. Rand's contractor has submitted a quote for \$29,995.00 to build this system. This amount is well below the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Rand Silver in the amount not to exceed \$29,995.00 to build his septic system.

**I. Ryan Telian Over \$25,000.00:** Mr. Telian's project is located at 4117 County Hwy. 6 in the Town of Middletown. His engineer is Steele Brook Engineering. His contractor is Delaware Bulldozing Corp. His proposed septic system will serve a four bedroom house.

**J. Mercedes Wakin Over \$25,000.00:** Ms. Wakin's project is located at 15451 State Rte. 28 in the Town of Delhi. Her engineer is Sander Engineering. Her contractor is Delaware Bulldozing Corp. Her proposed septic system will serve a three bedroom house. Major components of this system will include a 1,000 gallon septic tank, a pump chamber, 25 linear feet of gravity piping, 150 linear feet of force main, 286 cubic yards of absorption fill material, 288 square feet of Eljen units, one distribution box, one effluent filter, 10 cubic yards of C-33 sand, 123 linear feet of curtain drain, 20 linear feet of six inch steel sleeve and site restoration. Ms. Wakin's contractor has submitted a quote for \$28,957.00 to build this system. This is well below the staff estimated cost of construction based on the Schedule of Values. This time of year contractors are eager to line up work and often quote lower. The Schedule of Values appears to be accurate. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Mercedes Wakin in the amount not to exceed \$28,957.00 to build her septic system.

**K. John Way Over \$25,000.00:** Mr. Way's project is located at 1660 Lee Hollow in the Town of Bovina. His engineer is Steele Brook Engineering. His contractor is Delaware Bulldozing Corp. His proposed septic system will serve a four bedroom house. Major components of this system will

include a 1,250 gallon septic tank, one siphon Chamber, 181 linear feet of gravity piping, 351 cubic yards of absorption fill material, 275 linear feet of absorption trench, one distribution box, one effluent filter, 120 linear feet of curtain drain, 88 linear feet of curtain drain outlet pipe, 10 linear feet of steel sleeve, a temporary access road and extensive site restoration. Three quotes were received for this project. They were for \$34,021.00, \$36,500.00 and \$37,810.00. The lowest quote, submitted by Delaware Bulldozing Corp. is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Committee recommended that a resolution be brought before the Board of Directors to reimburse John Way in the amount not to exceed \$34,021.00 to build his septic system.

- L. **Gary Aikens Second Time Repair:** Mr. Aikens' project is located at 1424 Roberts Rd/ in the Town of Kortright. It was paid for in 2000 under the Coordinator Program. The absorption field seems to be in failure. Sewage is surfacing on the ground. The homeowner has pumped out the septic tank in 2004, 2008 and 2016. The Committee recommended that a resolution be brought before the board of Directors to reimburse Gary Aikens for a second time repair.
  
- M. **Sal Casamassima Second Time Repair:** Mr. Casamassima's project is located at 25 Carmer Rd. in the Town of Jewett. It was paid for in 2009 under the Priority 5 Program. The absorption field has two laterals that have failed. Solids are migrating from the septic tank to the pump chamber causing the pump to burn out. There appears to be a leak between the septic tank and pump chamber as well. The homeowner had maintenance pump outs done in 2017 and 2020. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Sal Casamassima for a second time repair.
  
- N. **Cellie Davis Second Time Repair:** Ms. Davis' project is located at 1021 County Hwy. 36 in the Town of Middletown. The absorption field appears to be in failure. The liquid level in the tank was high and when it was pumped out. Effluent ran back into the tank for several minutes after it was emptied. The homeowner had maintenance pump outs done in 2014, 2017 and 2020. The Committee recommended that a resolution be brought before the Board of Directors to reimburse Cellie Davis for a second time repair.
  
- O. **Brenda Weaver Second Time Repair:** Ms. Weaver's project was paid for in 1998 under the Coordinator Program. The pipe from the septic tank to the absorption field has shifted causing sewage to back up into the house. The homeowner had one maintenance pump out done through the CWC in 2020. They claim to have had another pump out done a few years after installation of the system before there was a Maintenance Program. There are no longer any records of that pump and the owner cannot remember who did it. The life span of a septic system is variable. The skill of contractors in 1998 was not as high as it is today. Baffles were improvised in old plastic tanks. The Maintenance Program has existed since 2005. Program Rules prohibit paying for a second time repair if regular maintenance pump outs have not been done. After discussion, the Committee recommended that Brenda Weaver is not eligible for funding for a second time repair.
  
- P. **Septic Maintenance:** Mitch Hull reviewed activity in this program with the Committee. 32 homeowners were reimbursed for pump outs last month. The total for this year is 80. This is above

last year. This year's round of Maintenance Program letters has been sent. Letters are sent when homeowners become eligible for the program for the first time.

**Q. Septic Cluster:** Mitch had no report this month.

**R. Septic Update:** Mitch Hull reviewed activity in the Septic Program with the Committee. 15 systems were paid for last month. The total for this year is 40. These numbers are on track with previous years.

**S. Other:** Mitch and John Mathiesen met with a tree service at a site to check tree removal prices. They discovered that contractors are sending in reasonable quotes to remove trees. The tree service quote was higher than the contractors.

IV. The next Septic Committee Meeting was scheduled for July 7, 2020.

V. The meeting was adjourned at 10:59 AM.

## CWC POLICY COMMITTEE

JUNE 2, 2020

### MINUTES

Present: James Eisel, Chris Matthews, Tina Molé, Richard Parete, Michael Triolo, David Warne (NYCDEP), Alan Rosa, Anthony Van Glad, Robert Pelham, Thomas Snow (NYSDEC)(By Phone), Robert Pelham

Others Present: Tim Cox (CWC), Alan Rosa (CWC), Mike Maloney (DOH)(By Phone), Jason Merwin

- I. Call to Order at 11:00 am
- II. May 2020 Minutes unanimously approved upon motion of Tina Mole and second by Richard Parete.
- III. CWC COVID-19 Updates

Jason Merwin provided an update on CWC operations. He explained that all staff have returned to the building for work as of June 1<sup>st</sup>. CWC has written policies in place to prevent transmission of COVID-19. This includes requiring that supplied N95 masks be worn at all times when employees are outside of their offices and that only one employee be in a vehicle at a time. Jason also complimented CWC building staff on their daily cleaning and disinfecting efforts.

James Sofranko asked if CWC had incurred COVID-19 response expenses that would be eligible for reimbursement from FEMA. Alan Rosa responded that CWC had no incurred any such expenses and the only additional expenses were supplying N-19 masks and additional cleaning products.

- IV. Rescheduling of 2020 Annual Meeting

Alan Rosa recommended that the rescheduled 2020 annual meeting be held on the first Tuesday in August, 2020. He explained that CWC staff would schedule the event using Zoom remote meeting software. Member towns would be provided an opportunity to attend via zoom and the Board would be physically present in the CWC auditorium. CWC would increase the subscription to allow for up to 500 attendees at the August meeting.

Alan Rosa also explained that CWC needs to hold a special election to fill the late Thomas Hynes' seat. Alan proposed to fill that seat as soon as possible with results to be announced at the CWC October Board meeting. Tim Cox stated that the resolution proposed to be passed allows him and CWC Secretary Anthony Van Glad to open ballots after today's Board meeting. He continued that per CWC By-Laws no two Board members can reside in the same town. Opening ballots will allow nominations to be sent prior to the rescheduled annual meeting. Tim concluded that in order to have results of the special election in October, nominations must be returned to CWC by August 7, 2020.

Upon motion of Robert Pelham and second by Tina Mole, CWC Policy Committee unanimously recommended that the 2020 CWC Annual Meeting of Member Towns be scheduled for August 4, 2020, that a special election be held with results to be announced at the October, 2020 CWC Board meeting, and that ballots of the regular 2020 election be opened as soon as possible by CWC Secretary and CWC Counsel.

V. Other

Alan Rosa stated the Town of Middletown assessment matter would be discussed in executive session during the regular board meeting.

VI. Next Meeting scheduled for July 7, 2020.

VII. Adjourned at 11:18 am

## CWC POLICY COMMITTEE

JUNE 2, 2020

### MINUTES

Present: James Eisel, Chris Matthews, Tina Molé, Richard Parete, Michael Triolo, David Warne (NYCDEP), Alan Rosa, Anthony Van Glad, Robert Pelham, Thomas Snow (NYSDEC)(By Phone), Robert Pelham

Others Present: Tim Cox (CWC), Alan Rosa (CWC), Mike Maloney (DOH)(By Phone), Jason Merwin

- I. Call to Order at 11:00 am
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V. Other

Alan Rosa stated the Town of Middletown assessment matter would be discussed in executive session during the regular board meeting.

VI. Next Meeting scheduled for July 7, 2020.

VII. Adjourned at 11:18 am

**TAB 6**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
LARAINÉ BOSCH**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-One Thousand Seven Hundred Seventy-Two Dollars and Five Cents (\$31,772.05); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-One Thousand Seven Hundred Seventy-Two Dollars and Five Cents (\$31,772.05) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Thirty-One Thousand Seven Hundred Seventy-Two Dollars and Five Cents (\$31,772.05) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-One Thousand Seven Hundred Seventy-Two Dollars and Five Cents (\$31,772.05).

**Laraine Bosch Backup**

Address: 11976 County Highway 27, Sidney Center, NY 13839

Town: Masonville

Bedrooms: 3

Engineer: Steele Brook Engineering

Contractor: Catskill Underground Services

Homeowner and CWC staff received three quotes from unrelated contractors for \$31,772.05, \$34,366.00 and \$38,849.49. Major components of this system include a 1,000 gallon septic tank, a siphon chamber, 171 linear feet of gravity piping, 339 cubic yards of absorption fill material, 225 linear feet of absorption trench, one distribution box, an effluent filter, 105 linear feet of curtain drain, 45 linear feet of curtain drain outlet pipe, a temporary access road and site restoration. The lowest quote, submitted by Catskill Underground Services, is below the staff estimated cost of construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$31,772.05.

**TAB 7**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**JOSEPH FERRENDINO**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49).

**Joseph Ferrendino Backup**

Address: 34 Hillside Drive, West Shokan, NY 12494

Town: Olive

Bedrooms: 3

Engineer: Rex Sanford

Contractor: Eberhardt Excavation

Homeowner and CWC staff received three quotes from unrelated contractors for \$43,438.49, \$43,856.72 and \$44,585.16. Major components of this system will include a 1,000 gallon septic tank, a siphon chamber, 100 linear feet of gravity piping, 460 cubic yards of absorption fill material, 250 linear feet of absorption trench, one distribution box, an effluent filter, 135 linear feet of curtain drain, remove 20 trees, a temporary access road and extensive site restoration. The lowest quote is within 10% of the staff cost estimate cost of construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$43,438.49.

**TAB 8**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**JOSEPH FERRENDINO**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Forty-Three Thousand Four Hundred Thirty-Eight Dollars and Forty-Nine Cents (\$43,438.49).

**Joseph Ferrendino Backup**

Address: 34 Hillside Drive, West Shokan, NY 12494

Town: Olive

Bedrooms: 3

Engineer: Rex Sanford

Contractor: Eberhardt Excavation

Homeowner and CWC staff received three quotes from unrelated contractors for \$43,438.49, \$43,856.72 and \$44,585.16. Major components of this system will include a 1,000 gallon septic tank, a siphon chamber, 100 linear feet of gravity piping, 460 cubic yards of absorption fill material, 250 linear feet of absorption trench, one distribution box, an effluent filter, 135 linear feet of curtain drain, remove 20 trees, a temporary access road and extensive site restoration. The lowest quote is within 10% of the staff cost estimate cost of construction. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$43,438.49.

**TAB 9**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**ELLIOT HURWITZ**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Two Thousand One Hundred Twenty-Four Dollars and Thirty-Six Cents (\$32,124.36); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Two Thousand One Hundred Twenty-Four Dollars and Thirty-Six Cents (\$32,124.36) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Thirty-Two Thousand One Hundred Twenty-Four Dollars and Thirty-Six Cents (\$32,124.36) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Two Thousand One Hundred Twenty-Four Dollars and Thirty-Six Cents (\$32,124.36).

**Elliot Hurwitz Backup**

Address: 25 Sylken Lane, West Hurley, NY 12491

Town: Hurley

Bedrooms: 3

Engineer: Rex Sanford

Contractor: Eberhardt Excavation

Homeowner and CWC staff received three quotes from unrelated contractors for \$32,124.36, \$33,446.63 and \$33,681.09. An existing septic tank will be utilized. Most of the major components of this system will include a siphon chamber, 85 linear feet of gravity piping, 340 cubic yards of absorption fill material, 700 square feet of absorption bed, one distribution box, one effluent filter, remove 30 trees, a temporary access road and site restoration. The lowest quote is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$32,124.36.

**TAB 10**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**RICHARD MCDONOUGH**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed a construction quote submitted by a contractor for the septic system repair in the amount of Twenty-Nine Thousand Nine Hundred Ninety-Eight Dollars (\$29,998.00); and

**WHEREAS**, the contractor’s quote for this system is more than Twenty-Five Thousand Dollars (\$25,000); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Twenty-Nine Thousand Nine Hundred Ninety-Eight Dollars (\$29,998.00) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Twenty-Nine Thousand Nine Hundred Ninety-Eight Dollars (\$29,998.00) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Nine Thousand Nine Hundred Ninety-Eight Dollars (\$29,998.00).

**Richard McDonough Backup**

Address: 211 Huron Trail, Big Indian, NY 12410

Town: Shandaken

Bedrooms: 3

Engineer: Steele Brook Engineering

Contractor: Don Allen Excavating

Homeowner and CWC staff received a quote from a contractor in the amount of \$29,998.00. Major components of this system will include a 1,000 gallon septic tank, one siphon chamber, 142 linear feet of gravity piping, 339 cubic yards of absorption fill material, 225 linear feet of absorption trench, one distribution box, one effluent filter, 265 linear feet of improved swale, three clean outs, fill in an existing shallow pond, removal of two trees, and site restoration. The Septic Committee recommends that the CWC Board of Directors approve reimbursement in an amount not to exceed \$29,998.00.

**TAB 11**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:  
NANCY SCHWARZ**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Thirty-Two Thousand Seven Hundred Ten Dollars and Thirteen Cents (\$32,710.13); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Thirty-Two Thousand Seven Hundred Ten Dollars and Thirteen Cents (\$32,710.13) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Thirty-Two Thousand Seven Hundred Ten Dollars and Thirteen Cents (\$32,710.13) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Thirty-Two Thousand Seven Hundred Ten Dollars and Thirteen Cents (\$32,710.13).

**Nancy Schwarz Backup**

Address: 1384 Old River Rd, Roxbury, NY 12474

Town: Roxbury

Bedrooms: 4

Engineer: Rex Sanford

Contractor: Hubbell Excavating

Homeowner and CWC staff received three quotes from unrelated contractors for \$32,710.13, \$33,133.24 and \$33,471.51. Major components of the system will include a 1,250 gallon septic tank, one pump chamber, 12 linear feet of gravity piping, 53 linear feet of force main, 315 cubic yards of absorption fill material, 750 square feet of absorption bed, one distribution box, one effluent filter, a temporary access road and site restoration. The lowest quote is within 10% of the staff estimated cost of construction based on the Schedule of Values. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$32,710.13.

**TAB 12**

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE OVER \$25,000:**  
**LUCA VALENTINO**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the homeowner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed three construction quotes, including the lowest quote for the septic system repair in the amount of Forty-Six Thousand One Hundred Sixty-Four Dollars and Seventy-Three Cents (\$46,164.73); and

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Forty-Six Thousand One Hundred Sixty-Four Dollars and Seventy-Three Cents (\$46,164.73) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Forty-Six Thousand One Hundred Sixty-Four Dollars and Seventy-Three Cents (\$46,164.73) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement for a total not-to-exceed amount of Forty-Six Thousand One Hundred Sixty-Four Dollars and Seventy-Three Cents (\$46,164.73).

**Luca Valentino Backup**

Address: 117 Dry Brook Rd, West Shokan, NY 12494

Town: Olive

Bedrooms: 4

Engineer: Rex Sanford

Contractor: Chad Davis Contracting

Homeowner and CWC staff received three quotes from unrelated contractors for \$46,164.73, \$50,232.00 and \$93,567.32. Most of the major components of this system will include a pump chamber, 25 linear feet of gravity piping, 113 linear feet of force main, 465 cubic yards of absorption fill material 800 square feet of absorption bed, one distribution box, one effluent filter, 95 linear feet of curtain drain, 35 linear feet of curtain drain outlet pipe, 190 linear feet of improved swale, excavate and spread material from a cut and fill area, a temporary access road and site restoration. The lowest quote is within 10% of the staff cost estimate cost of construction. An existing 1,250 gallon septic tank installed in 2005 and reimbursed under the CWC MOA Septic Program will continue to be utilized. The Septic Committee recommends that the CWC Board of approve reimbursement in an amount not to exceed \$46,164.73.

**TAB 13**

August 4, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF EXPANDED SEPTIC PROGRAM CONSTRUCTION**

**ESTIMATE OVER \$25,000:**

**HENRY STOUT - FULL MOON RESORT**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 13:01:09 of the CWC Expanded Septic Program Rules, if the total amount requested for reimbursement is more than Thirty Thousand Dollars (\$30,000.00), the property owner shall supply detailed quotes from three unrelated contractors, and CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff has reviewed the documentation and determine that a resolution be brought before the Board of Directors to reimburse the owner of the Henry Stout - Full Moon Resort in the amount not to exceed Seventy Thousand Seven Hundred Forty-Three Dollars and Forty-Five Cents (\$70,743.45) to build their septic system.

**WHEREAS**, the contractor’s quote for this system is more than Thirty Thousand Dollars (\$30,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s quote of Seventy Thousand Seven Hundred Forty-Three Dollars and Forty-Five Cents (\$70,743.45) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of Seventy Thousand Seven Hundred Forty-Three Dollars and Forty-Five Cents (\$70,743.45) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Seventy Thousand Seven Hundred Forty-Three Dollars and Forty-Five Cents (\$70,743.45).

**CWC Expanded Septic Program**

**Henry Stout - Full Moon Resort Backup**

Small Business: Failing/Likely to Fail

Address: 1 Valley View Rd, Big Indian, NY 12410

Engineer: Rex Sanford

Contractor: Romosa Excavation

Most of the major components of the proposed system will include one dual alternating siphon chamber, 601 linear feet of gravity piping, 6,600 square feet of conventional absorption bed, eight distribution boxes, one effluent filter, spreading and reclaiming excess material and extensive site restoration. A dual siphon is installed in one chamber. The siphons alternate between two outlets. It works by gravity. The property owner and CWC received three quotes - \$70,743.45, \$72,268.14, and \$72,851.32. The lowest quote was submitted by Romosa Excavation is within 10% of the staff estimated cost of construction. The Septic Committee recommends that the Board of Directors approve reimbursement in an amount not to exceed \$70,743.45.

**TAB 14**

August 4, 2020

**RESOLUTION NO.**

**EXPANDED SEPTIC PROGRAM**  
**BOARD APPROVAL OF ADDITIONAL FUNDS FOR SEPTIC CONSTRUCTION**  
**OVER \$25,000:**  
**PHOENICIA DINER**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 13:00:02:01 of the CWC Expanded Septic Program Rules , if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, on June 2, 2020 by Resolution Number 3773, the CWC Board approved reimbursement to Phoenicia Diner in an amount not to exceed One Hundred Thirty-Eight Thousand Six Hundred Ninety-Five Dollars and Eighty-Nine Cents(\$138,695.89); and

**WHEREAS**, CWC staff has reviewed an additional construction invoice of costs incurred submitted by the small business for the septic system repair in the amount of One Thousand Six Hundred Ninety-Four Dollars and Fifty-Five Cents (1,694.55); and

**WHEREAS**, the total contractor’s invoices for this system is more than Twenty-Five Thousand Dollars (\$25,000.00); and

**WHEREAS**, CWC staff have determined the total reasonable cost of the additional work according to CWC Schedule of Values to be One Thousand Six Hundred Ninety-Four Dollars and Fifty-Five Cents (\$1,694.55); and

**WHEREAS**, CWC staff have determined that One Hundred Forty Thousand Three Hundred Ninety Dollars and Forty-Four Cents (\$140,390.44) is a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving total reimbursement of One Hundred Forty Thousand Three Hundred Ninety Dollars and Forty-Four Cents (\$140,390.44) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED** that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of One Hundred Forty Thousand Three Hundred Ninety Dollars and Forty-Four Cents (\$140,390.44).

**Expanded Septic Program: Phoenicia Diner Backup**

Address: 5681 State Route 28 Phoenicia, NY 12464

Town: Shandaken

Engineer: Christopher DiChiaro

Contractor: Zabel Environmental, Bob Gehring, Krause Electro- Mechanical

This Expanded Septic Program project was previously approved by the CWC Board for reimbursement of \$138,695.89. During construction, the engineer decided that a high level alarm should be installed in the grease to prevent future problems. The septic tank also had to be pumped to install the absorption fields. The contractors have requested an additional \$1,694.55. This amount appears to be reasonable and justified. The Septic Committee recommend that the CWC Board approve additional reimbursement of \$1,694.55 for a total project cost of \$140,390.44.

**TAB 15**

August 4, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF SEPTIC CONSTRUCTION ESTIMATE**  
**OVER \$25,000 – ADDITIONAL COSTS:**  
**ROBERT WADE**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:09 of the CWC Septic Rehabilitation and Replacement Program Rules Article 2A, if the total amount requested for reimbursement is more than Twenty-Five Thousand Dollars (\$25,000.00) CWC staff shall forward the design with recommendation to the CWC Board for approval; and

**WHEREAS**, CWC staff previously approved Septic Program reimbursement for Robert Wade in the amount of Twenty-Four Thousand Six Hundred Sixty-Nine Dollars and Fifty Cents (\$24,669.50); and

**WHEREAS**, CWC staff has reviewed an additional construction invoice of costs incurred submitted by the contractor for the septic system repair in the amount of Three Thousand One Hundred Twenty-Nine Dollars and Sixteen Cents (\$3,129.16); and

**WHEREAS**, the total contractor’s invoices for this system is more than Twenty-Five Thousand Dollars (\$25,000.00); and

**WHEREAS**, the CWC staff have determined the contractor’s total invoices of Twenty-Seven Thousand Seven Hundred Ninety-Eight Dollars and Sixty-Six Cents (\$27,798.66) to be a reasonable cost for this system in accordance with our schedule of values; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend approving the total reimbursement of Twenty-Seven Thousand Seven Hundred Ninety-Eight Dollars and Sixty-Six Cents (\$27,798.66) as it is in substantial agreement with our schedule of values.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors approves the eligible cost of this system for reimbursement to be the total not-to-exceed amount of Twenty-Seven Thousand Seven Hundred Ninety-Eight Dollars and Sixty-Six Cents (\$27,798.66).

**Robert Wade Backup**

Address: 82 Winnie Rd, Mt Tremper, NY 12457

Town: Shandaken

Engineer: Rex Sanford

Contractors: Eberhardt Excavation

This project was previously approved for \$24,669.50. At the start of construction, the contractor pumped the existing septic tank to verify its condition. It was determined that ground water was infiltrating through the bottom of the tank. A new 1,000 gallon septic tank was installed. The contractor has requested an additional \$3,129.16. This amount appears to be reasonable and justified. With this addition, the total project cost will be \$27,798.66. The Septic Committee recommends that the Board approves an additional reimbursement of \$3,129.16, for total reimbursement not to exceed \$27,798.66.

**TAB 16**

August 4, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY**  
**JUANITA COLE**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, Juanita Cole, requested eligibility under the MOA Septic Program for second time repair funding for her septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**Juanita Cole's Backup**

Address: 851 Narrow Notch Rd, Hobart, NY 13788

Town: Stamford

Ms. Coles septic was originally paid for in 2005 under the Hardship Program. The leach field is in failure and sewage is surfacing on the ground. The homeowner had a pump out in 2012 and was reimbursed through the Maintenance Program. Pump outs were also done in 2016 and 2018. Receipts were provided for both. Therefore, the Septic Committee recommends the CWC Board of Director's approve Ms. Cole's eligibility for a second time repair funded by the MOA Septic Program

**TAB 17**

August 4, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY**  
**JOHN POULIN**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, John Poulin, requested eligibility under the MOA Septic Program for second time repair funding for his septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**John Poulin's Backup**

Address: 1024 Left Pines Brook

Town: Walton

Mr. Poulin's septic was originally paid in 1999 under the Coordinator Program. The owner has had issues with his system since it was installed. The absorption field is in failure and sewage is surfacing on the ground. Work was done in 2000 and 2004 along with maintenance pump outs in 2014 and 2020. The contractor leveled the distribution box both times and it still seems to not be level. Speed levelers were added and need to be adjusted every year to try and keep the sewage in the ground. The original plans call for a siphon chamber and one was never installed. The Septic Committee recommends the CWC Board of Directors approve Mr. Poulin's reimbursement for second time repair funded by the MOA Septic Program.

**TAB 18**

August 4, 2020

**RESOLUTION NO.**

**BOARD APPROVAL OF MOA SEPTIC PROGRAM SECOND TIME REPAIR ELIGIBILITY**  
**KEVIN WORTMAN**

**WHEREAS**, pursuant to the Watershed Memorandum of Agreement and the Septic System Rehabilitation and Replacement Program Contracts with New York City Department of Environmental Protection, the Catskill Watershed Corporation (“CWC”) shall act as program manager for the Septic Program and implement the Septic Program consistent with the terms of said contracts; and

**WHEREAS**, pursuant to section 2:01:05 of the CWC Septic Rehabilitation and Replacement Program Rules (MOA Septic Program) Article 2A, CWC Board of Directors in consultation with the Executive Director may find a property eligible for funding of a septic or component thereof previously paid for by the CWC MOA Septic Program if ten (10) years has elapsed from date of construction completion and absent misuse by the Property owner; and

**WHEREAS**, the Applicant, Kevin Wortman, requested eligibility under the MOA Septic Program for second time repair funding for his septic system; and

**WHEREAS**, CWC staff have confirmed that the Applicant’s septic system is currently failing or reasonably likely to fail in the near future, that more than ten years has elapsed from date of construction approval, and that the Applicant has adequately maintained the septic system following the prior construction approval; and

**WHEREAS**, the Septic Committee has reviewed the documentation and recommend the CWC Board approve the Applicant’s eligibility for a second time repair funding from MOA Septic Program.

**NOW, THEREFORE LET IT BE RESOLVED**, that the CWC Board of Directors in consultation with the CWC Executive Director approves Applicant’s request for eligibility for second time repair funding from the MOA Septic Program.

**Kevin Wortman Backup**

Address: 43 Shokan Park Rd, Shokan, NY 12481

Town: Olive

Mr. Wortman's septic was originally paid in 2009 under the Priority 1A Program. His pump has stopped working and sewage is backing up into the house. He had his system pumped out in 2014 and 2019. The pump has now been replaced and the septic system is functioning properly. Pump repairs are likely to be the most common second time repairs. This property is within the proposed Shokan Sewer District. Therefore, the Septic Committee recommends the CWC Board of Directors approve Mr. Wortman's eligibility for a second time repair funded by the MOA Septic Program. Due to its location with the proposed Shokan sewer service area, the property will be a managed repair and the scope of any repair or replacement must be approved by the CWC Executive Director.

**TAB 19**

August 4, 2020

**RESOLUTION NO.**

**SEPTIC MAINTENANCE PROGRAM RULES AMENDMENT  
FOR EXPANDED SEPTIC PROGRAM**

**WHEREAS**, the Catskill Watershed Corporation (CWC) Board of Directors by Resolution Number 564 approved a contract with NYCDEP providing for the creation and funding of the Septic Maintenance Program; and

**WHEREAS**, CWC Board of Directors by Resolution No. 636 adopted the Septic System Maintenance Program Rules; and

**WHEREAS**, by Resolution 3482, the CWC Board of Directors approved the Septic V Program Agreement, providing in part eligibility to small businesses, not for profit corporations, and governmental entities for funding to repair or replace failing or reasonably likely to fail septic systems; and

**WHEREAS**, CWC and NYCDEP entered into a change order to the Septic System Maintenance Program Agreement to provide eligibility to small businesses, not for profit corporations, and governmental entities for fifty-percent reimbursement for the costs of routine septic maintenance, including pump-outs; and

**WHEREAS**, the CWC Septic Committee recommends the CWC Board of Directors amend the Septic System Maintenance Program Rules in accordance with Attachment A to update the Program Rules to provide for eligibility to small businesses, not for profit corporations, and governmental entities.

**NOW, THEREFORE BE IT RESOLVED**, that the CWC Board of Directors adopts the attached Septic System Maintenance Program Rule Amendment (Attachment A), as per the Committee's recommendation.

**NOW, THEREFORE BE IT FURTHER RESOLVED**, that the Septic System Maintenance Program Rules may be further amended from time to time by the CWC Board of Directors after consultation with and approval of NYCDEP.

SEPTIC MAINTENANCE PROGRAM RULES  
(ARTICLE 10)

Catskill Watershed Corporation  
PO Box 569  
Margaretville, NY 12455

(845) 586-1400

Approved by the Board of Directors

*August 4, 2020*

~~*October 28, 2003*~~

*Revised*

~~*August 2, 2016*~~

Equal Opportunity Employer

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**Chapter 10:00 Septic Maintenance Program Overview**

## 10:00:01 Definitions

Words used in this article mean:

1. "Applicant" means the property owner or other party responsible for the Eligible System.
2. "Contract" means the "Agreement between the New York City Department of Environmental Protection and the Catskill Watershed Corporation Concerning the Septic Maintenance Program" fully executed on June 16, 2003 and amended February 23, 2018.
3. "CWC," means the Catskill Watershed Corporation.
4. "Cluster System" means a wastewater collection and treatment system under some form of common ownership that collects wastewater from two or more residences and/or small business buildings and conveys it to a treatment and disposal system located on a suitable site near the dwellings or buildings. A small business for the purposes of this Program shall be defined in accordance with the Septic III Contract.
5. "DEP" means the New York City Department of Environmental Protection.
6. "Eligible Costs" are the costs of the Eligible System tank pump-out and other costs associated with the Septic Maintenance.
7. "Eligible System" means a subsurface sewage treatment system that either:
  - a. serves a residence, small business, not-for-profit corporation, or governmental entity and was inspected, remediated, upgraded or installed pursuant to the MOA Septic Program or Expanded Septic Program, or
  - b. serves a residence, small business, not-for-profit corporation, or governmental entity and was constructed in accordance with the Watershed Regulations, or
  - c. is an existing Substandard Subsurface Sewage Treatment System serving a residence, small business, not for profit, or governmental entity .
  - e.d. is individually approved for inclusion in the Septic Maintenance Program by the DEP and CWC in writing, based upon a showing of compelling environmental justification and financial need, including Cluster Systems constructed pursuant to the Septic III Program.
8. Expanded Septic Program – the CWC Expanded Septic Program funded pursuant to the 2017 Filtration Avoidance Determination issued by New York State Department of Health.
9. Governmental Entity –a county, city, town, village, school district, or other local municipal entity, including a fire district and other firefighting organization regardless of how such district or organization is incorporated, located within the boundaries of the West of Hudson watershed as defined in the Watershed Regulations, excluding the City of New York, State of New York, and the United States government, and each of their agencies and authorities, except that a government entity may not use funding provided under this Amended Program Agreement to fund maintenance of a community septic system funded under the Community Wastewater Management Program established pursuant to paragraph 122 of the Watershed MOA.
10. MOA Septic Program – the CWC Septic Program established pursuant to Paragraph 124 of the 1997 New York City Watershed Memorandum of Agreement to repair or replace failing or reasonably likely to fail septic systems serving existing residence.
11. Not-for-Profit Corporation – an entity formed exclusively for a purpose or purposes, not for pecuniary profit or financial gain, for which a corporation may be formed pursuant to

the New York Not-For-Profit Corporation Law or similar law of another state, where no part of the assets, income, or profit of such entity is distributable to, or inures to the benefit of its members, directors, or officers except to the extent permitted by the New York Not-For-Profit Corporation Law.

- ~~8-12.~~ “Pilot Program Area,” means the areas of implementation for the first two years of the program.
- ~~9-13.~~ “Priority Area” means areas as defined in the Water Supply permit for New York City Watershed Land Acquisition Program issued by New York State Department of Environmental Conservation. (DEC Permit #0-999-00051/00001)
- ~~10-14.~~ “Residence” means a building, including a mixed commercial/residential use building,
- a. that has no more than two residential units and is served by a subsurface sewage treatment system with a design flow of less than 1000 gallons per day.
- ~~11-15.~~ “Septic Maintenance,” means minor maintenance and pump-out of an Eligible System.
- ~~12-16.~~ “Septic Maintenance Program” or “Program” means the Septic Maintenance Program as described in the Contract
- ~~13-17.~~ “Septic Maintenance Program Funds” or “Program Funds” means funds allocated pursuant to the Contract intended to reduce the occurrence of subsurface sewage treatment system failures through regular pump-outs and maintenance.
18. Small Business - a business, that at the time of its enrollment in the Program, is resident in New York State, independently owned and operated, and employs one hundred or less individuals and, as applied under MOA Paragraph 145(ii). The number of employees shall include the employees of each affiliated business. Affiliated business shall be defined in accordance with the federal Small Business Act regulations 13 C.F.R. Section 121.103 et seq. Small Business may include an unincorporated entity, a sole proprietorship, a building or project with three or more residences that are rented, or a mobile home park consisting of three or more mobile homes rented/owned as residences
- ~~14.~~ “Septic Program” means the CWC Septic System Rehabilitation and Replacement Program, the CWC Septic System Rehabilitation and Replacement II Program, the CWC Septic System Rehabilitation and Replacement III Program, and the CWC Septic System Rehabilitation and Replacement IV Program.
- ~~15-19.~~ “Watershed Regulations” means the Rules and Regulations for the Protection from Contamination, Degradation and Pollution of the New York City Water Supply and its Sources (the “Watershed Regulations”), 10 New York Codes, Rules and Regulations (“NYCRR”) §§128-3.8 et seq.; 15 Rules of the City of New York (“RCNY”) §§18-38 et seq.

#### 10:00:02 Septic Maintenance Program Description

1. In accordance with the Contract, the CWC provides funding for Septic Maintenance of Eligible Systems in the New York City Watershed west of the Hudson River.
2. Program Funds may be used only to pay costs incurred by CWC pursuant to written agreements with contractors to perform the Program work and/or pursuant to completion of CWC Reimbursement Application Form to reimburse property owners for Septic Maintenance; fees and expenses of consultants or contractors engaged by CWC to assist in fulfilling its duties and responsibilities; CWC costs for technical and professional employees

to perform the Program work; and CWC reasonable administrative costs to manage and administer the Program, including necessary education and outreach.

#### 10:00:02:01 Program Area

1. An Eligible System may be funded under applicable program rules if it is located within the West of Hudson Watershed and either
  - a. received construction approval from NYCDEP pursuant to the Watershed Regulations on or after November 1, 1995; and/or
  - b. was remediated, upgraded or installed pursuant to the MOA Septic Program or CWC Expanded CWC Septic Program; and/or
  - c. is an existing substandard subsurface sewage treatment system serving a residence, small business, not-for-profit, or government entity that does not meet current applicable standards for a subsurface sewage treatment system.
2. Eligible Systems will be prioritized by year of construction completion and/or reservoir basin in the following order:
  1. Rondout/Neversink
  2. Ashokan
  3. Pepacton
  4. Cannonsville
  5. Schoharie

### **CHAPTER 10:01 Septic Maintenance Program Rules**

#### 10:01:01 Applicant Reimbursable Costs

1. The Applicant must submit a completed reimbursement application form provided by CWC.
2. Upon application and approval by CWC staff, CWC shall pay 50% of Eligible Costs to the applicant for Septic Maintenance. No reimbursement shall be made for disallowed costs. Disallowed costs are expenses not appropriately documented; interest and late fees; fines penalties or payment of sales tax. No costs of enzymes or system additives are permitted.
3. Any reasonable avoided cost documented by the Applicant through in-kind services related to septic maintenance will be directly discounted from the Applicant's match cost. CWC shall determine a value to such in-kind services.
4. Inspection, maintenance and pump-out of the Eligible System must have been conducted after July 15, 2003 in order for an Applicant to be reimbursed for Eligible Costs.
5. An Applicant seeking reimbursement for necessary Septic Maintenance costs that were required for adequate operation of a septic system may be eligible for reimbursement at reasonable costs, per the CWC schedule of values. Potential necessary incidental costs shall include, but not be limited to, the following:
  1. Septic structures, such as gas baffles or effluent filters in septic tanks
  2. Flow speed leveling devices in distribution boxes.
6. Eligible Costs for septic tank pump-out, inspection and minor maintenance of the septic system is detailed in the CWC Schedule of Values.

#### 10:01:02 Application for Reimbursement

1. To apply for reimbursement, an Applicant shall fill out a Reimbursement Application Form supplied by CWC. In filling out the form, the Applicant shall supply the completed Inspection Checklist, information requested regarding the costs incurred, costs paid and any other information and supporting documents requested by CWC to determine eligibility of the costs, including any in-kind services. The following documentation is required to verify cost incurred, and to process reimbursements.
  - a. True copy of contractor invoice(s), AND any one of the following: canceled check(s), money order receipt(s), or contractor receipt(s)
2. The availability of funds for reimbursement of individual Applicants is not intended to and does not establish an entitlement for any person and the CWC reserves the sole right to determine the amount of Eligible Costs to be approved for reimbursement of each applicant in order to avoid excessive charges and to preserve the fiscal integrity of the Septic Maintenance Program.

#### 10:01:03 Reimbursement Approval Authority

1. Where the total amount requested for reimbursement is less than or equal to \$1,000, the CWC Executive Director shall be authorized to approve, modify or deny the reimbursement request.
2. Where the total amount requested for reimbursement is more than \$1000, the staff recommendation shall be forwarded to the CWC Board of Directors who shall review the application and recommendation and approve, modify or deny the reimbursement request.

#### 10:01:04 Coordination with DEP

1. CWC will provide quarterly progress reports to DEP including, but not limited to, approved reimbursement requests in a manner that is consistent with the contract.
2. Based on DEP procedures for septic waste disposal at its wastewater treatment plants in the West of Hudson Watershed, septic waste from the Program may be disposed at City WWTP's at no charge and at a time and at such intervals acceptable to DEP , subject to the following terms and conditions:
  - a. The WWTP has adequate capacity to handle such waste;
  - b. The WWTP is permitted to accept such waste;
  - c. The design of the WWTP allows for the practical acceptance of septic waste by truck; and
  - d. The acceptance of waste will not cause or contribute to an upset of the treatment processes or violation of the plant's SPDES permit.

#### 10:01:05 Persons authorized by CWC to perform pump-out and maintenance

1. Only a New York State Department of Environmental Conservation-licensed septage hauler is permitted to pump septage for reimbursement through this program.

#### 10:01:06 Pump-out and Inspection Protocols

1. Funding of pump-outs for an eligible system cannot exceed once every three years.
2. The Septic Inspection will include the completion of an Inspection Checklist. It will require the inspector to uncover and inspect the septic tank through the manholes and to

visually inspect the influent and effluent pipes, and clean the effluent filter in the tank as well as uncover and inspect inside the distribution box.

10:01:07 Duty to Maintain

1. The Program does not relieve any property owner of any duty to properly maintain a subsurface sewage treatment system.

**TAB 20**

August 4, 2020

**RESOLUTION NO.**

**BOARD REVIEW OF FUTURE STORMWATER PROGRAM APPLICATION FOR  
VILLAGE OF TANNERSVILLE MUNICIPAL WATER TREATMENT FACILITY  
STORMWATER CONTROLS**

**WHEREAS**, the 1997 New York City Watershed Memorandum of Agreement (“Watershed MOA”) paragraph 128 (“Future Stormwater Program”) directs the Catskill Watershed Corporation (“CWC”) to establish a program to pay for the reasonable and proper cost to design, implement, permit, construct and maintain new stormwater measures pursuant to stormwater pollution prevention plans required by the 18-39(b)(3) and 18-39(e) of the watershed regulations; and

**WHEREAS**, Section 145(ii) of the Watershed MOA and the West of Hudson Future Stormwater Program Rules provides for payment of eligible project costs required solely by the New York City Department of Environmental Protection (“NYCDEP”) and not otherwise required by State or federal law; and

**WHEREAS**, Village of Tannersville has applied for reimbursement of 50% of eligible design and construction costs in the amount of Fifteen Thousand Four Hundred Thirteen Dollars (\$15,413.00) for new stormwater controls on property where they constructed a new water treatment plant and impervious parking lot; and

**WHEREAS**, the applicant elected 50% reimbursement of all eligible stormwater costs due to also needing to comply with State requirements; and

**WHEREAS**, funding comes 100% from the CWC future stormwater program due to the Village Tannersville’s status as a municipality; and

**WHEREAS**, the Future Stormwater Program Rules require that the CWC Board of Directors shall review and approve each application, with attached documentation and staff recommendations; and

**WHEREAS**, CWC staff recommended reimbursement of eligible stormwater design and construction costs for a total not-to-exceed amount of Fifteen Thousand Four Hundred Thirteen Dollars (\$15,413.00); and

**WHEREAS**, the CWC Wastewater/Stormwater Committee recommends that the application be approved by the CWC Board of Directors.

**NOW, THEREFORE, LET IT BE RESOLVED**, that the CWC Board of Directors has reviewed the attached application, staff recommendation and supporting documentation and approves reimbursement in the total not-to-exceed amount of Fifteen Thousand Four Hundred Thirteen Dollars (\$15,413.00).

## **Future Stormwater - Village of Tannersville Municipal Water Treatment Facility**

The Village of Tannersville submitted an application on May 8, 2020 for \$15,413.00 representing 50% of the design and construction of the stormwater work required for the construction of a new Municipal Water Treatment Facility. The scope of this project includes upgrading the Village's water distribution system, replacing water mains, improving the existing water treatment plant, and constructing a new 1,065 square foot water treatment plant and new 1,045 square foot paved parking lot. Stormwater treatment systems include infiltrations, sediment and bioretention basins, swales and rain gardens. The Village received initial SWPPP approval from DEP on February 27, 2018 and final SWPPP approval on April 24, 2018. During construction observation Lamont Engineers noticed that an abutting homeowner had constructed a swale that drained into the area where the planned bioretention basin was to be located. That in conjunction with the water table being higher than anticipated at this location, they were required to change the location of the bioretention basin, further up the hill. Test holes were dug at the new location on July 25, 2019 showing no signs of groundwater at 4.5' depth and therefore allowing Lamont Engineers to bypass the newly constructed swale so that its runoff would not contribute to the proposed bioretention basin. The project is currently under construction. The applicant is a municipality and 100% of the funding comes from the Future Stormwater Program.

**Recommended FSW Funding: \$15,413.00**



CWC PO Box 569  
Margaretville, NY 12455  
Telephone: (845) 586-1400  
Fax: (845) 586-1401

West of Hudson Future Stormwater Controls Program / MOA 145

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>Application to Request Program Funding</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                    |
| 1. Applicant Name: Village of Tannersville                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                    |
| 2. Contact Person: Lee McGunnigle                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Phone # 518-589-5850 ext. 1                                        |
| 3. Applicant Email: voffice@hrc-rr.com Title: Mayor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Phone # 518-589-5850 ext. 1                                        |
| 4. Applicant Address: 1 Park Lane, Tannersville, NY 12485                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                    |
| 5. Project type (check one type that applies): <input type="checkbox"/> One Family House <input type="checkbox"/> Small Business <sup>1</sup> <input type="checkbox"/> Low Income Housing <input type="checkbox"/> IRSP                                                                                                                                                                                                                                                                                                                                                            |                                                                    |
| <input checked="" type="checkbox"/> Other (describe): Municipal Water Treatment Facility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                    |
| <small><sup>1</sup> A small business is defined in the MOA as, resident in New York State, independently owned and operated, and employs one hundred (100) or less individuals. See section 5:00:03 of the Program Rules for more information.</small>                                                                                                                                                                                                                                                                                                                             |                                                                    |
| 6. Project Location: Parcel 182.06-1-38                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                    |
| 7. a) NYCDEP Stormwater Pollution Prevention Plan (SPPP): Approval Date: May 7, 2018 Permit# 2018-SC-0011-SP.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                    |
| or:<br>b) NYCDEP Individual Residential Stormwater Permit (IRSP): Approval Date: _____ Permit# _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                    |
| 8. CWC Project Funding Amount Requested: \$ 15,413.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                    |
| 9. Other grants/funding applied for, or received for the stormwater project (list and describe):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                    |
| 10. Method of payment from CWC requested (see sec. 5:00:07:01 and 5:00:07:02 of Program Rules): <input type="checkbox"/> Voucher <input checked="" type="checkbox"/> Reimbursement                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                    |
| 11. Is the project stormwater plan required by State and/or Federal Government? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(If yes, describe): _____                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                    |
| 12. Does the project construction disturb more than one (1) acre of land surface? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                    |
| 13. Is any land purchase, transfer, or easement involved in the stormwater project? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(If yes, describe): _____                                                                                                                                                                                                                                                                                                                                                                                               |                                                                    |
| 14. List of Enclosed Attachments (check all that apply):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                    |
| <input checked="" type="checkbox"/> NYCDEP Design Approval                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <input type="checkbox"/> SPPP/IRSP (including O&M plan)            |
| <input type="checkbox"/> NYCDEP Construction Approval                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Design/Construction Invoices   |
| <input type="checkbox"/> Phase I ESA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Proof of Payments (i.e. cancelled checks) |
| <input type="checkbox"/> Other (list and describe): _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <input checked="" type="checkbox"/> As-Built Drawings of Project   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Proposed Project Budget                   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> W-9                                       |
| I declare and affirm under the penalties of perjury that this claim is in all things true and correct, that I am the owner of the project or the project owner's authorized agent, and that I or the project owner, have not received any other source of funding (other than described in sections 7&8 above) for the above referenced stormwater project. I also hereby certify that all work being invoiced is in accordance with NYCDEP approved plans for the eligible project costs, and if applicable, in accordance with the contract between CWC and the project sponsor. |                                                                    |
| Lee McGunnigle                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                    |
| Print Claimant Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Claimant Signature                                                 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 05-08-2020                                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Date                                                               |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Released 7/10/98<br>Revised 2/22/19                                |